

STATE OF WASHINGTON  
PUBLIC DISCLOSURE COMMISSION

711 Capitol Way Rm. 206, PO Box 40908 • Olympia, Washington 98504-0908 • (360) 753-1111 • FAX (360) 753-1112

Toll Free 1-877-601-2828 • E-mail: [pdcc@pdcc.wa.gov](mailto:pdcc@pdcc.wa.gov) • Website: [www.pdcca.wa.gov](http://www.pdcca.wa.gov)

BEFORE THE PUBLIC DISCLOSURE COMMISSION  
OF THE STATE OF WASHINGTON

In the Matter of Enforcement  
Action Against

2016 Ann Rivers Campaign

Respondent.

PDC Case 15-094

Notice of Administrative Charges

**I. Jurisdiction**

1. The Public Disclosure Commission (PDC) has jurisdiction over this proceeding pursuant to Chapter 42.17A RCW, the state campaign finance and disclosure laws; Chapter 34.05 RCW, the Administrative Procedure Act; and Title 390 WAC. These charges incorporate the Report of Investigation and all related exhibits by reference.

**II. Allegations**

2. Staff alleges that Ann Rivers, an incumbent State Senator from the 18<sup>th</sup> Legislative District and a candidate seeking re-election to that office in 2016, violated RCW 42.17A.235 and .240 by: (1) Failing to timely file Monetary Contribution reports (C-3 reports) and Campaign Summary Contribution and Expenditure reports (C-4 reports) disclosing contribution and expenditure activities undertaken for the 2016 election cycle; and (2) Inaccurately filing C-4 reports that over-reported the total Contributions and Cash on hand balances on C-4 reports filed by the Friends of Ann River by more than \$182,000.

**III. Facts**

3. On September 12, 2013, Ann Rivers filed a Candidate Registration (C-1 report) declaring her candidacy for re-election to the office of State Senator in 2016 for the 18<sup>th</sup> Legislative District, selecting the Full Reporting option. The C-1 report filed by Senator Rivers listed Fred Rivers as Treasurer and CAM Consulting as PDC Compliance.

4. On January 8, 2015, Ann Rivers filed an amended C-1 report which still listed Fred Rivers as Treasurer, but added Liz Coleman as a "Ministerial Employee."

**Allegation No.1: Failure to timely file C-3 reports disclosing monetary contributions (RCW 42.17A.235 and .240)**

5. On February 10, 2015, the 2016 Ann Rivers Campaign (Campaign) filed 13 new C-3 reports, and one amended C-3 report disclosing \$21,085 in monetary contributions that had been received dating back to May 8, 2014.
6. The C-3 reports submitted by the Campaign were filed between 63 and 246 days late as detailed in the chart below. The late filed C-3 reports disclosed the Campaign received a number of monetary contributions from corporations and organizations that employed a lobbyist in Washington and/or had a political committee registered in Washington State, prior to the start of 2015 Legislative Session. The C-3 reports were filed by the Campaign more than a month after the Legislative session had convened.

Date Received	Date Deposited	Amount of Contributions	C-3 Report Due	C-3 Report Filed	Days Late
5/8/2014	5/8/2014	\$ 900	6/10/2014	2/10/2015	246
6/12/2014	6/12/2014	\$ 500	6/16/2014	2/10/2015	240
6/23/2014	6/30/2014	\$ 1,000	7/7/2014	2/10/2015	219
7/3/2014	7/3/2014	\$ 950	7/7/2014	2/10/2015	219
7/13/2014	7/13/2014	\$ 1,900	7/14/2014	2/10/2015	212
8/12/2014	8/12/2014	\$ 500	8/18/2014	2/10/2015	177
9/12/2014	9/17/2014	\$ 5,100	9/22/2014	2/10/2015	142
9/24/2014	9/24/2014	\$ 1,500	9/29/2014	2/10/2015	135
10/1/2014	10/7/2014	\$ 2,400	10/13/2014	2/10/2015	121
10/9/2014	10/17/2014	\$ 2,335	10/20/2014	2/10/2015	114
10/21/2014	10/21/2014	\$ 400	10/27/2014	2/10/2015	107
10/28/2014	10/30/2014	\$ 850	11/3/2014	2/10/2015	100
11/9/2014	11/13/2014	\$ 2,850	12/10/2014	2/10/2015	63
<b>Totals</b>		<b>\$ 21,085</b>			<b>63-246</b>

**Allegation No. 2: Failure to timely file C-4 reports (RCW 42.17A.235)**

7. The Campaign failed to timely file a number of C-4 reports for calendar years 2014 and 2015. The initial C-4 reports and some of the amended C-4 reports included the 2012 contribution totals, which over-stated contributions by \$182,000 as detailed below.

8. The amended and new C-4 reports filed by the Campaign amended and/or replaced previously disclosed contribution and expenditure information for calendar years 2014 and 2015. In addition, some of the C-4 reports filed by the Campaign reported contribution or expenditure information that had not been disclosed on a prior C-4 report.
9. Those late filed C-4 reports are summarized below and described in more detail in exhibits #10 and #11 to the Report of Investigation.

<b>C-4 Report Received date</b>	<b>Late Information Disclosed</b>
February 10, 2015	<ul style="list-style-type: none"><li>• <b>March 1, 2014 through January 31, 2015:</b> The Campaign filed 11 new C-4 reports and five amended C-4 reports disclosing \$24,085 in monetary contributions received and \$12,086 in expenditures made that were disclosed between 31 and 276 days late.</li></ul>
December 22, 2015	<ul style="list-style-type: none"><li>• <b>October 2015:</b> The Campaign filed its initial October 2015 C-4 report disclosing \$38,930 in contributions received that were disclosed 42 days late.</li></ul>
February 17, 2016	<ul style="list-style-type: none"><li>• <b>February 2015:</b> The Campaign filed an amended February 2015 C-4 report disclosing \$500 in expenditures made, that was disclosed almost one year late.</li><li>• <b>March 2015:</b> The Campaign filed an amended March 2015 C-4 report disclosing \$1,360 in expenditures made, that were disclosed 10 months late.</li><li>• <b>May 2015:</b> The Campaign filed an amended May 2015 C-4 report disclosing \$3,625 in expenditures made, that were disclosed 252 days late.</li><li>• <b>August 2015:</b> The Campaign filed an amended August 2015 C-4 report disclosing \$6,610 in expenditures made, that were disclosed 160 days late.</li><li>• <b>September 2015:</b> The Campaign filed an amended September 2015 C-4 report disclosing \$1,6994 in expenditures made, that were disclosed 160 days late.</li><li>• <b>October 2015:</b> The Campaign filed an amended October 2015 C-4 report disclosing \$1,500 in previously un-reported monetary contributions received that were disclosed 99 days late.</li><li>• <b>November 2015:</b> The Campaign filed an amended November 2015 C-4 report disclosing \$2,360 in previously un-reported monetary contributions received that were disclosed 69 days late.</li></ul>

March 13, 2016,	<ul style="list-style-type: none"><li>• <b>September 2015:</b> The Campaign filed a new September 2015 C-4 report disclosing \$6,300 in previously un-reported monetary contributions received that were disclosed 185 days late.</li><li>• <b>November 2015:</b> The Campaign filed a new November 2015 C-4 report disclosing \$4,050 in previously un-reported monetary contributions received that were disclosed 94 days late.</li></ul>
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**Allegation No. 3: Failure to accurately disclose campaign contribution and expenditure totals and activities**

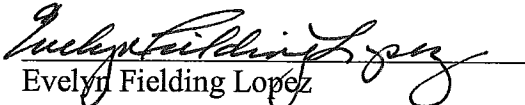
10. On February 10, 2015, the Campaign filed its initial March 2014 C-4 report, which incorrectly carried forward \$182,221 in contributions from the 2012 Campaign.
11. Staff reviewed and reconciled the Campaign filings and discovered that the "Final" 2012 Campaign C-4 report (filed September 12, 2013), disclosed \$182,221 in total contributions received, and \$182,221 in total expenditures. The 2016 C-3 and C-4 reports were filed electronically using the Online Reporting of Campaign Activities (ORCA) software, and somehow the Campaign carried forward the 2012 "Final" contribution totals of \$182,221 into the 2016 Campaign contribution totals, but none of the 2012 expenditures.
12. The initial March 2014 C-report was filed 255 days late disclosing \$182,221 in contributions carried forward, no new contributions had been received or expenditures made, \$0 in total expenditures made, and a cash on hand balance of \$182,221. Based on staff's review, the February 2014 C-4 report totals should have carried forward \$7,050 in total contributions received and \$6,548 in total expenditures made.
13. The Campaign continued to file C-4 reports that included the Final 2012 contribution totals on the C-4 totals filed for the remainder of 2014, all of calendar year 2015, and into January of 2016. During the period April 1, 2014 through January 31, 2016, whenever the Campaign received new contributions for the 2016 election cycle, they were added on the C-4 reports to the 2012 contribution totals, which significantly over-reported total contributions received.

14. Since the C-4 reports filed by the Campaign during this period failed to carry forward any of the 2012 expenditure totals from the prior reporting period, each C-4 report began with \$0 expenditures so the cash on hand balance was significantly over-stated as well.
15. The Campaign filed numerous new and amended C-4 reports, that included three to six new or amended C-4 reports being filed for each month, with each of C-4 reports disclosing differing contribution, expenditure, or outstanding liabilities information than what was disclosed on the initial C-4 report, the prior amendment, or the most recent C-4 filing.

#### **IV. Laws**

**RCW 42.17A.235** and **240** require continuing political committees to file timely, accurate reports of contributions and expenditures. Under the full reporting option, until five months before the general election, C-4 reports are required monthly when contributions or expenditures exceed \$200 since the last report. C-4 reports are also required 21 and 7 days before each election in which the committee makes expenditures, and in the month following the election. Contributions are reported weekly during this same time period, and must be disclosed on Monday for contributions deposited the previous seven days.

RESPECTFULLY SUBMITTED this 13<sup>th</sup> day of October, 2016.

  
Evelyn Fielding Lopez  
PDC Executive Director





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BEFORE THE PUBLIC DISCLOSURE COMMISSION  
OF THE STATE OF WASHINGTON

In RE COMPLIANCE WITH  
RCW 42.17 and RCW 42.17A

Ann Rivers

Respondent.

PDC Case 15-94 (Ticket 2495)

Report of Investigation

**I. Background and Allegations**

- 1.1 On May 25, 2012, Ann Rivers filed a Candidate Registration (C-1 report) declaring her candidacy for State Senator in the 18<sup>th</sup> Legislative District, selecting the Full Reporting option, and listing Fred Rivers, her spouse, as Treasurer.
- 1.2 Senator Rivers was elected State Representative, Position No. 1 in the 18<sup>th</sup> Legislative District in 2010, and served one-term in the House before being elected to the Senate in 2012.
- 1.3 On September 12, 2013, Ann Rivers filed a C-1 report declaring her candidacy for re-election to the office of State Senator in 2016 for the 18<sup>th</sup> Legislative District, selecting the Full Reporting option, listing Fred Rivers as Treasurer and CAM Consulting as PDC Compliance. **Exhibit #1.**
- 1.4 On January 8, 2015, Ann Rivers filed an amended C-1 report which still listed Fred Rivers as Treasurer, but included Liz Coleman as a "Ministerial Employee." **Exhibit #2.**
- 1.5 On October 5, 2015, Kelly Hinton filed a complaint with the PDC against the 2016 Friends of Ann Rivers Campaign, alleging violations of RCW 42.17A.235 and .240 for: (1) failing to timely file Monetary Contribution reports (C-3 reports) and Campaign Summary Contribution and Expenditure reports (C-4 reports) disclosing contribution and expenditure activities undertaken for the 2016 election cycle; and (2) failing to disclose contributions received on C-3 reports, since the C-4 reports listed more than \$200,000 in total contributions received, and the C-3 reports filed to date show far less contributions.
- 1.6 On February 9, 2016, PDC staff notified Senator Rivers that a formal investigation was being opened concerning the allegation that the 2016 Friends of Ann Rivers Campaign (Campaign) failed to timely file C-3 and C-4 reports disclosing contribution and expenditure activities. **Exhibit #3.**

- 1.7 On May 11, 2016 Kelly Hinton filed an additional complaint with the PDC against Senator Rivers alleging that three expenditures made by the Campaign to Bank of America, had not been properly disclosed on C-4 reports.

## **II. Detailed Findings**

- 2.1 Candidates seeking election to office in 2016 who selected the Full Reporting option were required to file monthly C-3 and C-4 reports through May 31, 2016, due by the 10th of each month and disclosing contribution and expenditure activities of more than \$200 incurred in the previous calendar month, or since the last C-4 report was filed. If less than \$200 in contribution or expenditure activities were undertaken by the Campaign, no C-3 or C-4 reports were required to have been filed, although the reporting dates listed in the Online Reporting of Campaign Activity (ORCA) are every month.
- 2.2 RCW 42.17A.220 requires candidates to timely deposit monetary contributions received into the campaign bank account or depository within five business days of receipt.
- 2.3 Staff reviewed the complaint, and the C-3 and C-4 reports filed by the 2016 Campaign, and conducted queries of the PDC contribution and expenditure databases, and found the following:

### **September 2013 through January 31, 2014**

- 2.4 The Campaign timely filed nine C-3 reports disclosing \$7,050 in monetary contributions that had been received and deposited during the period September 12, 2013 through January 12, 2014.
- 2.5 The Campaign timely filed C-4 reports covering the periods of September 1, 2013 through February 28, 2014, with the February 2014 C-4 report disclosing that \$7,050 in total contributions had been received, \$6,548 in total expenditures made, with a cash on hand balance of \$501.77. **Exhibit #4.**

### **Allegation No. 1: Failure to accurately disclose campaign contribution and expenditure totals and activities**

#### **March 2014:**

- 2.6 On February 10, 2015, the Campaign filed its next C-4 report, the March 2014 C-4 report. **Exhibit #5.** The initial March 2014 C-4 report filed by the Campaign, incorrectly carried forward \$182,221.17 in contributions received on line #1 of the report, disclosed no new contributions had been received or expenditures made, no total expenditures made on line #17, and a cash on hand balance of \$182,221.17.
- 2.7 Based on the February 2014 C-4 report totals, the March 2014 C-4 report should have carried forward \$7,050 in total contributions received and \$6,548 in total expenditures made. Staff reviewed and reconciled the Campaign filings and discovered that the "Final" 2012 Campaign C-4 report (filed September 12, 2013), disclosed \$182,221.17 in total contributions received, and \$182,221.17 in total expenditures made for the entire 2012 election cycle. **Exhibit #6.**



- 2.8 The C-3 and C-4 reports were filed electronically by the Campaign using the ORCA software. Somehow the Campaign carried forward the 2012 "Final" contributions totaling \$182,221.17 into the 2016 Campaign contribution totals, but they did not carry forward any of the 2012 expenditures into ORCA.

April 1, 2014 through January 31, 2016

- 2.9 The Campaign continued to include the Final 2012 contribution totals on the C-4 totals filed for the remainder of 2014, all of calendar year 2015, and into January 2016.
- 2.10 Whenever the Campaign received new contributions for the 2016 election cycle, they were added on the C-4 reports to the 2012 contribution totals, which significantly over-reported total contributions received. In addition, the C-4 reports filed by the Campaign during this period failed to carry forward any of the 2012 expenditure totals from the prior reporting period, so each C-4 report began with \$0 expenditures on line #10.
- 2.11 The Campaign's failure to carry forward expenditure totals combined with the 2012 "Final" contribution totals being included in the 2016 contributions totals, over-reported the Cash on hand balances on line #18 of the C-4 reports as noted below by more than \$182,000.
- 2.12 On October 28, 2015, PDC staff member's Jennifer Hansen and William Lemp, met with Liz Coleman, Treasurer for Senator Ann Rivers Campaign, concerning the October 5, 2015 complaint filed by Mr. Hinton. PDC staff discussed with Ms. Coleman the C-3 and C-4 reporting discrepancies for the 2016 Campaign as listed in the complaint. During the meeting, Ms. Coleman stated that she discovered what appear to be duplicate reports, but that she will need some additional time to research the deposit dates for the contributions, and the information listed on the C-4 reports. Ms. Coleman stated that once that had been completed, she would sign a letter to the PDC explaining the reason for the late filings.
- 2.13 On February 12, 2016, the Campaign filed the January 2016 C-4 report which was the last C-4 report that included the 2012 contribution totals. The initial January 2016 C-4 report disclosed that the Campaign raised \$255,086 in total contributions, made \$0 in expenditures, and had a \$255,086 cash on hand balance as of January 31, 2016. **Exhibit #7.**
- 2.14 Shortly after being contacted by staff, the Campaign filed a number of new or amended C-4 reports to correct the C-4 reporting discrepancies over a three-week period as detailed in section 2.19 of this Report. that included: (1) 18 amended C-4 reports filed on February 17, 2016; (2) Four new C-4 reports filed on February 28, 2016; (3) Six new C-4 reports and five amended C-4 reports filed on March 6, 2016; (4) Six amended C-4 reports filed on March 7, 2016; (5) Three new C-4 reports filed on March 8, 2016; and (6) 17 new C-4 reports filed on March 13, 2016.
- 2.15 On March 13, 2016, the Campaign re-filed a new January 2016 C-4 report correcting the contributions 2016 totals, and subtracting out the 2012 contribution totals. The C-4 report disclosed the Campaign received \$111,465 in contributions (no new contributions were received due to the Legislative Session freeze period), \$41,047 in total expenditures made, and \$70,418 for a cash on hand balance as of January 31, 2016. **Exhibit #8.**

**Allegation No. 2: Failure to timely file C-3 reports disclosing monetary contributions (RCW 42.17A.235 and .240)**

- 2.16 On February 10, 2015, the Campaign filed 12 new C-3 reports, and one amended C-3 report disclosing \$21,085 in monetary contributions that had been received dating back to May 8, 2014. **Exhibit #9.**
- 2.17 The C-3 reports were filed by the Campaign between 63 and 246 days late as detailed in the chart below, and disclosed a number of monetary contributions that had been received from "special interest" organizations prior to the start of 2015 Legislative Session. The C-3 reports were filed by the Campaign more than a month after the Legislative session had convened.

