



STATE OF WASHINGTON  
PUBLIC DISCLOSURE COMMISSION

711 Capitol Way Rm. 206, PO Box 40908 • Olympia, Washington 98504-0908 • (360) 753-1111 • FAX (360) 753-1112 • Toll Free 1-877-601-2828 • E-mail: [pdc@pdc.wa.gov](mailto:pdc@pdc.wa.gov) • Website: [www.pdc.wa.gov](http://www.pdc.wa.gov)

August 12, 2015

Lloyd Caton  
PO Box 742  
Tonasket WA 98855-0742

Subject: PDC Case No. 16-009

Dear Mr. Caton:

Enclosed is a copy of the Public Disclosure Commission's Order Imposing Fine that was entered in the above-referenced case. The Presiding Officer assessed a civil penalty of \$500 based on WAC 390-37-160 for a filer with two prior violations that failed to file the missing Personal Financial Affairs Statement (F-1 report), of which \$100 is suspended on the condition that you:

- (1) File the missing F-1 report within 30 days;
- (2) Pay the \$400 non-suspended portion of the penalty within 30 days of the date of the Order.

Please submit the \$400 non-suspended portion of the penalty to the Public Disclosure Commission and file the missing F-1 report by September 11, 2015. The check or money order is payable to the WA State Treasurer. In addition, you need to file the missing F-1 report within 30 days. If you fail to comply with any of the conditions, the suspended portion of the penalty will become immediately due and payable without further action by the Commission.

If you need assistance in filing the missing F-1 report, please contact PDC staff member Chip Beatty. Thank you for your prompt attention to this matter. If you have any questions, please contact me at (360) 664-8854; or by email at [kurt.young@pdc.wa.gov](mailto:kurt.young@pdc.wa.gov).

Sincerely,

Kurt Young  
Compliance Officer

Enclosure





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BEFORE THE PUBLIC DISCLOSURE COMMISSION  
OF THE STATE OF WASHINGTON

Lloyd Caton  
PO Box 742  
Tonasket WA 98855-0742

In Re Compliance with RCW 42.17A

Lloyd Caton

Respondent.

PDC Case No. 16-009

Findings of Fact,  
Conclusions of Law, and  
**Order Imposing Fine**

A brief enforcement hearing (brief adjudicative proceeding) was held July 30, 2015, in Room 206, Evergreen Plaza Building, 711 Capitol Way, Olympia, Washington to consider whether the Respondent violated RCW 42.17A.700 by failing to file a Personal Financial Affairs Statement (F-1 report) due April 15, 2015, disclosing financial information for calendar year 2014.

The hearing was held in accordance with Chapters 34.05 and 42.17A RCW and Chapter 390-37 WAC. A brief enforcement hearing notice was sent to Lloyd Caton on July 1, 2015. Commission Chair Katrina Asay was the Presiding Officer. The Commission staff was represented by Kurt Young, Compliance Officer. The Respondent did not participate at the hearing or submit any written materials.

Having considered the evidence, the Presiding Officer finds as follows:

FINDINGS OF FACT

1. The Respondent was a School Director for the Tonasket School District No. 404 in 2014.
2. As an incumbent School Director, the Respondent was required to file an F-1 report no later than April 15, 2015.
3. The Respondent did not file the missing F-1 report by the date of the hearing.
4. The Respondent has two prior violations: PDC Case No. 14-127; and PDC Case No. 13-231.



CONCLUSIONS OF LAW

Based on the above facts, as a matter of law, the Presiding Officer concludes as follows:

1. This matter was duly and properly convened and all jurisdictional, substantive and procedural requirements have been satisfied.
2. The Respondent violated RCW 42.17A.700 by failing to file the F-1 report by April 15, 2015.

ORDER

ON the basis of the foregoing Findings of Fact and Conclusions of Law,

**IT IS HEREBY ORDERED that the Respondent is assessed a civil penalty of \$500 in accordance with the penalty schedule set forth in WAC 390-37-160, of which \$100 of the penalty is suspended on the following conditions:**

- (1) Respondent files the missing F-1 report within 30 days of the date of the Order;**
- (2) Respondent pays the \$400 non-suspended portion of the penalty within 30 days of the date of the Order.**

This is an **Initial Order** of the Public Disclosure Commission. If the Respondent fails to comply with any of the conditions, the suspended portion of the penalty will become immediately due and payable without further action by the Commission.

Entered this 12 day of August, 2015.

Public Disclosure Commission

  
Frederick C. Kiga  
Executive Director

Enclosure: Information about Appeal Rights

I, Kurt Young, certify that I mailed a copy of this order to the Respondent(s) at his/her/its respective address, postage pre-paid, on the date stated herein.

  
Signed

8/12/2015  
Date

