

## State of Washington PUBLIC DISCLOSURE COMMISSION

711 Capitol Way Rm. 206, PO Box 40908 • Olympia, Washington 98504-0908 (360) 753-1111 • FAX (360) 753-1112

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October 31, 2018

HOLMAN STEPHEN 614 DIVISION ST MS-25 PORT ORCHARD, WA 98366-4684

And delivered electronically to "steve.holman@gmail.com"

Subject: PDC Order, Case 38777

Dear Stephen Holman,

Enclosed is a copy of the Public Disclosure Commission's Order Imposing Fine that was entered in the above-referenced case. At the October 25, 2018, Initial Order Review Hearing, the Commission vacated your penalty.

Thank you for your prompt attention to this matter. If you have questions, please contact us by email at pdc@pdc.wa.gov.

Sincerely,

Tabatha Blacksmith
Compliance Coordinator

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Enclosure: Initial Order

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- E-mail sent by PDC Staff to Respondent regarding the missing Personal Financial Affairs Statement (F-1 report), dated August 20, 2018, and providing notice of the Brief Adjudicative Proceeding on September 24, 2018;
- E-mail to Respondent dated October 5, 2018, notifying him of the outcome of the Brief Adjudicative Proceeding held September 24, 2018;
- PDC Case No. 38777 cover letter and Order Imposing Fine against Respondent Stephen Holman dated October 5, 2018;
- Respondent's letter dated October 10, 2018, requesting an appeal and explaining his medical issues.
- E-mail dated October 15, 2018, to the Respondent acknowledging his timely request for review and providing notice of the Review Hearing on October 25, 2018.

The hearing concerned allegations that Respondent violated RCW 42.17A.700 by failing to file a Personal Financial Affairs Statement (F-1 report) for calendar year 2017 no later than April 16, 2018.

After reviewing the record, including the testimony by PDC Staff and the Respondent, the Commission HEREBY ORDERS that the following Findings of Fact, Conclusions of Law, and Final Order of the Commission be entered:

## II. FINDINGS OF FACT

- 1. Respondent Stephen Holman is a retired District Court Judge for Kitsap County. He served in that position since at least 2006 and retired June 30, 2017.
- 2. The Respondent was required to file an F-1 report disclosing financial activities in calendar year 2017 no later than April 16, 2018, and did not timely do so.
- 3. From April to June 2018, PDC staff sent seven e-mail reminders to the Respondent concerning the F-1 filing requirement.

- 4. On July 16, 2018, PDC staff sent a warning letter by U.S. Mail, concerning the Respondent's failure to file the F-1 report.
- 5. On August 16, 2018, PDC staff served Respondent Stephen Holman by U.S. Mail and e-mail with a hearing notice for a Brief Adjudicative Proceeding to be held on September 24, 2018, concerning failure to file the F-1 report.
- 6. On September 24, 2018, a Brief Adjudicative Proceeding was held in which the Presiding Officer found Stephen Holman in violation of RCW 42.17A.700 for failing to file an F-1 report for calendar year 2017. Mr. Holman was assessed a \$250 penalty in accordance with WAC 390-37-143, the penalty schedule adopted by the Commission, which was payable within 30 days of the date of the Order.
- 7. An Initial Order was entered October 5, 2018, and notice of the outcome of the hearing was sent by e-mail to Mr. Holman the same day.
- 8. On October 10, 2018, Mr. Holman submitted a written statement explaining his extenuating medical circumstances and filed the missing F-1 report for calendar year 2017.
- 9. Respondent requested a Review Hearing on October 10, 2018. Notice was provided to him on October 15, 2018, of the date and time of the October 25, 2018, Review Hearing.
  - 10. Respondent has no previous violations.

## III. CONCLUSIONS OF LAW

- 1. The Commission has jurisdiction over this proceeding pursuant to Chapter 42.17A RCW, the State campaign finance and disclosure law; Chapter 34.05 RCW, the Administrative Procedure Act; and Title 390 WAC.
- 2. RCW 42.17A.700 states in part: "After January 1st and before April 15th of each year, every elected official and every executive state officer shall file with the commission a statement of financial affairs for the preceding calendar year."
  - 3. WAC 390-24-025 states in part: "Any person holding elected public office, except as

1	exempted by the terms of RCW 42.17A.700, is required to file the statement of financial
2	affairs if such person holds such public office between January 1 and April 15 of any year. Such
3	report shall be for the preceding calendar year."
4	4. Respondent Stephen Holman violated RCW 42.17A.700 by failing to file the F-1 report
5	for calendar year 2017 no later than April 16, 2018.
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7	IV. ORDER
8	Based upon the Findings and Conclusions, the Commission orders that:
9	1. The Initial Order is AMENDED and the \$250 total civil penalty is vacated due to the
10	following mitigating circumstances: the Respondent was not a candidate for election in 2017,
11	was served reminders of his obligations to an incorrect physical address and e-mail address, had
12	extenuating medical issues that prevented him from timely filing the F-1 report, and the
13	Respondent filed the F-1 report prior to the Review Hearing.
14	2. Respondent Stephen Holman will file all required PDC reports in accordance with RCW
15	42.17A.755(4) and have no further violations of Chapter 42.17A RCW or Title 390 WAC within
16	four years of this order.
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18	The Executive Director is authorized to enter this Order on behalf of the Commission.
19	So ORDERED this 31 <sup>st</sup> day of October, 2018.
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21	WASHINGTON STATE PUBLIC
22	DISCLOSURE COMMISSION
23	FOR THE COMMISSION:
24	CAMILLA
25	Peter Lavallee  Executive Director
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1	A copy of this Order mailed and/or emailed to:
2 3	Stephen Holman, Respondent 4643 Flying Goat Ave. NE Bainbridge Island, WA 98110
4	Phil Stutzman, PDC Staff (phil.stutzman@pdc.wa.gov)
5	Chad Standifer, Assistant Attorney General (ChadS@atg.wa.gov)
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8	I, Tabathe Black smith, certify that I mailed a copy of this order to the Respondent/ Applicant at his/her respective
9	address postage pre-paid on the date stated herein.
10	Tobatta Pleusmid 16/31/18
11	Signed Date
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1	NOTICE: RECONSIDERATION
2	Pursuant to the provisions of RCW 34.05.470 and WAC 390-37-150, you may file a Petition for
3	Reconsideration with the PDC within ten (10) days from the date this Final Order is served upon
4	you. Any Request for reconsideration must state the specific grounds for the relief requested.
5	Petitions must be delivered or mailed to the Washington State Public Disclosure Commission,
6	711 Capitols Way, Room 206, Box 40908, Olympia WA 98504-0908.
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8	NOTICE: <u>PETITION FOR JUDICIAL REVIEW</u>
9	You have the right to appeal this Final Order to Superior Court, pursuant to the Petition for
10	Judicial Review provisions of RCW 34.05.542. Any Petition for Judicial Review of this Final
11	Order must be filed with the court and also served upon both the Commission and the Office of
12	the Attorney general within thirty (30) days after the date this Final Order is served upon you.
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