Date Received	Date Deposited	Amount of Contributions	C-3 Report Due	C-3 Report Filed	Days Late
5/8/2014	5/8/2014	\$ 900	6/10/2014	2/10/2015	246
6/12/2014	6/12/2014	\$ 500	6/16/2014	2/10/2015	240
6/23/2014	6/30/2014	\$ 1,000	7/7/2014	2/10/2015	219
7/3/2014	7/3/2014	\$ 950	7/7/2014	2/10/2015	219
7/13/2014	7/13/2014	\$ 1,900	7/14/2014	2/10/2015	212
8/12/2014	8/12/2014	\$ 500	8/18/2014	2/10/2015	177
9/12/2014	9/17/2014	\$ 5,100	9/22/2014	2/10/2015	142
9/24/2014	9/24/2014	\$ 1,500	9/29/2014	2/10/2015	135
10/1/2014	10/7/2014	\$ 2,400	10/13/2014	2/10/2015	121
10/9/2014	10/17/2014	\$ 2,335	10/20/2014	2/10/2015	114
10/21/2014	10/21/2014	\$ 400	10/27/2014	2/10/2015	107
10/28/2014	10/30/2014	\$ 850	11/3/2014	2/10/2015	100
11/9/2014	11/13/2014	\$ 2,850 (*)	12/10/2014	2/10/2015	63
<b>Totals</b>		<b>\$ 21,085</b>			<b>63-246</b>

(\*) Denotes Amended C-3 report disclosing new contributions only.

- 2.18 The late filed C-3 reports disclosed the following monetary contributions that had been received by the Campaign during the period May 8 through November 13, 2014, from contributors that employed a lobbyist during the 2015 Legislative session:
- A total of \$3,800 from the Bassford family members (Dennis, David, Sara and Robin) owners of Moneytree, that were all received on September 12, 2014.
  - \$1,900 contribution Washington Medical PAC received on November 13, 2014.
  - A \$950 contribution from Trans Alta USA, received on November 9, 2014.
  - A \$950 contribution from Amgen, Inc. received on September 17, 2014.
  - A \$500 contribution from Pacific International Terminals, LLC received on June 30, 2014.

- A \$500 contribution from Vulcan, Inc. received on August 12, 2014.
- A \$500 contribution from Allergan USA, Inc. received on October 30, 2014.
- A \$400 contribution from Microsoft received on October 21, 2014.

**Allegation No. 3: Failure to timely file C-4 reports (RCW 42.17A.235)**

- 2.19 The Campaign timely filed the December 2015 C-4 report on January 10, 2016. In addition, the Campaign filed three C-4 reports late, the April and June 2015 C-4 reports and the January 2016 C-4 report, but those reports disclosed \$0 in contributions received and expenditures made, and were not a required filing since they did not exceed the \$200 statutory threshold found in RCW 42.17A.235(1)(c).
- 2.20 The Campaign failed to timely file a number of C-4 reports for calendar years 2014 and 2015. The Campaign also filed numerous amended and new C-4 reports to amend and/or replace the 2014 and 2015 C-4 reports that included the 2012 contribution totals, and some of those reports disclosed contribution or expenditure information that was not included on a prior C-4 report. Those C-4 reports are summarized in the chart and described in detail below.

<b>C-4 report Date Filed</b>	<b>Late filed C-4 reports</b>	<b>New/Amended C-4 Reports filed</b>	<b>Period Covered</b>
2/10/2015	16	11–New; 5 – Amended	March 1, 2014 through January 31, 2015
4/20/2015	2	2 – New	February 1 through March 31, 2015
7/15/2015	2	2 – New	May 1 through June 30, 2015
12/22/2015	2	2 – New	October 1 through November 30, 2015
2/17/2016	18	18 – Amended	January 1 2015 through January 31, 2016
2/28/2016	4	4 – New	March 1 through June 30, 2014
3/6/2016	11	6 – New; 5 – Amended	September 12, 2013 to June 30, 2014
3/7/2016	5	5 – Amended	February 1 to June 30, 2014
3/8/2016	3	3 – Amended	July 1 to September 30, 2014.
3/13/2016	17	17 – New	October 1 to February 29, 2016
5/17/2016	11	1–New; 10 – Amended	January 1 through April 30, 2014; August 1, 2014 through January 31, 2015; and for April of 2016

- 2.21 Since the total 2012 contribution totals were carried forward into the 2016 Campaign totals, the initial C-4 reports filed by the Campaign for the period March 1, 2014 through January 31, 2016, overstated total contribution by more than \$182,000, understated total expenditures by up to \$40,000 (+), and overstated the cash on hand balance by more than \$182,000.

2.22 As noted in the chart above, during the period February 17 through March 13, 2016, the Campaign filed numerous new and amended C-4 reports. Those reports included three to six new or amended C-4 reports filed for each month, with each filed report frequently disclosing different contribution, expenditure, or outstanding liabilities totals and information on each than what was disclosed on the initial C-4 report, the prior amendment, or the most recent C-4 filing.

2.23 The initial, amended, and new C-4 reports filed by the Campaign that are referenced in the chart above, are summarized in a PDC staff generated Word document as a narrative exhibit. **Exhibit #10.** In addition, the initial, amended, and new C-4 reports filed by the Campaign that are summarized in Exhibit #10, are also listed in a PDC staff generated Word document as a table. **Exhibit #11.** The late filed C-4 information disclosed by the Campaign, included the following:

- March 1, 2014 through January 31, 2015: On February 10, 2015, the Campaign filed 11 new C-4 reports and five amended C-4 reports disclosing \$24,085 in monetary contributions received and \$12,086 in expenditures made that were disclosed between 31 and 276 days late.
- February 2015: On February 17, 2016, the Campaign filed an amended February 2015 C-4 report disclosing \$500 in expenditures made, that was disclosed almost one year late.
- March 2015: On February 17, 2016, the Campaign filed an amended March 2015 C-4 report disclosing \$1,360 in expenditures made, that were disclosed 10 months late.
- May 2015: On February 17, 2016, the Campaign filed an amended May 2015 C-4 report disclosing \$3,625 in expenditures made, that were disclosed 252 days late.
- August 2015: On February 17, 2016, the Campaign filed an amended August 2015 C-4 report disclosing \$6,610 in expenditures made, that were disclosed 160 days late.
- September 2015: On February 17, 2016, the Campaign filed an amended September 2015 C-4 report disclosing \$1,6994 in expenditures made, that were disclosed 160 days late. On March 13, 2016, the Campaign filed a new September 2015 C-4 report disclosing \$6,300 in previously un-reported monetary contributions received that were disclosed 185 days late.
- October 2015: On December 22, 2015, the Campaign filed its initial October 2015 C-4 report disclosing \$38,930 in contributions expenditures made, that were disclosed 42 days late. On February 17, 2016, the Campaign filed an amended October 2015 C-4 report disclosing \$1,500 in previously un-reported monetary contributions received that were disclosed 99 days late.
- November 2015: On February 17, 2016, the Campaign filed an amended November 2015 C-4 report disclosing \$2,360 in previously un-reported monetary contributions received that were disclosed 69 days late. On March 13, 2016, the Campaign filed a new November 2015 C-4 report disclosing \$4,050 in previously un-reported monetary contributions received that were disclosed 94 days late.

**Responses received from Senator Rivers**

- 2.24 On February 24, 2016, Senator Rivers sent an email to PDC staff providing an initial response to the complaint and staff's initial questions. **Exhibit #12.** On March 15, 2016, Senator Rivers sent an email to PDC staff providing a supplemental response staff questions. **Exhibit #13.** On April 1, 2016, Senator Rivers sent an email to PDC staff providing a response to staff's follow-up questions concerning several expenditures made by the 2016 Campaign. **Exhibit #14.** The three responses from Senator Rivers have been combined into one response as detailed below.
- 2.25 Senator Rivers stated that she did not receive notification of the Kelly Hinton complaint from the PDC prior to the February 10, 2016 letter from PDC staff formally logging in the complaint. She stated that in early 2014 her Campaign's "compliance professional" who filed the PDC reports retired, and she discovered late in calendar year 2014 that the individual she had hired to replace her *"was not doing the job."* She stated that she thought her replacement "compliance professional" was reconciling the contribution and expenditure information with the campaign bank statements, but now she is not so sure,
- 2.26 Senator Rivers stated that her new "compliance professional" took over mid 2016 campaign, which was *"...further complicated when the previous compliance professional refused to provide their ORCA files and password, which meant recreating the entire campaign from the beginning. This has proven to be a learning experience."* She went on to state the following:
- *"This past fall I proactively reached out to your office as the result of a local "blog" brought to my attention by a constituent. At that time my compliance professional and I attempted to resolve the issue without the benefit of knowing any of the details of the complaint."*
  - *"This has been a great lesson in seeing the PDC work as it was intended - a tool for transparency. I sincerely appreciate the local watchdog who brought this to your attention as well as the time and effort the staff at the PDC has spent reviewing this and aiding in the ultimate resolution. There is no benefit for me to have inaccurate reports. I'm truly thankful that our state has a system with such effective checks and balances."*
- 2.27 Senator Rivers stated that Ms. Coleman did not inform her whenever she filed a C-3 or C-4 report, but she did receive the PDC notifications through ORCA.
- 2.28 Senator Rivers stated the process for the Campaign was to submit the contribution, deposit and expenditure information to her "compliance professionals" (CAM Consulting and Ms. Coleman) so they could file the PDC reports.
- 2.29 Senator Rivers stated that she did not review the C-3 and C-4 filings submitted by her treasurer, either prior to being transmitted electronically or after being filed, because of the electronic nature of C-3 and C-4 reports, she didn't think that was possible. She stated that the Campaign has implemented new processes concerning the handling and reporting of campaign contributions and expenditures.

- 2.30 Senator Rivers stated the Campaign is confident that *"...this issue has been resolved and will not recur*. In addition, Ms. Coleman is currently reconciling the contribution and expenditure information on monthly basis. As part of this investigation, PDC staff asked Senator Rivers several follow-up questions concerning some of the expenditures made by the Campaign, as detailed below.

**\$6,610 expenditure to CCJLA on August 15, 2015 for FFA/4-H:**

Senator Rivers stated the 18<sup>th</sup> legislative district is a very rural district and is engaged with the Future Farmers of America (FFA) and the 4H. Every year the Clark County Junior Livestock Association (JLA) holds an auction at the Clark County Fairgrounds, and she spends the entire day sitting in the fairground stands. While sitting in the stands, she gets to meet and talk to hundreds of people about herself and her Campaign. After the animals have been shown and judged at the fair, the animals are then auctioned off, and she bids on many of the animals, and each time she makes a bid, the auctioneer calls out "ANN RIVERS!!"

Senator Rivers stated by the end of the day, thousands of people will have heard her name at the fairgrounds, and that because of her involvement she stated her campaign has received contributions from individuals and business leaders who attend the event. When she wins the auctioned animal, she makes a campaign expenditure to the JLA, FFA or 4H, and then she donates the animal to YEAH. She stated YEAH "...collects the meat after processing it and delivers it to the Clark County Food Bank..." The food bank has a media event where they announce the amount of meat donated to YEAH, and that her Campaign has received a lot of attention from that event over the years.

**Two expenditures to CCSC totaling \$4,325 for: (1) A May 16, 2015, event sponsorship (\$3,525); and (2) A December 12, 2014 marketing/event sponsorships (\$1,100):**

Senator Rivers stated that state funding has not kept pace with the current costs and other educational demands, so the Clark County Skills Center (CCSC) Foundation needed to raise funds to help it complete its educational mission. She stated at the fundraisers, the food is prepared by CCSC culinary students and then served to attendees by CCSC students in the hospitality program.

Senator Rivers stated that her Campaign's sponsorships of the events, allows the Campaign logo to be included in marketing materials that are distributed both prior to and at the event. She stated that at the fundraising event, she speaks to attendees on an individual basis and that some of the people she has met over the years have hosted fundraisers for her Campaign or made contributions to her campaign's.

**Two expenditures to the Salvation Army totaling \$3,000: (1) A December 12, 2014 marketing and event sponsorships (\$2,000); and (2) A November 9, 2013 marketing/event donation (\$1,000).**

Senator Rivers stated she/her Campaign became a primary sponsor for the Salvation Army, Washougal Chapter's fall fundraiser several years ago, and that her Campaign paid for the 2014 and 2015 fundraising events.

Senator Rivers stated that this event is held in a very rural part of her legislative district, and she wouldn't normally be in contact with about 200 people who are active in that part of the community. She stated that her sponsorship of the event includes having her Campaign logo on marketing materials, and provides her with "stage time" to address the attendees. She noted that her numbers in the rural Washougal area "have improved noticeably" since she began doing this event.

**Three expenditures to Bank of America, two in 2014 and one in 2015, totaling \$9,905.**

Senator Rivers stated that her Campaign made the three expenditures to Bank of America (BOA) to repay her for campaign expenditures that she had made using her personal credit card. She stated that the Campaign has now *"...obtained a debit card on the campaign account that will be used in cases where the credit card would formerly have been used for campaign expenses...."*

Senator Rivers stated that the Campaign has filed amended C-4 reports disclosing the original vendors for each expenditure she made using the BOA credit card. She stated that the Campaign had previously disclosed the vendor name and the amount of the charge for the services provided to the campaign on the Schedule B to C-4 report as orders-placed, debts and obligations. She stated that all Campaign expenditures, amounts and descriptions of services have been disclosed in the PDC reports and have been filed in accordance and with the direction of PDC staff.

**Two expenditures to BGSD totaling \$2,188 for: (1) A \$1,314 expenditure made on August 28, 2014 to sponsor a field trip; and (2) A \$874 expenditure made on September 4, 2015 for student transportation/ marketing.**

Senator Rivers stated that both of the Campaign expenditures to the Battle Ground School District were to sponsor a "...5<sup>th</sup> grade class of Captain Strong Elementary in a trip to Olympia – specifically the cost of the transportation." She stated this was an official school district sponsored activity, so her Campaign logo was not used or included in any of the materials sent home to parents. She stated that her name was included as a sponsor of the trip and that it raised her "name identification" in the district. She added that her Campaign received some media attention from a local newspaper in the form of a story, and that her name was mentioned in the BGSD newsletter.

### **III. Scope**

**3.1 PDC staff reviewed the following documents:**

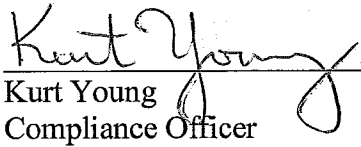
- The complaints filed by Kelly Hinton against the Ann Rivers Campaign.
- C-1 reports, C-3 reports, and C-4 reports, including amended reports filed by the Ann Rivers Campaign.
- March 15, 2016, email from Senator Rivers sent to PDC staff.
- April 1, 2016, email from Senator Rivers sent to PDC staff.

- Telephone and email exchanges between Liz Coleman and PDC staff.

**IV.**  
**Laws**

- 4.1 **RCW 42.17A.235 and 240** require candidates to timely file accurate and complete reports of contribution and expenditure activities undertaken by the campaign. Under the full reporting option, until five months before the general election, C-4 reports are required monthly when contributions or expenditures exceed \$200 since the last report.

Respectfully submitted this 12<sup>th</sup> day of October, 2016.

  
Kurt Young  
Compliance Officer



**List of Exhibits**

- Exhibit #1** September 12, 2013, Candidate Registration (C-1 report) filed by Ann Rivers declaring her candidacy for re-election to the office of State Senator in 2016, and listing Fred Rivers as Treasurer.
- Exhibit #2** January 8, 2015, Ann Rivers filed an amended C-1 report still listing Fred Rivers as Treasurer, but included Liz Coleman as a "Ministerial Employee"
- Exhibit #3** October 5, 2015, Kelly Hinton filed a complaint with the PDC against the 2016 Ann Rivers Campaign, alleging violations of RCW 42.17A.235 and .240. On February 9, 2016, PDC staff notified Senator Rivers that staff was opening a formal investigation concerning the allegation that the 2016 Ann Rivers Campaign (Campaign) failed to timely file C-3 and C-4 reports disclosing contribution and expenditure activities.
- Exhibit #4** February 2014 C-4 report filed by the Campaign on March 8, 2014.
- Exhibit #5** March 2014 C-4 report filed by the Campaign on February 10, 2015.
- Exhibit #6** "Final" 2012 C-4 report filed by the Campaign on September 12, 2013.
- Exhibit #7** January 2016 C-4 report filed by the Campaign on February 12, 2016.
- Exhibit #8** New January 2016 C-4 report filed by the Campaign on March 13, 2016.
- Exhibit #9** February 10, 2015, the Ann Rivers Campaign files 12 new Monetary Contributions reports (C-3 reports), and one amended C-3 report.
- Exhibit #10** Staff generated Word document providing a narrative for the contribution, expenditure and outstanding liabilities disclosed on the new and amended C-4 reports filed by the Campaign.
- Exhibit #11** Staff generated Word document table providing a listing of the Campaign contributions, expenditures and outstanding liabilities disclosed on the new and amended C-4 reports.
- Exhibit #12** February 24, 2016, Senator Rivers sent an email to PDC staff providing a response to the complaint and staff's initial questions.
- Exhibit #13** March 15, 2016, Senator Rivers sent an email to PDC staff providing a supplemental response to staff's questions.
- Exhibit #14** April 1, 2016, Senator Rivers sent an email to PDC staff providing a response to staff's follow-up questions concerning several expenditures made by the Campaign.



<b>PUBLIC DISCLOSURE COMMISSION</b>  711 CAPITOL WAY RM 206 PO BOX 40908 OLYMPIA WA 98504-0908 (360) 793-1111 Toll Free 1-877-601-2828		<h2 style="margin: 0;">Candidate Registration</h2>		<h1 style="font-size: 2em; margin: 0;">C1</h1> <small>(1/2008)</small>	100543432  09-12-2013
Candidate's Name (Give candidate's full name.)				Telephone Number	
ANNA M RIVERS				360-450-7994	
Candidate's Committee Name (Do not abbreviate.)				Fax Number	
FRIENDS OF ANN RIVERS					
Mailing Address				Candidate's E-Mail Address	
PO BOX 957				ANNRIVERS18@COMCAST.NET	
City		County		Zip + 4	
LA CENTER		CLARK		98629	
				Campaign E-Mail Address	
				COLLEENMORSE@EARTHLINK.NET	
1. What office are you running for? <span style="float: right;">Legislative District, County or City</span> <span style="float: right;">Position No.</span> <span style="float: right;">Do you now hold this office?</span> STATE SENATOR <span style="margin-left: 100px;">LEG DISTRICT 17 - SENATE</span> <span style="margin-left: 50px;">NA</span> <span style="margin-left: 50px;">Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></span>					
2. Political party (If partisan office)				3. Date of general or special election	
REPUBLICAN				11/08/2016	
4. How much do you plan to spend during your entire election campaign, including the primary and general elections? Based on that estimate, choose one of the reporting options below. If no box is checked you are obligated to use Option II, Full Reporting. See instruction manuals for information about reports required and changing reporting options.					
<input type="checkbox"/> <b>Option I MINI REPORTING:</b> In addition to my filing fee of \$_____, I will raise and spend no more than \$5,000, including any charges for inclusion in state and local voters pamphlets. I will not accept more than \$500 in the aggregate from any contributor except myself.					
<input checked="" type="checkbox"/> <b>Option II FULL REPORTING:</b> I will use the Full Reporting system. I will file the frequent, detailed campaign reports required by law.					
5. Treasurer's Name and Address. Does treasurer perform only ministerial functions? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> See WAC 390-05-243 and next page for details. List deputy treasurers on attached sheet. <span style="float: right;"><input type="checkbox"/> Continued on attached sheet.</span>				Daytime Telephone Number	
FRED RIVERS PO BOX 957, LA CENTER WA 98629				360-931-3403	
6. Persons who perform only ministerial functions on your behalf and on behalf of other candidates or political committees. List name, title and address of these persons. See WAC 390-05-243 and next page for details. <span style="float: right;"><input type="checkbox"/> Continued on attached sheet.</span>					
CAM CONSULTING, PDC COMPLIANCE, PO BOX 693, CENTRALIA WA 98531					
7. Committee Officers and other persons who authorize expenditures or make decisions on your behalf. List name, title and address. See next page for definition of "officer." <span style="float: right;"><input type="checkbox"/> Continued on attached sheet.</span>					
ANN RIVERS, CANDIDATE, PO BOX 957, LA CENTER WA 98629					
8. Campaign Bank or Depository		Branch		City	
FIBRE FEDERAL CREDIT UNION		WOODLAND		WOODLAND	
9. Related or Affiliated Political Committees. List name, address and relationship. <span style="float: right;"><input type="checkbox"/> Continued on attached sheet.</span>					
ANN RIVERS SURPLUS ACCT, SURPLUS FUNDS, PO BOX 957, LA CENTER WA 98629					
10. Campaign books must be open to the public by appointment between 8 a.m. and 8 p.m. during the eight days before the election, except Saturdays, Sundays, and legal holidays. In the space below, provide contact information for scheduling an appointment and the address where the inspection will take place. It is not acceptable to provide a post office box or an out-of-area address.					
Street Address, Room Number, City where campaign books will be available for inspection 33911 NE 24TH AVE, LA CENTER In order to make an appointment, contact the campaign at (telephone, fax, e-mail): 360-450-7994 ANN RIVERS18@COMCAST.NET					
11. CERTIFICATION: I certify that this report is true, complete and correct to the best of my knowledge.					
Candidate's Signature				Date	
ANNA M RIVERS				09-12-2013	



<b>PUBLIC DISCLOSURE COMMISSION</b>  711 CAPITOL WAY RM 206 PO BOX 40808 OLYMPIA WA 98504-0808 (360) 753-1111 Toll Free 1-877-501-2828		<h2 style="margin: 0;">Candidate Registration</h2>		<h1 style="font-size: 2em; margin: 0;">C1</h1> <p style="font-size: 0.8em;">(1/2008)</p>	100621693  01-08-2015
Candidate's Name (Give candidate's full name.)				Telephone Number	
ANNA M RIVERS				360-450-7994	
Candidate's Committee Name (Do not abbreviate.)				Fax Number	
FRIENDS OF ANN RIVERS					
Mailing Address				Candidate's E-Mail Address	
PO BOX 957				ANNRIVERS18@COMCAST.NET	
City		County		Zip + 4	
LA CENTER		CLARK		98629	
1. What office are you running for?				Do you now hold this office?	
STATE SENATOR				Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
2. Political party (if partisan office)				3. Date of general or special election	
REPUBLICAN				11-08-2016	
4. How much do you plan to spend during your entire election campaign, including the primary and general elections? Based on that estimate, choose one of the reporting options below. If no box is checked you are obligated to use Option II, Full Reporting. See instruction manuals for information about reports required and changing reporting options.					
<input type="checkbox"/> <b>Option I MINI REPORTING:</b> In addition to my filing fee of \$ _____, I will raise and spend no more than \$5,000, including any charges for inclusion in state and local voters pamphlets. I will not accept more than \$500 in the aggregate from any contributor except myself.					
<input checked="" type="checkbox"/> <b>Option II FULL REPORTING:</b> I will use the Full Reporting system. I will file the frequent, detailed campaign reports required by law.					
5. Treasurer's Name and Address. Does treasurer perform <u>only</u> ministerial functions? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> See WAC 390-05-243 and next page for details. List deputy treasurers on attached sheet.				Daytime Telephone Number	
FRED RIVERS PO BOX 957, LA CENTER WA 98629				360-931-3403	
6. Persons who perform only ministerial functions on your behalf <u>and</u> on behalf of other candidates or political committees. List name, title and address of these persons. See WAC 390-05-243 and next page for details.					
LIZ COLEMAN, MINISTERIAL EMPLOYEE, 887 CALVARY COURT SE, TUMWATER WA 98501					
7. Committee Officers and other persons who authorize expenditures or make decisions on your behalf. List name, title and address. See next page for definition of "officer."					
ANN RIVERS, CANDIDATE, PO BOX 957, LA CENTER WA 98629					
8. Campaign Bank or Depository		Branch		City	
FIBRE FEDERAL CREDIT UNION		WOODLAND		WOODLAND	
9. Related or Affiliated Political Committees. List name, address and relationship.					
10. Campaign books must be open to the public by appointment between 8 a.m. and 8 p.m. during the eight days before the election, except Saturdays, Sundays, and legal holidays. In the space below, provide contact information for scheduling an appointment and the address where the inspection will take place. It is not acceptable to provide a post office box or an out-of-area address.					
Street Address, Room Number, City where campaign books will be available for inspection 33911 NE 24TH AVE, LA CENTER In order to make an appointment, contact the campaign at (telephone, fax, e-mail): 360-450-7994 ANN RIVERS18@COMCAST.NET					
11. CERTIFICATION:					
I certify that this report is true, complete and correct to the best of my knowledge.					
Candidate's Signature				Date	
ANNA M RIVERS				01-08-2015	



DATE FILED PDC

Office Use Only: No. \_\_\_\_\_

OCT. 08 2015

Form revised 08/2015

**WASHINGTON STATE PUBLIC DISCLOSURE COMMISSION  
COMPLAINT FORM***(See instructions on the last page.)***Description of Complaint****1. RESPONDENT:**

Identify who you are filing a complaint against and provide all contact information you have for them. Give names and titles, if any, for individuals, and the full name of any organization. Please note that the PDC does not enforce federal campaign finance laws or local ordinances.

Example #1: Joe Public, Mayor of My Town,

123 Main Street, Your Town, State, Phone: 555-123-4567, Email: unknown

Example #2: The Political Action Group (Instead of P.A.G.), 123 Main Street, Your Town, State,

Phone: 555-123-4567, Email: pag@pag.org, Website: [www.PAGwashington.org](http://www.PAGwashington.org)

State Senator Ann Rivers, 18<sup>th</sup> District

PO Box 957 La Center, WA 98629

[email annrivers18@comcast.net](mailto:emailannrivers18@comcast.net)

phone 3609313403

**2. ALLEGED VIOLATIONS:**

Explain how and when you believe the people/entities you are filing a complaint against violated RCW 42.17/RCW 42.17A or Title 390 WAC. Be as detailed as possible about dates, times, places and acts. If you can, cite which specific laws or rules you believe were violated. Attach additional pages if needed. (Note that the RCW 42.17 citation applies to conduct before 2012 and the RCW 42.17A citation applies to conduct on or after January 1, 2012.)

Sen. Rivers has been showing approximately \$200,000 in her account for several months. A review of her account shows that her amount of money increased during the legislative session of 2014. Her C4 dated 02-05-2014 shows that she had a cash total of \$7050.

Sen. Rivers' C4 of March 8, 2014, shows the same.

On Feb 10, 2015, multiple forms, both C3 and C4 were filed, approximately 30 forms total.

The last C4 filed that day indicated that somehow, in the time period showing \$7050 and the first C4 filed Feb 10, 2015, her cash total increased approximately \$175,000.

That money could not have come from her surplus account, which has not exceeded less than \$30,000, and if every one of her donors had provided even \$1000 each, she would still have far less than \$100,000

### Evidence and Witnesses

#### 3. EVIDENCE:

List the documents or other evidence you have that support your complaint, if any, and attach copies to this form. If you do not have copies, provide any information you have about where you believe the documents or evidence can be found and how to obtain it. Attach additional pages if needed.

Example: *Emails between Joe public and Candidate X, attached OR*

*Joe Public has emails from Candidate X which describe an illegal campaign donation, and Joe Public's phone number is 555-123-4567.*

The evidence is available for review on the PDC itself: merely reviewing the paper documents themselves, particularly from the time frame of 1 Jan 14 to March of 2015.

This account is a mess. Sen. Rivers shows by far the most money collected for the 2016 legislative race, showing \$206, 806 while the next closest legislator is at \$133,169 (Rep. Macewen)

The number, according to a local forensic accountant, is not even close to what she actually has and has actually received.

I previously called the PDC and talked to a female investigator who gave a cursory look at the paperwork and was the one who pointed out to me that whatever the problem was, it apparently started on Feb 10 of this year. I told her I wasn't interested in filing a formal complaint as long as this situation was addressed.

Several weeks later, there has been no noticable change in Rivers' account.

#### 4. WITNESSES:

List the names and contact information, if known, of any witnesses or other persons who have knowledge of facts that support your complaint. Attach additional pages if needed.

Example: *Jane Public was present when Candidate X spoke to me about the illegal contribution. Jane Public's address is 123 Main Street, Your Town, USA 12345, and her phone number is 555-123-4567.*

### Certification

#### In signing this complaint:

- I have provided all information, documents and other evidence of which I am aware;
- If I become aware of additional information, documents or evidence related to my complaint, I will promptly provide it to the PDC; and,
- I am providing the PDC current information on how to contact me, and will promptly update that information if it changes.
- Unless otherwise noted, I agree that PDC may use email instead of U.S. mail for all written correspondence about this complaint.

E-mail address: educationproject@comcast.net

Your name (print or type): Kelly Hinton



Street address: 19404 NE 144<sup>th</sup> St

City, state and zip code: Brush Prairie, WA 98606

Telephone number (including area code): 3604493801

### Oath

#### Required for all complaints filed with the Public Disclosure Commission:

I certify (or declare) under penalty of perjury under the laws of the State of Washington that information provided with this complaint is true and correct to the best of my knowledge and belief.\*

Your signature \_\_\_\_\_

Date signed \_\_\_\_\_

Place signed (city and county)

Brush Prairie  
City

Clark  
County

#### Attachments

☐ Check here if you are attaching copies of documentary evidence or extra pages explaining your complaint.

\*RCW 9A.72.040 says that "(1) A person is guilty of false swearing if he makes a false statement which he knows to be false, under an oath required or authorized by law. (2) False swearing is a misdemeanor."



STATE OF WASHINGTON  
PUBLIC DISCLOSURE COMMISSION

711 Capitol Way Rm. 206, PO Box 40908 • Olympia, Washington 98504-0908 • (360) 753-1111  
Toll Free 1-877-601-2828 • E-mail: [pdc@pdc.wa.gov](mailto:pdc@pdc.wa.gov) • Website: [www.pdc.wa.gov](http://www.pdc.wa.gov)

February 10, 2016

Sent by US Postal Service and electronically to "annrivers18@comcast.net"

Friends of Ann Rivers Campaign  
PO Box 957  
La Center WA 98629

Subject: Notice of formal complaint, PDC Case No. 2495

Dear Senator Rivers:

The Public Disclosure Commission (PDC) previously notified you that Kelly Hinton filed a complaint against the 2016 Friends of Ann Rivers Campaign for re-election as State Senator in the 18<sup>th</sup> Legislative District. PDC staff reviewed the complaint and the allegations listed in the complaint, queried the PDC Contribution and Expenditures database, and reviewed the Campaign Summary Contribution and Expenditures reports (C-4 report) and Monetary Contributions reports (C-3 report) filed by the 2016 and 2012 Friends of Ann Rivers Campaign for State Senator (Campaign).

Specifically, the complaint alleged that your 2016 Campaign disclosed that the cash on hand balance in the bank account during much of 2014 and 2015 was "approximately \$200,000...Her C-4 dated 02-05-2014 shows that she had a cash total of \$7,050." Staff's review found that on February 10, 2015, your Campaign filed a number of late C-3 and C-4 reports for most of calendar year 2014, which included the following:

**C-3 reports September through December 2013:**

The Ann Rivers Campaign timely filed nine C-3 reports disclosing \$7,050 in monetary contributions that had been received and deposited during the period September 12, 2013 through January 12, 2014.

**February 2014 C-4 report:**

On March 8, 2014, the Campaign timely filed the February 2014 C-4 report disclosed \$7,050 in total monetary contributions received (no new contributions were received during the reporting period) and a total of \$6,548 in expenditures made with \$2,122 in new expenditures.

**March 2014 C-4 report:**

On February 10, 2015, the Campaign filed the March 2014 C-4 report disclosing a total of \$182,221 in contributions received on line #1 (no new contributions were received during the reporting period) and no expenditures, with a cash on hand balance of \$182,221.

Friends of Ann Rivers  
PDC Case No. 2495  
Page 2 of 2

**December 2015 C-4 report:**

On January 10, 2016, the Campaign filed the December 2015 C-4 report disclosing a total of \$245,736.17 in contributions received on line #1, plus \$9,350 in new contributions received, that no expenditures had been made and a cash on hand balance of \$252,086.

- Staff's query of the PDC database indicated that as of February 1, 2016, the 2016 Ann Rivers Campaign disclosed receiving a total of \$80,205 in monetary contributions dating back to October of 2013, and \$6,548 in expenditures made dating back to October of 2013.
- Staff reconciled your Campaign's 2016 C-4 reports and it appears when you filed the C-4 reports on February 10, 2015, you somehow included your 2012 total contributions of \$182,221 into the previous cash and in-kind contributions totals on line #1.
- The \$80,205 in monetary contribution in the first bullet should have been listed on line #8 of the January 10, 2016 C-4 report, and \$6,548 should have been listed on line #17. Thus, your 2016 has been overstating monetary contributions by \$182,221 for almost two years.

**Late filed C-3 reports:**

On February 10, 2015, the Campaign filed 13 new C-3 reports disclosing \$21,085 in monetary contributions that had been received during the period of May 8 through November 13, 2014. The C-3 reports were filed by the Campaign between 63 and 246 days late.

Based on the facts listed above, PDC staff is opening a formal investigation concerning the allegation that you failed to timely file C-3 and C-4 reports disclosing contribution and expenditure activities undertaken by your Campaign. The complaint has been assigned PDC Case No. 1962.

Staff is requesting you take the necessary corrective action, including filing new and/or amended C-4 reports to correct the overstated contributions total as soon as possible. Please contact PDC Customer Service Filing Specialists Chip Beatty at (360) 586-0616 ([chip.beatty@pdc.wa.gov](mailto:chip.beatty@pdc.wa.gov)) or Jennifer Hansen ([jennifer.hansen@pdc.wa.gov](mailto:jennifer.hansen@pdc.wa.gov)) at (360) 586-4560 for assistance in filing the reports.

Staff is also requesting that you provide a written response to the allegations listed in the complaint, the late filed C-3 and C-4 reports, and the reporting discrepancies listed above no later than February 24, 2016. Thank you for your prompt attention to this matter, and please let me know if you have any questions.

Sincerely,

  
Kurt Young  
PDC Compliance Officer  
(360) 664-8854 Direct Line

Endorsed by:

  
Evelyn Fielding Lopez  
Executive Director

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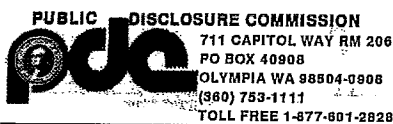
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# SUMMARY, FULL REPORT RECEIPTS AND EXPENDITURES

**C4**  
(9/97)

PDC OFFICE USE  
100570062

03-08-2014

Candidate or Committee Name (Do not abbreviate. Include full name)

ANNA M RIVERS (Friends of Ann Rivers)

Mailing Address

PO Box 957

City

La Center, WA

Zip + 4

98629

Office Sought (Candidates)

STATE SENATOR

Election Date

2016

Report Period  
Covered

From (last C-4)

02/01/14

To (end of period)

02/28/14

Final Report?

Yes No X

**\*For PACs, Parties & Caucus Committees:** During this report period, did the committee make an Independent expenditure (i.e., an expense not considered a contribution) supporting or opposing a state or local candidate?

\*See next page

Yes

No

## RECEIPTS

1. Previous total cash and in kind contributions (From line 8, last C-4)  
(If beginning a new campaign or calendar year, see instruction booklet)

\$ 7,050.00

2. Cash received (From line 2, Schedule A)

\$ 0.00

3. In kind contributions received (From line 1, Schedule B)

0.00

4. Total cash and in kind contributions received this period (Line 2 plus 3)

0.00

5. Loan principal repayments made (From line 2, Schedule L)

0.00

6. Corrections (From line 1 or 3, Schedule C) Show + or (-)

0.00

7. Net adjustments this period (Combine line 5 & 6) Show + or (-)

0.00

8. Total cash and in kind contributions during campaign (Combine lines 1, 4 & 7)

7,050.00

9. Total pledge payments due (From line 2, Schedule B)

0.00

## EXPENDITURES

10. Previous total cash and in kind expenditures (From line 17, last C-4)  
(If beginning a new campaign or calendar year, see instruction booklet)

4,426.48

11. Total cash expenditures (From line 4, Schedule A)

2,121.75

12. In kind expenditures (goods & services) (From line 1, Schedule B)

0.00

13. Total cash and in kind expenditures made this period (Line 11 plus line 12)

2,121.75

14. Loan principal repayments made (From line 2, Schedule L)

0.00

15. Corrections (From line 2 or 3, Schedule C) Show + or (-)

0.00

16. Net adjustments this period (Combine lines 14 & 15) Show + or (-)

0.00

17. Total cash and in kind expenditures during campaign (Combine lines 10, 13 and 16)

6,548.23

### CANDIDATES ONLY

Won

Lost

Unopposed

Name not  
on ballot

Primary election

☐

☐

☐

☐

General election

☐

☐

☐

☐

Treasurer's Daytime Telephone No.:

(360) 931-3403

### CASH SUMMARY

18. Cash on hand (Line 8 minus line 17)

501.77

(Line 18 should equal your bank account balance(s) plus your petty cash balance.)

19. Liabilities: (Sum of loans and debts owed)

0.00

20. Balance (Surplus or deficit) (Line 18 minus line 19)

501.77

**CERTIFICATION:** I certify that the information herein and on accompanying schedules and attachments is true and correct to the best of my knowledge.

Candidate's Signature

Date

Treasurer's Signature

Date

ANNA RIVERS

03/10/14

Fred Rivers

# CASH RECEIPTS AND EXPENDITURE

SCHEDULE  
to C4

**A**  
(11/93)

Candidate or Committee Name (Do not abbreviate. Use full name.)

ANNA M RIVERS (Friends of Ann Rivers)

Report Date

02/01/14

02/28/14

1. CASH RECEIPTS (Contributions) which have been reported on C3. List each deposit made since last C4 report was submitted.

Date of deposit	Amount	Date of deposit	Amount	Date of deposit	Amount	Total deposits

2. TOTAL CASH RECEIPTS

Enter also on line 2 of C4 \$ 0.00

CODES FOR CLASSIFYING EXPENDITURES: If one of the following codes is used to describe an expenditure, no other description is generally needed. The exceptions are:

- 1) If expenditures are in-kind or earmarked contributions to a candidate or committee or independent expenditures that benefit a candidate or committee, identify the candidate or committee in the Description block;
- 2) When reporting payments to vendors for travel expenses, identify the traveler and travel purpose in the Description block; and
- 3) If expenditures are made directly or indirectly to compensate a person or entity for soliciting signatures on a statewide initiative or referendum petition, use code "V" and provide the following information on an attached sheet: name and address of each person/entity compensated, amount paid each during the reporting period, and cumulative total paid all persons to date to gather signatures.

CODE  
DEFINITIONS  
ON NEXT PAGE

C - Contributions (monetary, in-kind & transfers)  
I - Independent Expenditures  
L - Literature, Brochures, Printing  
B - Broadcast Advertising (Radio, TV)  
N - Newspaper and Periodical Advertising  
O - Other Advertising (yard signs, buttons, etc.)  
V - Voter Signature Gathering

P - Postage, Mailing Permits  
S - Surveys and Polls  
F - Fundraising Event Expenses  
T - Travel, Accommodations, Meals  
M - Management/Consulting Services  
W - Wages, Salaries, Benefits  
G - General Operation and Overhead

3. EXPENDITURES

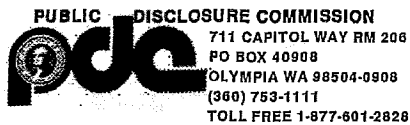
- a) Expenditures of \$50 or less, including those from petty cash, need not be itemized. Add up these expenditures and show the total in the amount column on the first line below.
- b) Itemize each expenditure of more than \$50 by date paid, name and address of vendor, code/description, and amount.
- c) For each payment to a candidate, campaign worker, PR firm, advertising agency or credit card company, attach a list of detailed expenses or copies of receipts/invoices supporting the payment.

Date Paid	Vendor or Recipient (Name and Address)	Code	Purpose of Expense and/or Description	Amount
N/A	Expenses of \$50 or less	N/A	N/A	
02/14/14	CDM SERVICES 2409 Broadway Vancouver, WA 98663		Donation	500.00
02/14/14	4-H 1919 NE 78th St. Vancouver, WA 98665		Donation	700.00
02/14/14	HEATHMAN LODGE 7801 NE Greenwood Dr Vancouver, WA 98662		Meeting expense	530.08
02/14/14	HUDSON BAR & GRILL 7805 NE Greenwood Dr Vancouver, WA 98662		Meeting meals	391.67

Total from attached pages \$ 0.00

4. TOTAL CASH EXPENDITURES

Enter also on line 11 of C4 \$ 2,121.75



# SUMMARY, FULL REPORT RECEIPTS AND EXPENDITURES

<b>C4</b> (3/97)	PDC OFFICE USE
	100627714
	02-10-2015

Candidate or Committee Name (Do not abbreviate. Include full name)  
**ANNA M RIVERS (Friends of Ann Rivers)**

Mailing Address  
**PO Box 957**

City  
**La Center, WA**

Zip + 4 <b>98629</b>	Office Sought (Candidates) <b>STATE SENATOR</b>	Election Date <b>2016</b>
Report Period Covered <b>03/01/14</b>	To (end of period) <b>03/31/14</b>	Final Report? Yes No <b>X</b>

**\*For PACs, Parties & Caucus Committees:** During this report period, did the committee make an independent expenditure (i.e., an expense not considered a contribution supporting or opposing a state or local candidate?)

## RECEIPTS

\*See next page

Yes No

1. Previous total cash and in kind contributions (From line 8, last C-4)  
(If beginning a new campaign or calendar year, see instruction booklet) ..... \$ **182,221.17**
2. Cash received (From line 2, Schedule A) ..... \$ **0.00**
3. In kind contributions received (From line 1, Schedule B) ..... **0.00**
4. Total cash and in kind contributions received this period (Line 2 plus 3) ..... **0.00**
5. Loan principal repayments made (From line 2, Schedule L) ..... **0.00**
6. Corrections (From line 1 or 3, Schedule C) ..... Show + or (-) **0.00**
7. Net adjustments this period (Combine line 5 & 6) ..... Show + or (-) **0.00**
8. Total cash and in kind contributions during campaign (Combine lines 1, 4 & 7) ..... **182,221.17**
9. Total pledge payments due (From line 2, Schedule B) ..... **0.00**

## EXPENDITURES

10. Previous total cash and in kind expenditures (From line 17, last C-4)  
(If beginning a new campaign or calendar year, see instruction booklet) ..... **0.00**
11. Total cash expenditures (From line 4, Schedule A) ..... **0.00**
12. In kind expenditures (goods & services) (From line 1, Schedule B) ..... **0.00**
13. Total cash and in kind expenditures made this period (Line 11 plus line 12) ..... **0.00**
14. Loan principal repayments made (From line 2, Schedule L) ..... **0.00**
15. Corrections (From line 2 or 3, Schedule C) ..... Show + or (-) **0.00**
16. Net adjustments this period (Combine lines 14 & 15) ..... Show + or (-) **0.00**
17. Total cash and in kind expenditures during campaign (Combine lines 10, 13 and 16) ..... **0.00**

**CANDIDATES ONLY**

	Won	Lost	Unopposed	Name not on ballot
Primary election	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General election	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Treasurer's Daytime Telephone No.:

**(360) 931-3403**

## CASH SUMMARY

18. Cash on hand (Line 8 minus line 17) ..... **182,221.17**  
(Line 18 should equal your bank account balance(s) plus your petty cash balance.)
19. Liabilities: (Sum of loans and debts owed) ..... **0.00**
20. Balance (Surplus or deficit) (Line 18 minus line 19) ..... **182,221.17**

**CERTIFICATION:** I certify that the information herein and on accompanying schedules and attachments is true and correct to the best of my knowledge.

Candidate's Signature <b>ANNA RIVERS</b>	Date <b>04/10/14</b>	Treasurer's Signature <b>Fred Rivers</b>	Date
---	-------------------------	---	------

# CASH RECEIPTS AND EXPENDITURE

SCHEDULE  
to C4

**A**  
(11/83)

2

Candidate or Committee Name (Do not abbreviate. Use full name.)

Report Date

ANNA M RIVERS (Friends of Ann Rivers)

03/01/14

03/31/14

1. CASH RECEIPTS (Contributions) which have been reported on C3. List each deposit made since last C4 report was submitted.

Date of deposit	Amount	Date of deposit	Amount	Date of deposit	Amount	Total deposits

2. TOTAL CASH RECEIPTS

Enter also on line 2 of C4 \$ 0.00

**CODES FOR CLASSIFYING EXPENDITURES:** If one of the following codes is used to describe an expenditure, no other description is generally needed. The exceptions are:

- 1) If expenditures are in-kind or earmarked contributions to a candidate or committee or independent expenditures that benefit a candidate or committee, identify the candidate or committee in the Description block;
- 2) When reporting payments to vendors for travel expenses, identify the traveler and travel purpose in the Description block; and
- 3) If expenditures are made directly or indirectly to compensate a person or entity for soliciting signatures on a statewide initiative or referendum petition, use code "V" and provide the following information on an attached sheet: name and address of each person/entity compensated, amount paid each during the reporting period, and cumulative total paid all persons to date to gather signatures.

CODE  
DEFINITIONS  
ON NEXT PAGE

C - Contributions (monetary, in-kind & transfers)  
I - Independent Expenditures  
L - Literature, Brochures, Printing  
B - Broadcast Advertising (Radio, TV)  
N - Newspaper and Periodical Advertising  
O - Other Advertising (yard signs, buttons, etc.)  
V - Voter Signature Gathering

P - Postage, Mailing Permits  
S - Surveys and Polls  
F - Fundraising Event Expenses  
T - Travel, Accommodations, Meals  
M - Management/Consulting Services  
W - Wages, Salaries, Benefits  
G - General Operation and Overhead

## 3. EXPENDITURES

- a) Expenditures of \$50 or less, including those from petty cash, need not be itemized. Add up these expenditures and show the total in the amount column on the first line below.
- b) Itemize each expenditure of more than \$50 by date paid, name and address of vendor, code/description, and amount.
- c) For each payment to a candidate, campaign worker, PR firm, advertising agency or credit card company, attach a list of detailed expenses or copies of receipts/invoices supporting the payment.

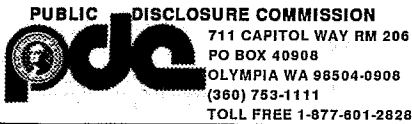
Date Paid	Vendor or Recipient (Name and Address)	Code	Purpose of Expense and/or Description	Amount
N/A	Expenses of \$50 or less	N/A	N/A	

4. TOTAL CASH EXPENDITURES

Total from attached pages \$ 0.00

Enter also on line 11 of C4 \$ 0.00





# SUMMARY, FULL REPORT RECEIPTS AND EXPENDITURES

**C4**  
(3/97)

PDC OFFICE USE  
100543421

09-12-2013

Candidate or Committee Name (Do not abbreviate. Include full name)

ANNA M RIVERS (Friends of Ann Rivers (Senate))

Mailing Address

PO Box 957

City

La Center, WA

Zip + 4

98629

Office Sought (Candidates)

STATE SENATOR

Election Date

2012

**\*For PACs, Parties & Caucus Committees:** During this report period, did the committee make an **independent expenditure** (i.e., an expense not considered a contribution supporting or opposing a state or local candidate)?

Report Period Covered

From (last C-4)

08/01/13

To (end of period)

08/31/13

Final Report?

Yes X No

## RECEIPTS

\*See next page

Yes

No

1. Previous total cash and in kind contributions (From line 8, last C-4)  
(if beginning a new campaign or calendar year, see instruction booklet)

\$ 182,221.17

2. Cash received (From line 2, Schedule A)

\$ 0.00

3. In kind contributions received (From line 1, Schedule B)

0.00

4. Total cash and in kind contributions received this period (Line 2 plus 3)

0.00

5. Loan principal repayments made (From line 2, Schedule L)

0.00

6. Corrections (From line 1 or 3, Schedule C)

Show + or (-) 0.00

7. Net adjustments this period (Combine line 5 & 6)

Show + or (-) 0.00

8. Total cash and in kind contributions during campaign (Combine lines 1, 4 & 7)

182,221.17

9. Total pledge payments due (From line 2, Schedule B)

0.00

## EXPENDITURES

10. Previous total cash and in kind expenditures (From line 17, last C-4)  
(if beginning a new campaign or calendar year, see instruction booklet)

178,344.93

11. Total cash expenditures (From line 4, Schedule A)

3,876.24

12. In kind expenditures (goods & services) (From line 1, Schedule B)

0.00

13. Total cash and in kind expenditures made this period (Line 11 plus line 12)

3,876.24

14. Loan principal repayments made (From line 2, Schedule L)

0.00

15. Corrections (From line 2 or 3, Schedule C)

Show + or (-) 0.00

16. Net adjustments this period (Combine lines 14 & 15)

Show + or (-) 0.00

17. Total cash and in kind expenditures during campaign (Combine lines 10, 13 and 16)

182,221.17

## CANDIDATES ONLY

Won

Lost

Unopposed

Name not  
on ballot

Primary election

☐

☐

☐

☐

General election

☐

☐

☐

☐

Treasurer's Daytime Telephone No.:

(360) 931-3403

## CASH SUMMARY

18. Cash on hand (Line 8 minus line 17)  
(Line 18 should equal your bank account balance(s) plus your petty cash balance.)

0.00

19. Liabilities: (Sum of loans and debts owed)

0.00

20. Balance (Surplus or deficit) (Line 18 minus line 19)

0.00

**CERTIFICATION:** I certify that the information herein and on accompanying schedules and attachments is true and correct to the best of my knowledge.

Candidate's Signature

Date

Treasurer's Signature

Date

ANNA RIVERS

09/10/13

Fred Rivers

# CASH RECEIPTS AND EXPENDITURE

SCHEDULE  
to C4

**A**  
(11/93)

2

Candidate or Committee Name (Do not abbreviate. Use full name.)

Report Date

ANNA M RIVERS (Friends of Ann Rivers (Senate))

08/01/13

08/31/13

1. CASH RECEIPTS (Contributions) which have been reported on C3. List each deposit made since last C4 report was submitted.

Date of deposit	Amount	Date of deposit	Amount	Date of deposit	Amount	Total deposits

2. TOTAL CASH RECEIPTS

Enter also on line 2 of C4 \$ 0.00

**CODES FOR CLASSIFYING EXPENDITURES:** If one of the following codes is used to describe an expenditure, no other description is generally needed. The exceptions are:

- 1) If expenditures are in-kind or earmarked contributions to a candidate or committee or independent expenditures that benefit a candidate or committee, identify the candidate or committee in the Description block;
- 2) When reporting payments to vendors for travel expenses, identify the traveler and travel purpose in the Description block; and
- 3) If expenditures are made directly or indirectly to compensate a person or entity for soliciting signatures on a statewide initiative or referendum petition, use code "V" and provide the following information on an attached sheet: name and address of each person/entity compensated, amount paid each during the reporting period, and cumulative total paid all persons to date to gather signatures.

CODE  
DEFINITIONS  
ON NEXT PAGE

C - Contributions (monetary, in-kind & transfers)  
I - Independent Expenditures  
L - Literature, Brochures, Printing  
B - Broadcast Advertising (Radio, TV)  
N - Newspaper and Periodical Advertising  
O - Other Advertising (yard signs, buttons, etc.)  
V - Voter Signature Gathering

P - Postage, Mailing Permits  
S - Surveys and Polls  
F - Fundraising Event Expenses  
T - Travel, Accommodations, Meals  
M - Management/Consulting Services  
W - Wages, Salaries, Benefits  
G - General Operation and Overhead

3. EXPENDITURES

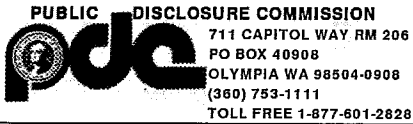
- a) Expenditures of \$50 or less, including those from petty cash, need not be itemized. Add up these expenditures and show the total in the amount column on the first line below..
- b) Itemize each expenditure of more than \$50 by date paid, name and address of vendor, code/description, and amount.
- c) For each payment to a candidate, campaign worker, PR firm, advertising agency or credit card company, attach a list of detailed expenses or copies of receipts/invoices supporting the payment.

Date Paid	Vendor or Recipient (Name and Address)	Code	Purpose of Expense and/or Description	Amount
N/A	Expenses of \$50 or less	N/A	N/A	4.91
08/01/13	ANN RIVERS SURPLUS ACCOUNT 33911 NE 24TH AVE. LA CENTER, WA 98629		Transfer 2012 funds to surplus	3,871.33

Total from attached pages \$ 0.00

4. TOTAL CASH EXPENDITURES

Enter also on line 11 of C4 \$ 3,876.24



# SUMMARY, FULL REPORT RECEIPTS AND EXPENDITURES

<b>C4</b> (3/97)	PDC OFFICE USE
	100678770
	02-12-2016

Candidate or Committee Name (Do not abbreviate. Include full name)	
ANNA M RIVERS (Friends of Ann Rivers)	
Mailing Address	City
PO BOX 957	La Center, WA

Zip + 4	Office Sought (Candidates)	Election Date
98629	STATE SENATOR	2016
Report Period Covered	From (last C-4)	To (end of period)
	01/01/16	01/31/16
		Final Report?
		Yes No X

**\*For PACs, Parties & Caucus Committees:** During this report period, did the committee make an independent expenditure (i.e., an expense not considered a contribution supporting or opposing a state or local candidate)?

## RECEIPTS

\*See next page      Yes      No

- Previous total cash and in kind contributions (From line 8, last C-4) (if beginning a new campaign or calendar year, see instruction booklet) ..... \$ 255,086.17
- Cash received (From line 2, Schedule A) ..... \$ 0.00
- In kind contributions received (From line 1, Schedule B) ..... 0.00
- Total cash and in kind contributions received this period (Line 2 plus 3) ..... 0.00
- Loan principal repayments made (From line 2, Schedule L) ..... 0.00
- Corrections (From line 1 or 3, Schedule C) ..... Show + or (-) 0.00
- Net adjustments this period (Combine line 5 & 6) ..... Show + or (-) 0.00
- Total cash and in kind contributions during campaign (Combine lines 1, 4 & 7) ..... 255,086.17
- Total pledge payments due (From line 2, Schedule B) ..... 0.00

## EXPENDITURES

- Previous total cash and in kind expenditures (From line 17, last C-4) (If beginning a new campaign or calendar year, see instruction booklet) ..... 0.00
- Total cash expenditures (From line 4, Schedule A) ..... 0.00
- In kind expenditures (goods & services) (From line 1, Schedule B) ..... 0.00
- Total cash and in kind expenditures made this period (Line 11 plus line 12) ..... 0.00
- Loan principal repayments made (From line 2, Schedule L) ..... 0.00
- Corrections (From line 2 or 3, Schedule C) ..... Show + or (-) 0.00
- Net adjustments this period (Combine lines 14 & 15) ..... Show + or (-) 0.00
- Total cash and in kind expenditures during campaign (Combine lines 10, 13 and 16) ..... 0.00

<b>CANDIDATES ONLY</b>	Won	Lost	Unopposed	Name not on ballot
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Primary election	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General election	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Treasurer's Daytime Telephone No.:  
(360) 931-3403

## CASH SUMMARY

- Cash on hand (Line 8 minus line 17) ..... 255,086.17  
[Line 18 should equal your bank account balance(s) plus your petty cash balance.]
- Liabilities: (Sum of loans and debts owed) ..... 0.00
- Balance (Surplus or deficit) (Line 18 minus line 19) ..... 255,086.17

**CERTIFICATION:** I certify that the information herein and on accompanying schedules and attachments is true and correct to the best of my knowledge.

Candidate's Signature	Date	Treasurer's Signature	Date
ANNA RIVERS	02/10/16	Fred Rivers	

# CASH RECEIPTS AND EXPENDITURE

SCHEDULE  
to C4

**A**  
(11/93)

2

Candidate or Committee Name (Do not abbreviate. Use full name.)

Report Date

ANNA M RIVERS (Friends of Ann Rivers)

01/01/16

01/31/16

1. CASH RECEIPTS (Contributions) which have been reported on C3. List each deposit made since last C4 report was submitted.

Date of deposit	Amount	Date of deposit	Amount	Date of deposit	Amount	Total deposits

2. TOTAL CASH RECEIPTS

Enter also on line 2 of C4 \$ 0.00

**CODES FOR CLASSIFYING EXPENDITURES:** If one of the following codes is used to describe an expenditure, no other description is generally needed. The exceptions are:

- 1) If expenditures are in-kind or earmarked contributions to a candidate or committee or independent expenditures that benefit a candidate or committee, identify the candidate or committee in the Description block;
- 2) When reporting payments to vendors for travel expenses, identify the traveler and travel purpose in the Description block; and
- 3) If expenditures are made directly or indirectly to compensate a person or entity for soliciting signatures on a statewide initiative or referendum petition, use code "V" and provide the following information on an attached sheet: name and address of each person/entity compensated, amount paid each during the reporting period, and cumulative total paid all persons to date to gather signatures.

CODE  
DEFINITIONS  
ON NEXT PAGE

C - Contributions (monetary, in-kind & transfers)  
I - Independent Expenditures  
L - Literature, Brochures, Printing  
B - Broadcast Advertising (Radio, TV)  
N - Newspaper and Periodical Advertising  
O - Other Advertising (yard signs, buttons, etc.)  
V - Voter Signature Gathering

P - Postage, Mailing Permits  
S - Surveys and Polls  
F - Fundraising Event Expenses  
T - Travel, Accommodations, Meals  
M - Management/Consulting Services  
W - Wages, Salaries, Benefits  
G - General Operation and Overhead

3. EXPENDITURES

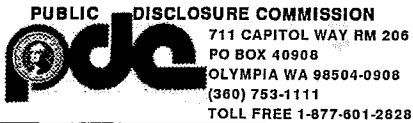
- a) Expenditures of \$50 or less, including those from petty cash, need not be itemized. Add up these expenditures and show the total in the amount column on the first line below..
- b) Itemize each expenditure of more than \$50 by date paid, name and address of vendor, code/description, and amount.
- c) For each payment to a candidate, campaign worker, PR firm, advertising agency or credit card company, attach a list of detailed expenses or copies of receipts/invoices supporting the payment.

Date Paid	Vendor or Recipient (Name and Address)	Code	Purpose of Expense and/or Description	Amount
N/A	Expenses of \$50 or less	N/A	N/A	

Total from attached pages \$ 0.00

4. TOTAL CASH EXPENDITURES

Enter also on line 11 of C4 \$ 0.00



# SUMMARY, FULL REPORT RECEIPTS AND EXPENDITURES

**C4**  
(3/97)

PDC OFFICE USE  
100686513  
03-13-2016

Candidate or Committee Name (Do not abbreviate. Include full name)

ANNA M RIVERS (Friends of Ann Rivers)

Mailing Address

PO BOX 957

City

La Center, WA

Zip + 4

98629

Office Sought (Candidates)

STATE SENATOR

Election Date

2016

**\*For PACs, Parties & Caucus Committees:** During this report period, did the committee make an **independent expenditure** (i.e., an expense not considered a contribution) supporting or opposing a state or local candidate?

Report Period Covered

From (last C-4)

01/01/16

To (end of period)

01/31/16

Final Report?

Yes No X

## RECEIPTS

\*See next page

Yes

No

1. Previous total cash and in kind contributions (From line 8, last C-4)

(if beginning a new campaign or calendar year, see instruction booklet)

\$ 111,465.00

2. Cash received (From line 2, Schedule A)

\$ 0.00

3. In kind contributions received (From line 1, Schedule B)

0.00

4. Total cash and in kind contributions received this period (Line 2 plus 3)

0.00

5. Loan principal repayments made (From line 2, Schedule L)

0.00

6. Corrections (From line 1 or 3, Schedule C)

Show + or (-)

0.00

7. Net adjustments this period (Combine line 5 & 6)

Show + or (-)

0.00

8. Total cash and in kind contributions during campaign (Combine lines 1, 4 & 7)

111,465.00

9. Total pledge payments due (From line 2, Schedule B)

0.00

## EXPENDITURES

10. Previous total cash and in kind expenditures (From line 17, last C-4)

(if beginning a new campaign or calendar year, see instruction booklet)

41,046.80

11. Total cash expenditures (From line 4, Schedule A)

0.00

12. In kind expenditures (goods & services) (From line 1, Schedule B)

0.00

13. Total cash and in kind expenditures made this period (Line 11 plus line 12)

0.00

14. Loan principal repayments made (From line 2, Schedule L)

0.00

15. Corrections (From line 2 or 3, Schedule C)

Show + or (-)

0.00

16. Net adjustments this period (Combine lines 14 & 15)

Show + or (-)

0.00

17. Total cash and in kind expenditures during campaign (Combine lines 10, 13 and 16)

41,046.80

## CANDIDATES ONLY

Name not

Won

Lost

Unopposed

on ballot

Primary election

☐

☐

☐

☐

General election

☐

☐

☐

☐

Treasurer's Daytime Telephone No.:

(360) 931-3403

## CASH SUMMARY

18. Cash on hand (Line 8 minus line 17)

70,418.20

[Line 18 should equal your bank account balance(s) plus your petty cash balance.]

19. Liabilities: (Sum of loans and debts owed)

0.00

20. Balance (Surplus or deficit) (Line 18 minus line 19)

70,418.20

**CERTIFICATION:** I certify that the information herein and on accompanying schedules and attachments is true and correct to the best of my knowledge.

Candidate's Signature

Date

Treasurer's Signature

Date

ANNA RIVERS

02/10/16

Fred Rivers

# CASH RECEIPTS AND EXPENDITURE

SCHEDULE  
to C4

**A**  
(11/93)

2

Candidate or Committee Name (Do not abbreviate. Use full name.)

Report Date

ANNA M RIVERS (Friends of Ann Rivers)

01/01/16

01/31/16

1. CASH RECEIPTS (Contributions) which have been reported on C3. List each deposit made since last C4 report was submitted.

Date of deposit	Amount	Date of deposit	Amount	Date of deposit	Amount	Total deposits

2. TOTAL CASH RECEIPTS

Enter also on line 2 of C4 \$ 0.00

**CODES FOR CLASSIFYING EXPENDITURES:** If one of the following codes is used to describe an expenditure, no other description is generally needed. The exceptions are:

- 1) If expenditures are in-kind or earmarked contributions to a candidate or committee or independent expenditures that benefit a candidate or committee, identify the candidate or committee in the Description block;
- 2) When reporting payments to vendors for travel expenses, identify the traveler and travel purpose in the Description block; and
- 3) If expenditures are made directly or indirectly to compensate a person or entity for soliciting signatures on a statewide initiative or referendum petition, use code "V" and provide the following information on an attached sheet: name and address of each person/entity compensated, amount paid each during the reporting period, and cumulative total paid all persons to date to gather signatures.

CODE  
DEFINITIONS  
ON NEXT PAGE

C - Contributions (monetary, in-kind & transfers)  
I - Independent Expenditures  
L - Literature, Brochures, Printing  
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P - Postage, Mailing Permits  
S - Surveys and Polls  
F - Fundraising Event Expenses  
T - Travel, Accommodations, Meals  
M - Management/Consulting Services  
W - Wages, Salaries, Benefits  
G - General Operation and Overhead

3. EXPENDITURES

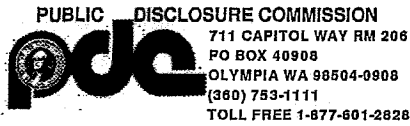
- a) Expenditures of \$50 or less, including those from petty cash, need not be itemized. Add up these expenditures and show the total in the amount column on the first line below.
- b) Itemize each expenditure of more than \$50 by date paid, name and address of vendor, code/description, and amount.
- c) For each payment to a candidate, campaign worker, PR firm, advertising agency or credit card company, attach a list of detailed expenses or copies of receipts/invoices supporting the payment.

Date Paid	Vendor or Recipient (Name and Address)	Code	Purpose of Expense and/or Description	Amount
N/A	Expenses of \$50 or less	N/A	N/A	

Total from attached pages \$ 0.00

4. TOTAL CASH EXPENDITURES

Enter also on line 11 of C4 \$ 0.00



# **CASH RECEIPTS MONETARY CONTRIBUTIONS**

**C3**

(1/02)

THIS SPACE FOR OFFICE USE

100627726

02-10-2015

Candidate or Committee Name (Do not abbreviate. Use full name.)			
ANNA M RIVERS (Friends of Ann Rivers)			
Mailing Address			
PO Box 957			
City	Zip + 4	Office Sought (candidates)	Election Date
La Center, WA	98629	STATE SENATOR	2016

## 1. MONETARY CONTRIBUTIONS DEPOSITED IN ACCOUNT

Date Received		Amount	Total
	a. Anonymous .....		
	b. Candidate's personal funds deposited in the bank (include candidate loans in 1c) .....		
	c. Loans, notes, security agreements. Attach Schedule L .....		
	d. Miscellaneous receipts (interest, refunds, auctions, other). Attach explanation .....		
	e. Small contributions \$25.00 or less not itemized and number of persons giving ..... (persons)		

## 2. CONTRIBUTIONS OVER \$25.00

Date Received	Contributor's Name, Address, City, State, Zip	Contributions of more than \$100: Employer's Name, City and State	P R I N T	G I N	Amount	Aggregate* Total
05/08/14	PACIFICORP 825 NE Multnomah Portland, OR 97232		X		900.00	900.00
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Sub-total			900.00	
		Amount from attached pages			0.00	

☐ Check here if additional pages are attached

**\*See reverse for details.**

## 3. TOTAL FUNDS RECEIVED AND DEPOSITED OR CREDITED TO ACCOUNT Sum of parts 1 and 2 above. Enter this amount in line 1, Schedule A to C4.

900.00

## 4. Date of Deposit

05/08/14

I certify that this report is true and complete to the best of my knowledge

Treasurer's Signature

Date

Fred Rivers

02-10-2015

Treasurer's Daytime Telephone No.: (360) 931-3403

# CASH RECEIPTS MONETARY CONTRIBUTIONS

**C3**

(1/02)

THIS SPACE FOR OFFICE USE

100627738

02-10-2015

Candidate or Committee Name (Do not abbreviate. Use full name.) ANNA M RIVERS (Friends of Ann Rivers)			
Mailing Address PO Box 957			
City La Center, WA	Zip + 4 98629	Office Sought (candidates) STATE SENATOR	Election Date 2016

## 1. MONETARY CONTRIBUTIONS DEPOSITED IN ACCOUNT

Date Received		Amount	Total
	a. Anonymous .....		
	b. Candidate's personal funds deposited in the bank (include candidate loans in 1c) .....		
	c. Loans, notes, security agreements. Attach Schedule L .....		
	d. Miscellaneous receipts (interest, refunds, auctions, other). Attach explanation .....		
	e. Small contributions \$25.00 or less not itemized and number of persons giving ..... (persons)		

## 2. CONTRIBUTIONS OVER \$25.00

Date Received	Contributor's Name, Address, City, State, Zip	Contributions of more than \$100: Employer's Name, City and State	P R I N T	G E N E R A L	Amount	Aggregate* Total
06/12/14	ART INSTITUTE INTERNATIONAL 210 Sixth Avenue, 33rd floor Pittsburgh, PA 15222		X		500.00	500.00
	Occupation					
	Occupation					
	Occupation					
	Occupation					
	Occupation					
	Occupation					
	Occupation					
	<input type="checkbox"/> Check here if additional pages are attached	Sub-total			500.00	
		Amount from attached pages			0.00	

\*See reverse for details.

## 3. TOTAL FUNDS RECEIVED AND DEPOSITED OR CREDITED TO ACCOUNT

Sum of parts 1 and 2 above. Enter this amount in line 1, Schedule A to C4.

4. Date of Deposit 06/12/14	I certify that this report is true and complete to the best of my knowledge Treasurer's Signature Fred Rivers	Date 02-10-2015
Treasurer's Daytime Telephone No.: (360) 931-3403		











# **CASH RECEIPTS MONETARY CONTRIBUTIONS**

**C3**

(1/02)

THIS SPACE FOR OFFICE USE

100627749

02-10-2015

Candidate or Committee Name (Do not abbreviate. Use full name.)

ANNA M RIVERS (Friends of Ann Rivers)

Mailing Address

PO Box 957

City

La Center, WA

Zip + 4

98629

Office Sought (candidates)

STATE SENATOR

Election Date

2016

## **1. MONETARY CONTRIBUTIONS DEPOSITED IN ACCOUNT**

Date Received		Amount	Total
	a. Anonymous .....		
	b. Candidate's personal funds deposited in the bank (Include candidate loans in 1c).....		
	c. Loans, notes, security agreements. Attach Schedule L .....		
	d. Miscellaneous receipts (interest, refunds, auctions, other). Attach explanation .....		
	e. Small contributions \$25.00 or less not itemized and number of persons giving ..... (persons)		

## **2. CONTRIBUTIONS OVER \$25.00**

Date Received	Contributor's Name, Address, City, State, Zip	Contributions of more than \$100:*	P R I N T	G E N	Amount	Aggregate* Total
09/16/14	WASHINGTON OPTOMETRIC PO Box 2941 Olympia, WA 98507		<input checked="" type="checkbox"/>		300.00	300.00
	Occupation					
09/17/14	PATRICIA K BORDEN 12302 NW 48th Court Vancouver, WA 98685		<input checked="" type="checkbox"/>		50.00	50.00
	Occupation					
09/17/14	AMGEN INC. STATE POLITICAL 5845 Richmond Highway, Ste. Alexandria, VA 22303		<input checked="" type="checkbox"/>		500.00	950.00
	Occupation					
09/17/14	AMGEN INC. STATE POLITICAL 5845 Richmond Highway, Ste. Alexandria, VA 22303		<input checked="" type="checkbox"/>		450.00	950.00
	Occupation					
09/12/14	DAVID E BASSFORD 6720 Fort Dent Way Suite 230 Tukwila, WA 98188	David Bassford Tukwila, WA	<input checked="" type="checkbox"/>		475.00	475.00
	Occupation	BUSINESS OWNER				
	<input checked="" type="checkbox"/> Check here if additional pages are attached	Sub-total			1,775.00	
		Amount from attached pages			3,325.00	

**\*See reverse  
for details.**

## **3. TOTAL FUNDS RECEIVED AND DEPOSITED OR CREDITED TO ACCOUNT**

Sum of parts 1 and 2 above. Enter this amount in line 1, Schedule A to C4.

5,100.00

## **4. Date of Deposit**

09/17/14

I certify that this report is true and complete to the best of my knowledge

Treasurer's Signature

Date

Fred Rivers

02-10-2015

Treasurer's Daytime Telephone No.: (360) 931-3403

# RECEIPTS CONTINUATION SHEET (Attachment to C-3 Form)

Page 2

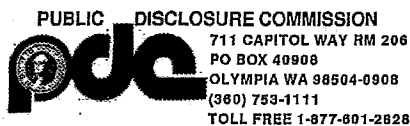
Candidate or Committee Name (Do not abbreviate. Use full name.)  
ANNA M RIVERS (Friends of Ann Rivers)

Deposit Date  
09/17/14

## 2. CONTRIBUTIONS OVER \$25.00

Date Received	Contributor's Name, Address, City, State, Zip	Contributions of more than \$100: Employer's Name, City and State	P R I	G E N	Amount	Aggregate Total*
09/12/14	SARA R BASSFORD 6720 Fort Dent Way Suite 230 Tukwila, WA 98188	, Occupation	X		475.00	475.00
09/12/14	DAVID E BASSFORD 6720 Fort Dent Way Suite 230 Tukwila, WA 98188	David Bassford Tukwila, WA Occupation BUSINESS OWNER		X	475.00	475.00
09/12/14	SARA R BASSFORD 6720 Fort Dent Way Suite 230 Tukwila, WA 98188	, Occupation		X	475.00	475.00
09/12/14	DENNIS E BASSFORD 4380 92nd Avenue SE Mercer Island, WA 98188	Dennis Bassford Tukwila, WA Occupation BUSINESS OWNER	X		475.00	475.00
09/12/14	ROBIN L BASSFORD 4380 92nd Avenue SE Mercer Island, WA 98188	, Occupation	X		475.00	475.00
09/12/14	DENNIS E BASSFORD 4380 92nd Avenue SE Mercer Island, WA 98188	Dennis Bassford Tukwila, WA Occupation BUSINESS OWNER		X	475.00	475.00
09/12/14	ROBIN L BASSFORD 4380 92nd Avenue SE Mercer Island, WA 98188	, Occupation		X	475.00	475.00
		Occupation				
		Occupation				
		Occupation				
		Occupation				

Page Total 3,325.00



# CASH RECEIPTS MONETARY CONTRIBUTIONS

**C3**

(1/02)

THIS SPACE FOR OFFICE USE

100627753

02-10-2015

Candidate or Committee Name (Do not abbreviate. Use full name.) ANNA M RIVERS (Friends of Ann Rivers)			
Mailing Address PO Box 957			
City La Center, WA	Zip + 4 98629	Office Sought (candidates) STATE SENATOR	Election Date 2016

## 1. MONETARY CONTRIBUTIONS DEPOSITED IN ACCOUNT

Date Received		Amount	Total
	a. Anonymous .....		
	b. Candidate's personal funds deposited in the bank (include candidate loans in 1c).....		
	c. Loans, notes, security agreements. Attach Schedule L .....		
	d. Miscellaneous receipts (interest, refunds, auctions, other). Attach explanation .....		
09/24/14	e. Small contributions \$25.00 or less not itemized and number of persons giving (persons)	50.00	

## 2. CONTRIBUTIONS OVER \$25.00

Date Received	Contributor's Name, Address, City, State, Zip	Contributions of more than \$100: Employer's Name, City and State	P R I N T	G E N	Amount	Aggregate* Total
09/24/14	SALMON CREEK VISION CENTRE 13912 NE 20th Avenue Vancouver, WA 98686		X		200.00	200.00
	Occupation					
09/24/14	KIMSEY SALES 711 NW Overlook Dr. Vancouver, WA 98665		X		50.00	50.00
	Occupation					
09/24/14	ELIZABETH CLINE 3924 NE 135th Street Seattle, WA 98125		X		50.00	50.00
	Occupation					
09/24/14	L A MEHARRY 703 NE 169th Avenue Vancouver, WA 98684		X		100.00	100.00
	Occupation					
09/24/14	WILLIAM D HUYETTE 3214 NE 42nd Street Vancouver, WA 98663		X		100.00	100.00
	Occupation					
	<input checked="" type="checkbox"/> Check here if additional pages are attached	Sub-total			550.00	*See reverse for details.
		Amount from attached pages			950.00	

## 3. TOTAL FUNDS RECEIVED AND DEPOSITED OR CREDITED TO ACCOUNT Sum of parts 1 and 2 above. Enter this amount in line 1, Schedule A to C4.

1,500.00

## 4. Date of Deposit

09/24/14

I certify that this report is true and complete to the best of my knowledge

Treasurer's Signature

Date

Fred Rivers

02-10-2015

Treasurer's Daytime Telephone No.: (360) 931-3403

# RECEIPTS CONTINUATION SHEET (Attachment to C-3 Form)

Page 2

Candidate or Committee Name (Do not abbreviate. Use full name.)  
ANNA M RIVERS (Friends of Ann Rivers)

Deposit Date  
09/24/14

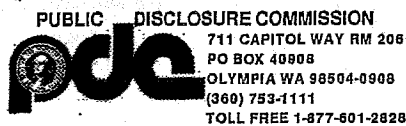
## 2. CONTRIBUTIONS OVER \$25.00

Date Received	Contributor's Name, Address, City, State, Zip	Contributions of more than \$100:* Employer's Name, City and State	P R I	G E N	Amount	Aggregate Total*
09/23/14	ALCOA INC EMPLOYEES' VOLUNTARY 390 Park Avenue 12th Floor New York, NY 10022	Occupation	X		950.00	950.00
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				

Page Total 950.00







# CASH RECEIPTS MONETARY CONTRIBUTIONS

**C3**

(1/02)

THIS SPACE FOR OFFICE USE

100627758

02-10-2015

Candidate or Committee Name (Do not abbreviate. Use full name.) ANNA M RIVERS (Friends of Ann Rivers)			
Mailing Address PO Box 957			
City La Center, WA	Zip + 4 98629	Office Sought (candidates) STATE SENATOR	Election Date 2016

## 1. MONETARY CONTRIBUTIONS DEPOSITED IN ACCOUNT

Date Received		Amount	Total
	a. Anonymous .....		
	b. Candidate's personal funds deposited in the bank (Include candidate loans in 1c) .....		
	c. Loans, notes, security agreements. Attach Schedule L .....		
	d. Miscellaneous receipts (interest, refunds, auctions, other). Attach explanation .....		
Various	e. Small contributions \$25.00 or less not itemized and number of persons giving <u>7</u> (persons)	110.00	

## 2. CONTRIBUTIONS OVER \$25.00

Date Received	Contributor's Name, Address, City, State, Zip	Contributions of more than \$100: * Employer's Name, City and State	P R I N	G E N	Amount	Aggregate * Total
10/09/14	SABEY CORPORATION 12201 Tukwila International Seattle, WA 98168		<input checked="" type="checkbox"/>		750.00	750.00
	Occupation					
10/16/14	I'VE GOT RHYTHM PRODUCTIONS 8704 NW 23rd Court Vancouver, WA 98665		<input checked="" type="checkbox"/>		250.00	250.00
	Occupation					
10/15/14	RICHARD MIELKE 5409 Highland Drive Vancouver, WA 98661		<input checked="" type="checkbox"/>		50.00	50.00
	Occupation					
10/15/14	MARTIN J MATOVICH 300 SE 120th Avenue, Ste. 400 Vancouver, WA 98683		<input checked="" type="checkbox"/>		50.00	50.00
	Occupation					
10/16/14	PERIODONTAL CONCEPTS 300 SE 120 Avenue Suite 500 Vancouver, WA 98683		<input checked="" type="checkbox"/>		150.00	150.00
	Occupation					
	<input checked="" type="checkbox"/> Check here if additional pages are attached	Sub-total			1,360.00	*See reverse for details.
		Amount from attached pages			975.00	
					2,335.00	

## 3. TOTAL FUNDS RECEIVED AND DEPOSITED OR CREDITED TO ACCOUNT Sum of parts 1 and 2 above. Enter this amount in line 1, Schedule A to C4.

4. Date of Deposit 10/17/14	I certify that this report is true and complete to the best of my knowledge Treasurer's Signature Fred Rivers	Date 02-10-2015
Treasurer's Daytime Telephone No.: (360) 931-3403		

# RECEIPTS CONTINUATION SHEET (Attachment to C-3 Form)

Page 2

Candidate or Committee Name (Do not abbreviate. Use full name.)  
ANNA M RIVERS (Friends of Ann Rivers)

Deposit Date  
10/17/14

## 2. CONTRIBUTIONS OVER \$25.00

Date Received	Contributor's Name, Address, City, State, Zip	Contributions of more than \$100:* Employer's Name, City and State	P R I	G E N	Amount	Aggregate Total*
10/17/14	STUART A BENDER 4817 NW 151st Street Vancouver, WA 98685	Occupation	X		50.00	50.00
10/16/14	DESIGN DENTISTRY INC. PS 531 NE Everett Street Camas, WA 98607	Occupation	X		200.00	200.00
10/16/14	JERI WARNER 2801 NW 24th Avenue Camas, WA 98607	Occupation	X		75.00	75.00
10/16/14	DANNY WARNER 2801 NW 24th Avenue Camas, WA 98607	Occupation	X		75.00	75.00
10/14/14	GARY OSTENSON 9001 SE Evergreen Highway Vancouver, WA 98664	Occupation	X		37.50	37.50
10/14/14	DANA OSTENSON 9001 SE Evergreen Highway Vancouver, WA 98664	Occupation	X		37.50	37.50
10/16/14	ROBERT B NEVIN 403 NE 6th Avenue Camas, WA 98607	Occupation	X		50.00	50.00
10/16/14	SUSAN E NEVIN 403 NE 6th Avenue Camas, WA 98607	Occupation	X		50.00	50.00
10/16/14	RONALD H HSU 9210 NE 164th Avenue Vancouver, WA 98682	Occupation	X		50.00	50.00
10/16/14	MISTY S BIGLER-HSU 9210 NE 164th Avenue Vancouver, WA 98682	Occupation	X		50.00	50.00
10/16/14	TODD HILLYARD 3627 NW 26th Avenue Camas, WA 98607	Occupation	X		150.00	150.00

Page Total 825.00

# RECEIPTS CONTINUATION SHEET (Attachment to C-3 Form)

Page 3

Candidate or Committee Name (Do not abbreviate. Use full name.)  
ANNA M RIVERS (Friends of Ann Rivers)

Deposit Date  
10/17/14

## 2. CONTRIBUTIONS OVER \$25.00

Date Received	Contributor's Name, Address, City, State, Zip	Contributions of more than \$100:* Employer's Name, City and State	P R I	G E N	Amount	Aggregate Total*
10/16/14	JULIE A HILLYARD 3627 NW 26th Avenue Camas, WA 98607		X		150.00	150.00
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				

Page Total 150.00

02-10-2015

Candidate or Committee Name (Do not abbreviate. Use full name.)			
ANNA M RIVERS (Friends of Ann Rivers)			
Mailing Address			
PO Box 957			
City	Zip + 4	Office Sought (candidates)	Election Date
La Center, WA	98629	STATE SENATOR	2016

## 1. MONETARY CONTRIBUTIONS DEPOSITED IN ACCOUNT

Date Received	Amount	Total
a. Anonymous .....		
b. Candidate's personal funds deposited in the bank (include candidate loans in 1c).....		
c. Loans, notes, security agreements. Attach Schedule L .....		
d. Miscellaneous receipts (interest, refunds, auctions, other). Attach explanation .....		
e. Small contributions \$25.00 or less not itemized and number of persons giving _____ (persons)		

## 2. CONTRIBUTIONS OVER \$25.00

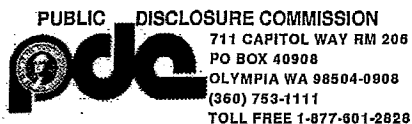
Date Received	Contributor's Name, Address, City, State, Zip	Contributions of more than \$100: Employer's Name, City and State	P R I N T	G E N	Amount	Aggregate* Total
10/21/14	MICROSOFT One Lone Tree Road Fargo, ND 58104		X		400.00	400.00
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Sub-total			400.00	
		Amount from attached pages			0.00	
	<input type="checkbox"/> Check here if additional pages are attached					*See reverse

## 3. TOTAL FUNDS RECEIVED AND DEPOSITED OR CREDITED TO ACCOUNT

Sum of parts 1 and 2 above. Enter this amount in line 1, Schedule A to C4.

4. Date of Deposit	I certify that this report is true and complete to the best of my knowledge	
10/21/14	Treasurer's Signature	Date
Treasurer's Daytime Telephone No.: (360) 931-3403	Fred Rivers	02-10-2015





# CASH RECEIPTS MONETARY CONTRIBUTIONS

**C3**  
(1/02)

THIS SPACE FOR OFFICE USE

100627737  
AMENDS  
100627732  
02-10-2015

Candidate or Committee Name (Do not abbreviate. Use full name.) ANNA M RIVERS (Friends of Ann Rivers)			
Mailing Address PO Box 957			
City La Center, WA	Zip + 4 98629	Office Sought (candidates) STATE SENATOR	Election Date 2016

## 1. MONETARY CONTRIBUTIONS DEPOSITED IN ACCOUNT

Date Received		Amount	Total
	a. Anonymous .....		
	b. Candidate's personal funds deposited in the bank (include candidate loans in 1c).....		
	c. Loans, notes, security agreements. Attach Schedule L.....		
	d. Miscellaneous receipts (interest, refunds, auctions, other). Attach explanation .....		
	e. Small contributions \$25.00 or less not itemized and number of persons giving _____ (persons)		

## 2. CONTRIBUTIONS OVER \$25.00

Date Received	Contributor's Name, Address, City, State, Zip	Contributions of more than \$100: Employer's Name, City and State	P R I	G E N	Amount	Aggregate* Total
11/13/14	WASHINGTON MEDICAL POLITICAL 2033 Sixth Avenue, Suite 1100 Seattle, WA 98121		X		950.00	950.00
	Occupation					
11/13/14	WASHINGTON MEDICAL POLITICAL 2033 Sixth Avenue, Suite 1100 Seattle, WA 98121			X	950.00	950.00
	Occupation					
11/09/14	TRANSALTA USA INC. 913 Big Hanaford Road Centralia, WA 98531		X		950.00	950.00
	Occupation					
	Occupation					
	Occupation					
	<input type="checkbox"/> Check here if additional pages are attached	Sub-total			2,850.00	
		Amount from attached pages			0.00	

\*See reverse for details.

## 3. TOTAL FUNDS RECEIVED AND DEPOSITED OR CREDITED TO ACCOUNT Sum of parts 1 and 2 above. Enter this amount in line 1, Schedule A to C4.

2,850.00

## 4. Date of Deposit

11/13/14

I certify that this report is true and complete to the best of my knowledge

Treasurer's Signature

Date

Fred Rivers

02-10-2015

Treasurer's Daytime Telephone No.: (360) 931-3403





## **Ann Rivers: PDC Case 15-94**

### **Exhibit No. 10**

**March 2014 C-4 report:** The Campaign filed five C-4 reports for March of 2014, three of which contained different contribution or expenditure information as follows:

- The initial C-4 report was filed by the Campaign on February 10, 2015, disclosing \$0 in contributions received or expenditures made, with no outstanding liabilities owed. The C-4 report incorrectly listed \$182,221 in total contributions received and cash on hand, which included the total contributions carried forward from the 2012 Campaign.
- A new March 2014 C-4 report was filed by the Campaign on February 28, 2016, disclosing \$0 in contributions received or expenditures made, but listing \$2,343 in outstanding liabilities owed to Bank of America (BOA) for several expenditures made on a credit card that included \$1,280 to The Heathman; \$700 to Youth Efforts Against Hunger (YEAH); and \$363 to AT & T Wireless.
- An amended March 2014 C-4 report was filed the Campaign on May 17, 2016, disclosing no contributions received, a \$363 expenditure to AT & T Wireless (partial BOA payment), \$0 in liabilities owed and corrected the Campaign totals to reflect \$7,050 in total contributions received and \$7,127 in expenditures made.

**April 2014 C-4 report:** The Campaign filed six C-4 reports for April of 2014, three of which contained different contribution or expenditure information as follows:

- The initial April 2014 C-4 report was filed by the Campaign on February 10, 2015, disclosing \$0 in contributions and expenditures made, no outstanding liabilities owed, and incorrectly included the 2012 contribution totals (\$182,221).
- A new April 2014 C-4 report was filed by the Campaign on February 28, 2016, disclosing no contributions received or expenditures made, and listing \$3,843 in outstanding liabilities owed to BOA for expenditures made on the credit card that included \$1,280 to The Heathman; \$750 to the Clark County Skill Center (CCSC); \$725 to the Clark County Republican Party (CCRP); and \$700 to YEAH.
- An amended April 2014 C-4 report was filed by the Campaign on May 17, 2016, disclosing no contributions received, \$1,500 in expenditures made that included \$750 to the CCSC and \$725 to the Clark County Republican Party (CCRP). The new C-4 report disclosed there were no outstanding liabilities owed, and correctly listed the 2016 contribution and expenditure totals.

**May 2014 C-4 report:** The Campaign filed five C-4 reports for April of 2014, three of which contained different contribution or expenditure information as follows:

- The initial May 2014 C-4 report was filed by the Campaign on February 10, 2015, disclosing \$900 in monetary contributions received, no expenditures made or outstanding liabilities owed, and incorrectly included the 2012 contribution totals.
- A new May 2014 C-4 report was filed by the Campaign on February 28, 2016, disclosing no new contributions had been received or expenditures made, and listed \$3,843 in outstanding liabilities owed to BOA that included \$1,280 to The Heathman; \$750 to the CCSC; \$725 to the CCRP; \$700 to YEAH and \$363 to AT & T Wireless.

- An amended May 2014 C-4 report was filed by the Campaign on March 7, 2016, disclosing no contributions received and expenditures made, but listed \$2,104 in outstanding liabilities owed to BOA that included \$750 to the CCSC; and \$608 to the CCRP (previously reported as \$725). The new C-4 report correctly listed the 2016 contribution and expenditure totals.

**June 2014 C-4 report:** The Campaign filed six C-4 reports for June of 2014, three of which contained different contribution or expenditure information as follows:

- The June 2014 C-4 report was filed three times on February 10, 2015, with the final version disclosing \$1,500 in monetary contributions received and no expenditures made or outstanding liabilities owed, and incorrectly included the 2012 contribution totals.
- A new June 2014 C-4 report was filed by the Campaign on February 28, 2016, disclosing no new contributions received and expenditures made, and listing \$3,843 in outstanding liabilities owed to BOA that included \$1,280 to The Heathman; \$750 to the CCSC; \$725 to the CCRP; and \$700 to YEAH.
- An amended June 2014 C-4 report was filed by the Campaign on March 7, 2016, disclosing \$0 in contributions received and expenditures made, listed \$2,104 in outstanding liabilities owed to BOA that included \$750 to the CCSC; a \$608 expenditure to the CCRP; \$363 owed to AT & T Wireless; and \$358 owed to CDM Services. The new C-4 report correctly listed the 2016 contribution and expenditure totals.

**July 2014 C-4 report:** The Campaign filed four C-4 reports for July of 2014, three of which contained different contribution or expenditure information as follows:

- The July 2014 C-4 report was filed three times by the Campaign on February 10, 2015, with the final version disclosing that \$2,850 in monetary contributions had been received, no expenditures made or outstanding liabilities owed, and incorrectly listing \$187,471 in total contributions received and \$187,471 cash on hand.
- A new July 2014 C-4 report was filed by the Campaign on March 8, 2016, disclosing \$2,750 in monetary contributions had been received, no expenditures made, and \$2,104 in outstanding liabilities owed to BOA that included \$750 to the CCSC; and \$608 to the CCRP. The new C-4 report correctly listed the 2016 contribution and expenditure totals.

**August 2014 C-4 report:** The Campaign filed four C-4 reports for August of 2014, of which all four contained different contribution or expenditure information as follows:

- The August 2014 C-4 report was filed by the Campaign on February 10, 2015, disclosing \$500 in monetary contributions received, no expenditures made or outstanding liabilities owed, and included the 2012 total contributions carried forward into the 2012 Campaign.
- An amended August 2014 C-4 report was filed by the Campaign on February 17, 2016, disclosing no contributions received, and \$1,514 in total expenditures made that included \$1,314 to the Battle Ground School District (BGSD), and \$200 to Pathways for marketing.

- A new August 2014 C-4 report was filed by the Campaign on March 8, 2016, disclosing an additional \$1,400 in new monetary contributions received, and \$4,599 in expenditures made that included a \$3,000 payment made to BOA without providing any sub-vendor breakdown or additional details, and \$2,114 in outstanding liabilities owed.
- An amended August 2014 C-4 report was filed by the Campaign on May 17, 2016, disclosing \$1,900 in monetary contributions received, \$4,599 in expenditures made that included \$2,650 to the Clark County Junior Livestock (CCJL), and \$1,314 to BGSD, both as a partial BOA payment. The new C-4 report correctly listed the 2016 contribution and expenditure totals.

**September 2014 C-4 report:** The Campaign filed four C-4 reports for September of 2014, of which three contained different contribution or expenditure information as follows:

- The September 2014 C-4 report was filed by the Campaign on February 10, 2015, disclosing \$6,600 in monetary contributions received, no expenditures made or outstanding liabilities owed, and incorrectly included the 2012 contribution totals which now totaled \$194,571.
- A new September 2014 C-4 report was filed by the Campaign on March 8, 2016, disclosing no contributions received or expenditures made, and \$2,204 in outstanding liabilities owed to BOA that included \$1,754 for CCJL; and \$360 for the Association of Washington Business (AWB). The new C-4 report corrected the contribution totals to \$11,700 in contributions received, and \$11,137 in total expenditures made.
- An amended September 2014 C-4 report was filed by the Campaign on May 17, 2016, disclosing no contributions were received, and a \$90 expenditure was made to AT&T Wireless as a partial BOA payment. (Note - the contribution and expenditure activities for this month are under the \$200 threshold, thus the C-4 report was not required).

**October 2014 C-4 report:** The Campaign filed four C-4 reports for October of 2014, of which all four contained different contribution or expenditure information as follows:

- The October 2014 C-4 report was filed by the Campaign on February 10, 2015, disclosing \$5,985 in monetary contributions received, no expenditures made or outstanding liabilities owed, and incorrectly included the 2012 contribution totals.
- An amended October 2014 C-4 report was filed by the Campaign on February 17, 2016, disclosing no contributions were received, no expenditures made or outstanding liabilities owed, and incorrectly included \$203,406 in total contributions received, \$1,514 in total expenditures made, and \$201,892 in cash on hand.
- A new October 2014 C-4 report was filed by the Campaign on March 13, 2016, disclosing \$14,585 in monetary contributions received, \$6 in expenditures made, and \$2,511 in outstanding liabilities owed to BOA that included \$1,754 to CCJLA; \$360 to AWB; \$217 to Verizon; and \$180 to AT&T Wireless. The new C-4 report correctly listed the 2016 contribution and expenditure totals.

- An amended October 2014 C-4 report was filed by the Campaign on May 17, 2016, disclosing \$14,585 in monetary contributions received, \$312 in expenditures made, \$0 in outstanding liabilities owed, and correctly listed the 2016 contribution and expenditure totals.

**November 2014 C-4 report:** The Campaign filed four C-4 reports for November of 2014, of which three contained different contribution or expenditure information as follows:

- The November 2014 C-4 report was filed by the Campaign on February 10, 2015, disclosing \$2,850 in monetary contributions received, no expenditures made or outstanding liabilities owed, and incorrectly included the 2012 contribution totals which now totaled \$203,406.
- A new November 2014 C-4 report was filed by the Campaign on March 13, 2016, disclosing \$2,400 in monetary contributions received, no expenditures made, and \$2,986 in outstanding liabilities owed to BOA that included \$1,754 to CCJLA; \$375 to the Vancouver Symphony Orchestra (VSO); \$360 to AWB; and \$217 to Verizon. The new C-4 report corrected the 2016 totals to reflect \$28,684 in contributions received, and \$11,143 in expenditures made.
- An amended November 2014 C-4 report was filed by the Campaign on May 17, 2016, disclosing \$2,400 in monetary contributions received, \$475 in expenditures made that included \$375 to VSO; \$100 to Daybreak Youth Services, with no outstanding liabilities owed.

**December 2014 C-4 report:** The Campaign filed four C-4 reports for December of 2014, of which all four contained different contribution or expenditure information as follows:

- The December 2014 C-4 report was filed by the Campaign on February 10, 2015, disclosing no contributions received, no expenditures or outstanding liabilities owed, and incorrectly included the 2012 contribution totals.
- An amended December 2014 C-4 report was filed by the Campaign on February 17, 2016, disclosing \$0 in contributions received, \$4,555 in expenditures made that included \$2,000 to the Salvation Army; \$1,100 to the CCSC; \$500 for PDC Compliance and \$500 to the Rock Solid Teen Center, with no outstanding liabilities owed.
- A new December 2014 C-4 report was filed by the Campaign on March 13, 2016, disclosing \$5,200 in monetary contributions received, \$4,570 in expenditures made, and \$3,163 in outstanding liabilities owed to BOA that included \$1,754 to CCJLA; \$375 to the VSO; and \$360 to AWB. The new C-4 report correctly listed the 2016 contribution and expenditure totals.
- An amended December 2014 C-4 report was filed by the Campaign on May 17, 2016, disclosing \$5,200 in monetary contributions received, \$4,748 in expenditures made (for the same previously reported expenditures plus \$178 in new expenditures), and no liabilities owed.

**January 2015 C-4 report:** The Campaign filed four C-4 reports for January of 2015, of which three contained different contribution or expenditure information as follows:

- The January 2015 C-4 report was filed by the Campaign on February 10, 2015, and an amended C-4 report was filed on February 17, 2016, with both reports disclosing \$0 in monetary contributions received, no expenditures made or outstanding liabilities owed, and incorrectly included the 2012 contribution totals.
- A new January 2015 C-4 report was filed by the Campaign on March 13, 2016, disclosing no contributions received, \$4,783 for an expenditure made to BOA with no additional details, and no outstanding liabilities owed. The new C-4 report corrected the 2016 totals to reflect \$33,885 in contributions received and \$20,497 in expenditures made.
- An amended January 2015 C-4 report was filed by the Campaign on May 17, 2016, disclosing no contributions received, expenditures made, or outstanding liabilities owed, and corrected the 2016 totals to \$33,885 in contributions received and \$18,851 in expenditures made.

**February 2015 C-4 report:** The Campaign filed three C-4 reports for February of 2015, of which all three contained different contribution or expenditure information as follows:

- The February 2015 C-4 report was filed by the Campaign on April 20, 2015, disclosing no contributions received, no expenditures made or outstanding liabilities owed, and incorrectly included the 2012 contribution totals.
- An amended February 2015 C-4 report was filed by the Campaign on February 17, 2016, disclosing no contributions received, a \$500 expenditure to YEAH, and no outstanding liabilities owed.
- A new February 2015 C-4 report was filed by the Campaign on March 13, 2016, disclosing no contributions received, the previously reported \$500 expenditure to YEAH, and corrected the 2016 totals to reflect \$33,885 in total contributions received and \$20,997 in total expenditures made.

**March 2015 C-4 report:** The Campaign filed three C-4 reports for March of 2015, of which all three contained different contribution or expenditure information as follows:

- The March 2015 C-4 report was filed by the Campaign on April 20, 2015, disclosing no contributions received, no expenditures made or outstanding liabilities owed, and incorrectly included the 2012 contribution totals.
- An amended March 2015 C-4 report was filed by the Campaign on February 17, 2016, disclosing no contributions received, \$1,360 for an expenditure made to Rock Solid Teen Center, and no outstanding liabilities owed, but included the 2012 totals.
- A new March 2015 C-4 report was filed by the Campaign on March 13, 2016, disclosing no contributions received, the previously reported \$1,360 expenditure to Rock Solid Teen Center, and the corrected 2016 contribution and expenditure totals.

**May 2015 C-4 report:** The Campaign filed three C-4 reports for May of 2015, of which all three contained different contribution or expenditure information as follows:

- The May 2015 C-4 report was filed by the Campaign on July 15, 2015, disclosing no contributions received, no expenditures made or outstanding liabilities owed, and included the 2012 contributions in the 2016 totals which now totaled \$203,406 in total contributions received and cash on hand, with \$0 expenditures made.
- An amended May 2015 C-4 report was filed by the Campaign on February 17, 2016, disclosing no contributions received, \$3,625 in expenditures made that included \$3,525 to the CCSC, no liabilities owed, and incorrectly listed \$203,406 in total contributions received, \$11,555 in expenditures made, and \$191,852 in cash on hand.
- An amended May 2015 C-4 report was filed by the Campaign on March 13, 2016, disclosing no contributions received, the \$3,625 in previously reported expenditures made, no liabilities owed, and corrected the 2016 totals to \$33,885 in contributions received, \$25,982 in expenditures made, and \$7,903 in cash on hand.

**July 2015 C-4 report:** The Campaign filed three C-4 reports for July of 2015, of which all three contained different contribution or expenditure information as follows:

- The July 2015 C-4 report was filed by the Campaign on August 17, 2015, disclosing \$3,400 in monetary contributions received, no expenditures made or outstanding liabilities owed, and incorrectly included the 2012 contribution totals in the 2016 totals that now totaled \$206,806 in total contributions received and cash on hand.
- An amended July 2015 C-4 report was filed by the Campaign on February 17, 2016, disclosing the \$3,400 in previously reported contributions received, no expenditures made, and incorrectly listed the 2012 contributions in the totals, but included in \$11,555 in expenditures carried forward from the last C-4 report.
- An amended July 2015 C-4 report was filed by the Campaign on March 13, 2016, disclosing \$2,900 in monetary contributions received, \$10 in expenditures made, and no outstanding liabilities owed. The amended C-4 report corrected the totals to \$36,785 in contributions received, \$25,982 in expenditures made, and \$10,793 in cash on hand.

**August 2015 C-4 report:** The Campaign filed three C-4 reports for August of 2015, of which two contained different contribution or expenditure information as follows:

- The August 2015 C-4 report was filed by the Campaign on September 15, 2015, five days late, disclosing no contributions received, no expenditures made or outstanding liabilities owed, and incorrectly listing \$206,806 in total contributions received, no expenditures and \$206,806 cash on hand.
- An amended August 2015 C-4 report was filed by the Campaign on February 17, 2016, disclosing no contributions received, a \$6,610 expenditure made on August 15, 2015 to the CCJLA, no liabilities owed, and incorrectly included the 2012 contribution totals.
- A new August 2015 C-4 report was filed by the Campaign on March 13, 2016, with no contributions received, the \$6,610 previously reported expenditure, and corrected the 2016 totals to \$36,785 in contributions received, \$32,602 in expenditures made, with \$4,183 in cash on hand.

**September 2015 C-4 report:** The Campaign filed three C-4 reports for September of 2015, of which two contained different contribution or expenditure information as follows:

- The September 2015 C-4 report was filed by the Campaign on October 12, 2015, disclosing no contributions received, no expenditures made, or outstanding liabilities owed, and incorrectly listed \$206,806 in total contributions received and cash on hand.
- An amended September 2015 C-4 report was filed by the Campaign on February 17, 2016, disclosing no contributions received, and \$1,994 in expenditures made that included \$1,000 to Friends of Ridgefield Community; \$874 to BGSD". The amended C-4 report incorrectly included the 2012 contribution totals, and listed \$20, 159 in expenditures.
- A new September 2015 C-4 report was filed by the Campaign on March 13, 2016, disclosing \$6,300 in monetary contributions received, the \$1,994 in previously reported expenditures, and corrected the 2016 totals to include \$43,085 in contributions received, \$34,596 in expenditures made, with \$8,489 in cash on hand.

**October 2015 C-4 report:** The Campaign filed three C-4 reports for October of 2015, of which all three contained different contribution or expenditure information as follows:

- The October 2015 C-4 report was filed by the Campaign on December 22, 2015, disclosing \$38,930 in monetary contributions received, no expenditures made or outstanding liabilities owed, and incorrectly listed \$245,736 in total contributions received and cash on hand, with \$0 in total expenditures.
- An amended October 2015 C-4 report was filed by the Campaign on February 17, 2016, disclosing the previously reported \$38,930 in monetary contributions received, and \$1,500 in expenditures made that included \$1,000 to the Clark County Food Bank, and \$500 to the La Center Boosters, and incorrectly listed the 2012 contribution totals, and \$21,658 in total expenditures.
- A new October 2015 C-4 report was filed by the Campaign on March 13, 2016, disclosing \$32,630 in monetary contributions received, the \$1,500 in previously reported expenditures, and corrected the totals to include \$75,715 in contributions received, \$36,096 in expenditures made, with \$39,619 in cash on hand.

**November 2015 C-4 report:** The Campaign filed three C-4 reports for November of 2015, of which all three contained different contribution or expenditure information as follows:

- The November 2015 C-4 report was filed by the Campaign on December 22, 2015, disclosing no contributions received, no expenditures made, or liabilities owed, and incorrectly listed \$245,736 in total contributions received and cash on hand.
- An amended November 2015 C-4 report was filed by the Campaign on February 17, 2016, disclosing no contributions received, and \$2,360 in expenditures made that included a \$2,260 expenditure to Tom Edwards for "signs and delivery, and incorrectly included the 2012 contribution totals, and \$24,019 in total expenditures.

- A new November 2015 C-4 report was filed by the Campaign on March 13, 2016, disclosing \$4,050 in monetary contributions received, the \$2,360 in previously reported expenditures, and corrected the 2016 totals to include \$79,765 in contributions received, \$38,456 in expenditures made, with \$41,309 in cash on hand.



**Ann Rivers: PDC Case 15-94**

**Exhibit No. 11**

<b>C-4 Report</b>	<b>Date Due</b>	<b>Date Filed</b>	<b>Contributions Received</b>	<b>Total Contributions</b>	<b>Expenditures Made</b>	<b>Total Expend</b>	<b>Orders Placed</b>
<b>March 2014: Initial C-4</b>	4/10/2014	2/10/2015	\$ 0	\$182,221	\$ 0	\$ 0	\$ 0
March 2014 C-4 (New)	4/10/2014	2/28/2016	\$ 0	\$ 502	\$ 0	\$ 0	\$ 2,343
March 2014 C-4 (Amended)	4/10/2014	5/17/2016	\$ 0	\$ 7,050	\$ 363	\$7,127	\$ 0
<b>April 2014: Initial C-4</b>	5/10/2014	2/10/2015	\$ 0	\$182,221	\$ 0	\$ 0	\$ 0
April 2014 C-4 (New)		2/28/2016	\$ 0	\$ 502	\$ 0	\$ 0	\$ 3,843
April 2014 C-4 (Amended)		3/6/2016	\$ 0	\$ 7,050	\$ 0	\$ 7,048	\$ 1,721
April 2014 C-4 (Amended)		3/7/2016	\$ 0	\$ 7,050	\$ 25	\$ 6,573	\$ 2,079
April 2014 C-4 (Amended)		5/17/2016	\$ 0	\$ 7,050	\$ 1,500	\$8,627	\$ 0
<b>May 2014: Initial C-4</b>	6/10/2014	2/10/2015	\$ 900	\$183,121	\$ 0	\$ 0	\$ 0
May 2014 C-4 (New)		2/28/2016	\$ 0	\$ 502	\$ 0	\$ 0	\$ 3,843
May 2014 C-4 (Amended)		3/6/2016	\$ 0	\$ 7,050	\$ 0	\$ 7,048	\$ 1,721
May 2014 C-4 (Amended)		3/7/2016	\$ 0	\$ 7,050	\$ 0	\$ 6,548	\$ 2,104
<b>June 2014: Initial C-4</b>	7/10/2014	1/22/2014	\$ 1,500	\$184,621	\$ 0	\$ 0	\$ 0
June 2014 C-4 (New)		2/28/2016	\$ 0	\$ 502	\$ 0	\$ 0	\$ 3,843
June 2014 C-4 (Amended)		3/6/2016	\$ 0	\$ 7,050	\$ 0	\$ 7,073	\$ 1,721
June 2014 C-4 (Amended)		3/7/2016	\$ 0	\$ 7,050	\$ 0	\$ 6,548	\$ 2,104

<b>July 2014: Initial C-4</b>	8/10/2014	2/10/2015	\$ 2,850	\$187,471	\$ 0	\$	\$ 0
July 2014 C-4 (New)		3/8/2016	\$ 2,750	\$ 0	\$ 0	\$ 6,548	\$ 2,104
<b>August 2014: Initial C-4</b>	9/10/2014	2/10/2015	\$ 500	\$187,971	\$ 0	\$	\$ 0
August 2014 C-4 (Amended)		2/17/2016	\$ 0	\$ 203,406	\$ 1,514	\$ 1,514	
August 2014 C-4 (New)		3/8/2016	\$ 1,900	\$ 11,700	\$ 4,589	\$ 11,137	\$ 2,114
August 2014 C-4 (Amended)		5/17/2016	\$ 1,900	\$ 11,700	\$ 4,598	\$ 11,226	\$ 0
<b>September 2014: Initial C-4</b>	10/10/2014	2/10/2015	\$ 6,600	\$ 194,571	\$ 0	\$ 0	\$ 0
September 2014 C-4 (Amended)		2/17/2016	\$ 0	\$ 203,406	\$ 1,514	\$ 1,514	\$ 0
September 2014 C-4 (New)		3/8/2016	\$ 0	\$ 11,700	\$ 0	\$ 11,137	\$ 2,204
August 2014 C-4 (Amended)		5/17/2016	\$ 0	\$ 11,700	\$ 90	\$ 13,316	\$ 0
<b>October 2014: Initial C-4</b>	11/10/2014	2/10/2015	\$ 5,985	\$ 200,556	\$ 0	\$ 0	\$ 0
October 2014 C-4 (Amended)		2/17/2016	\$ 0	\$ 203,406	\$ 1,514	\$ 1,514	\$ 0
October 2014 C-4 (New)		3/13/2016	\$ 14,585	\$ 26,285	\$ 6	\$ 11,143	\$ 2,511
October 2014 C-4 (Amended)		5/17/2016	\$ 14,585	\$ 26,285	\$ 312	\$ 11,628	\$ 0
<b>November 2014: Initial C-4</b>	12/10/2014	2/10/2015	\$ 2,850	\$ 203,406	\$ 0	\$ 0	\$ 0
November 2014 C-4 (Amended)		2/17/2016	\$ 0	\$ 203,406	\$ 0	\$ 1,514	\$ 0

November 2014 C-4 (New)		3/13/2016	\$ 2,400	\$ 28,685	\$ 0	\$ 11,143	\$ 2,985
November 2014 C-4 (Amended)		5/17/2016	\$ 2,400	\$ 28,685	\$ 475	\$ 14,104	\$ 0
<b>December 2014: Initial C-4</b>	1/10/2015	2/10/2015	\$ 0	\$ 203,406	\$ 0	\$ 0	\$ 0
December 2014 C-4 (Amended)		2/17/2016	\$ 0	\$ 203,406	\$ 4,555	\$ 6,070	\$ 0
December 2014 C-4 (New)		3/13/2016	\$ 5,200	\$ 33,885	\$ 4,570	\$ 15,713	\$ 3,163
December 2014 C-4 (Amended)		5/17/2016	\$ 5,200	\$ 33,885	\$ 4,748	\$ 18,851	\$ 0
<b>January 2015: Initial C-4</b>	2/10/2015	2/10/2015	\$ 0	\$ 203,406	\$ 0	\$ 0	\$ 0
January 2015 C-4 (New)		3/13/2016	\$ 0	\$ 33,885	\$ 4,783	\$ 20,497	\$ 0
January 2015 C-4 (Amended)		5/17/2016	\$ 0	\$ 33,885	\$ 0	\$ 18,851	\$ 0
<b>February 2015: Initial C-4</b>	3/10/2015	4/20/2015	\$ 0	\$ 203,406	\$ 0	\$ 0	\$ 0
February 2015 C-4 (Amended)		2/17/2016	\$ 0	\$ 203,406	\$ 500	\$ 6,570	\$ 0
February 2015 C-4 (New)		3/13/2016	\$ 0	\$ 33,885	\$ 500	\$ 20,997	\$ 0
<b>March 2015: Initial C-4</b>	4/10/2015	4/20/2015	\$ 0	\$ 203,406	\$ 0	\$ 0	\$ 0
March 2015 C-4 (Amended)		2/17/2016	\$ 0	\$ 203,406	\$ 1,360	\$ 7,930	\$ 0
March 2015 C-4 (New)		3/13/2016	\$ 0	\$ 33,885	\$ 1,360	\$ 22,357	\$ 0
<b>May 2015: Initial C-4</b>	6/10/2015	7/15/2015	\$ 0	\$ 203,406	\$ 0	\$ 0	\$ 0

May 2015 C-4 (Amended)		2/17/2016	\$ 0	\$ 203,406	\$ 3,625	\$ 11,555	\$ 0
May 2015 C-4 (New)		3/13/2016	\$ 0	\$ 33,885	\$ 3,625	\$ 25,982	\$ 0
<b>July 2015: Initial C-4</b>	8/10/2015	8/17/2015	\$ 3,400	\$ 206,806	\$ 0	\$ 0	\$ 0
July 2015 C-4 (Amended)		2/17/2016	\$ 3,400	\$ 206,806	\$ 0	\$ 11,555	\$ 0
July 2015 C-4 (New)		3/13/2016	\$ 2,900	\$ 36,785	\$ 10	\$ 25,992	\$ 0
<b>August 2015: Initial C-4</b>	9/10/2015	9/15/2015	\$	\$ 206,806	\$ 0	\$ 0	\$ 0
August 2015 C-4 (Amended)		2/17/2016	\$ 0	\$ 206,806	\$ 6,610	\$ 18,165	\$ 0
August 2015 C-4 (New)		3/13/2016	\$ 0	\$ 36,785	\$ 6,610	\$ 32,602	\$ 0
<b>September 2015: Initial C-4</b>	10/10/2015	10/12/2015	\$	\$ 206,806	\$ 0	\$ 0	\$ 0
September 2015 C-4 (Amended)		2/17/2016	\$ 0	\$ 206,806	\$ 1,994	\$ 20,159	\$ 0
September 2015 C-4 (New)		3/13/2016	\$ 6,300	\$ 43,085	\$ 1,994	\$ 34,489	\$ 0
<b>October 2015: Initial C-4</b>	11/10/2015	12/22/2015	\$ 38,930	\$ 245,736	\$ 0	\$ 0	\$ 0
October 2015 C-4 (Amended)		2/17/2016	\$ 38,930	\$ 245,736	\$ 1,500	\$ 21,659	\$ 0
October 2015 C-4 (New)		3/13/2016	\$ 32,630	\$ 75,715	\$ 1,500	\$ 36,096	\$ 0
<b>November 2015: Initial C-4</b>	12/10/2015	12/22/2015	\$ 0	\$ 245,736	\$ 0	\$ 0	\$ 0
November 2015 C-4 (Amended)		2/17/2016	\$ 0	\$ 245,736	\$ 2,360	\$ 24,019	\$ 0
October 2015 C-4 (New)		3/13/2016	\$ 4,050	\$ 79,765	\$ 2,360	\$ 38,456	\$ 0





**Kurt Young**

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**From:** Ann Campaign <annrivers18@comcast.net>  
**Sent:** Wednesday, February 24, 2016 4:38 PM  
**To:** Kurt Young  
**Subject:** Thank you!

Dear Kurt,

Thank you for the letter detailing the work your office has done. I want to assure you that the necessary corrective action is underway and it is my intention to have any issues resolved no later than Feb. 29. The information you provided has already proven to be extremely helpful in providing clarity to this situation.

As you may be aware, in early 2014 my long-time compliance professional retired. I discovered late 2014 that the professional I hired after her was not doing the job. As you are no doubt aware - and I am now keenly aware - starting your ORCA storall over from scratch mid-campaign is fraught with obstacles; potentially the biggest being that unless the previous compliance professional voluntarily provides the files they've been keeping (including passwords), you are starting with no information including balances and history. This experience has proven to be a learning experience. My new compliance professional and I have tightened our processes and feel confident this issue has been put to bed.

The late reports were an attempt by my new compliance professional, hired at the beginning of 2015, to get my reports caught up. She mistakenly entered an incorrect cash total from a C-4 at the end of the 2012 Election reporting (in 2013) which is how the \$182,221.17 difference started. She did not have access to my campaign bank records at the time so she had no context for how far off that number was; she now has access to my bank records so she can verify the numbers independently.

You stated in your letter dated February 10, 2016 that the PDC had previous notified me of a complaint filed against me. I have never received notification of that, or any, complaint. The complaint you reference was the topic of a local "blog" and brought to my attention by a constituent. My compliance professional and I proactively reached out to the PDC this past fall in an effort to resolve the issue without the benefit of knowing the details of the complaint. It has been my intention to remedy any issues and again I appreciate the time and effort the staff at the PDC has spent reviewing this. I would still be interested in receiving the original complaint, and if it was sent to me - to know at what address - since I did not receive it.

It's a shame that what was intended to be a tool for public transparency has turned into a political weapon. I know that there are those who will attempt to use this episode as a "gotcha" when it is

truly a case of human error and trying to work with less-than-user-friendly computer program. If you have additional questions, I am happy to answer them.

Thank you,

Ann Rivers

Sent from my iPhone



**Kurt Young**

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**From:** Ann Rivers Campaign Account <annrivers18@comcast.net>  
**Sent:** Tuesday, March 15, 2016 3:19 PM  
**To:** Kurt Young; Jennifer Hansen  
**Subject:** Response

Kurt,

Just to clarify, Fred Rivers is my treasurer and he does not file my PDC reports (nor has he ever).

To address your specific questions of:

- Did your treasurer inform you whenever she filed a C-3 or C-4 report?  
No, the notification was the PDC email receipts.
- What was the process and your involvement concerning the campaign finance reporting?

I submitted deposits and expenditures to my compliance professionals so they could complete reporting.

- Did you review the C-3 and C-4 filings submitted by your treasurer, either prior to being transmitted electronically or after being filed?

No, because of the electronic nature of filing, I didn't think it was possible

- Did your treasurer reconcile the ORCA contribution and expenditure information with the campaign bank statements on a monthly basis, prior to being made aware of the complaint?

I thought so but am now doubtful. My current professional is doing this.

- Has your current treasurer worked for other candidates or political committees as a treasurer?

Yes

At this time my reports are up-to-date and have all been corrected. As I have mentioned previously, in early 2014 my long-time compliance professional retired. I discovered late 2014 that the professional I hired after her was not doing the job. Taking over compliance reporting mid-campaign was further complicated when the previous compliance professional refused to provide their ORCA files and password, which meant recreating the entire campaign from the beginning. This has proven to be a learning experience. My compliance professional and I have tightened our processes and feel confident this issue has been resolved and will not recur.

I want to reiterate that I did not receive notification of any complaint from the PDC prior to the February 10, 2016 letter you sent. This past fall I proactively reached out to your office as the result of a local "blog" brought to my attention by a constituent. At that time my compliance professional and I attempted to resolve the issue without the benefit of knowing any of the details of the complaint.

This has been a great lesson in seeing the PDC work as it was intended - a tool for transparency. I sincerely appreciate the local watchdog who brought this to your attention as well as the time and effort the staff at the PDC has spent reviewing this and aiding in the ultimate resolution. There is no benefit for me to have inaccurate reports. I'm truly thankful that our state has a system with such effective checks and balances.

If you have additional questions, I am happy to answer them.

Thank you,

Ann Rivers

**Kurt Young**

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**From:** Ann Campaign <annrivers18@comcast.net>  
**Sent:** Friday, April 01, 2016 10:26 AM  
**To:** Kurt Young; Jennifer.Hansen@leg.wa.gov  
**Cc:** Liz Coleman  
**Subject:** Re: Request for additional information; PDC Case No. 2495

Kurt,

The following is in response to your request for more detail on some campaign expenditures.

**A \$6,610 expenditure to Junior Livestock Auction on August 15, 2015 for FFA/4-H.**

My legislative district is largely rural and very engaged in FFA (Future Farmers of America) and 4H. The Junior Livestock Auction (JLA) is held every year at the Clark County Fair – after the kids have shown their animals at the fair and competed for ribbons, they sell their market animal. I am planted in the stands for the entire day as the parents, grandparents, business leaders, and other community members come and go with each new classification (from chickens, rabbits, and geese to goats, hogs, and cattle). All in all, thousands of people pass through the auction on JLA day. I bid on many of the animals (and sometimes win some) – each time I bid, the auctioneer calls out “ANN RIVERS!!” by the end of the day, thousands of people will have heard my name and associate it with someone who cares enough about the success of kids to stay all day and offer financial support to hard working kids. I have received campaign donations from business leaders who attend the event and like my priorities and am often stopped in the grocery store by constituents who I don’t even know who ask if I will be at the auction again.

When I do win an animal, I have donated it to YEAH (Youth Efforts Against Hunger) who collects the meat after processing it and delivers it to the Clark County Food Bank. The Food bank has a media event where they announce the amount of meat donated by YEAH each year and the largest donors are invited to attend. I have received earned media for my donations to the food bank. I do not consume the meat purchased at the JLA, 100% is donated to the Clark County Food Bank to feed the less fortunate in our region. I think I am up to some 2500 pounds of meat donated.

Additionally, there is an opportunity to lend support to kids who have worked hard but who did not win a ribbon for their animal – these are called “Add Ons”. If a young farmer markets me during the year, I do add ons. Over the years, I have been heavily marketed so I do many add ons.

**Two expenditures to the Clark County Skill Center totaling \$4,525, that included: (1) A \$3,525 expenditure on May 16, 2015, for an event sponsorship; and (2) A \$1,100 expenditure made on December 12, 2014 for marketing and event sponsorships. What were the two events? What marketing services were provided to the campaign?**

The Clark County Skills Center Foundation must raise funds to complete its educational mission since state funding has not kept pace with CTE (career & technical education) funding because of the increased demands of funding Basic Education. The events that they host are fundraisers (Spring Gala and another event in the winter that is smaller and is a meal prepared by the culinary students and served by the hospitality students). As a result of my sponsorships, my logo is placed on marketing materials for the event & at the event and I am afforded the opportunity to speak to those in attendance. The winter event boasts about 350 people and the spring gala is 800-1000 people. Again, people from these events have hosted

fundraisers for me as a result of my support for the Skills Center and I have had high profile contact with groups of people who I otherwise might not have.

Two expenditures to the Salvation Army totaling \$3,000, that included: (1) A \$2,000 expenditure made on December 12, 2014 for marketing and event sponsorships; and (2) A \$1,000 expenditure made on November 9, 2013 for marketing and event donation. What were the two events? What marketing services were provided to the campaign?

I became the primary sponsor of the Salvation Army – Washougal fall fundraiser several years ago. The sponsorship is 1,000/event & the donation made on December 12, 2014 was for the '14 event AND the '15 event. The organizers requested early support so that they could better organize for the '15 event. This event is in a far flung part of my district that I normally wouldn't have contact with but allows me an opportunity to have contact with about 200 people who are active in their community. My sponsorship includes logo on marketing materials and stage time to address the attendees. My numbers in Washougal have improved noticeably since I began to do this event.

Three expenditures to Bank of America, two in 2014 and one in 2015, totaling \$9,905. What were the expenditures for, was it to make a credit card payment? Was it your personal credit card or one issued to the campaign? Please describe purpose of the expenditures made to Bank of America and the services provided to the campaign for the expenditures.

The expenditures were to repay campaign expenses to a personal credit card. We have since obtained a debit card on the campaign account that will be used in cases where the credit card would formerly have been used for campaign expenses. All expenditures, purposes, and services are complete in the PDC reports and have been filed in accordance to the direction provided by Jennifer Hansen.

Two expenditures to the Battle Ground School District totaling \$2,188, that included: (1) A \$1,314 expenditure made on August 28, 2014 for a field trip sponsorship; and (2) A \$874 expenditure made on September 4, 2015 for student transportation and marketing. What were the two field trip events? What marketing services were provided to the campaign?

Both expenditures were to sponsor the 5<sup>th</sup> grade class of Captain Strong Elementary in a trip to Olympia – specifically the cost of the transportation. My logo was NOT used but all materials sent home to parents shared that I was sponsoring the trip raising name identification. Additionally, I received earned media from the Reflector Newspaper (the largest newspaper circulation in North Clark County) in the form of a story, and mention in the school district newsletter.

If there is additional clarification needed, please let me know. Again I appreciate the time the staff at the PDC has spent working with me on this issue. Since I have never been sent a copy of the original complaint, I'm unclear about what issues might still require clarification. At your convenience, can you please forward the complaint to me?

Thank you! Ann Rivers

Sent from my iPad