

APR 19 2012

Public Disclosure Commission

Washington State Public Disclosure Commission
711 Capitol Way, Room 206
PO Box 40908
Olympia, WA 98504-0908

To whom it may concern,

Pursuant to chapter 42.17 RCW, I would like to lodge a formal complaint relating to Lieutenant Governor Brad Owen.

His filings have been routinely late, but in the last six months, he has fallen sharply out of compliance. When I discussed this with PDC staff in February 2011, they said they would look into it, but the problem has not been corrected.

The worst offense is that Owen apparently received \$28,470 in contributions during November or December with no disclosure of the source of the money.

It is exactly this circumstance that drove the voters to create the Public Disclosure Commission in the first place and to require full and timely disclosure. An important legislative session has come and gone, but the special interest contributions given to Owen are still hidden from public view.

After decades in Olympia, the Lt. Governor surely knows the law.

In reviewing the PDC forms he submitted, there are several discrepancies:

- He failed to file a C3 for a 9/21 deposit of \$975
- He failed to file a C4 for the months of November and December of 2011
- The first C4 filed for 2012 showed a discrepancy of \$28,470 in contributions and \$18,851 in expenditures

There were a few other issues with his reports. He filed three amended C4's for October 2011, the last of which was filed on December 10, 2011. This third amended October 2011 C4 showed a previous total of expenditures of \$4,892.51 – a difference of \$1,453.80 from the previous C4 period. Nowhere is this additional expenditure itemized or explained.

In addition, Mr. Owen was late on seven of the nine C4's he actually turned in for his 2012 campaign.

This news is troubling given that we are issuing this complaint over 4 months after the November C4 was due.

Thank you for your prompt attention to this matter.

Sincerely,



Joel Graves

RECEIVED

APR 19 2012

Public Disclosure Commission

Certification for a
Complaint to the Washington State Public Disclosure Commission Relating to an
Elected Official or Candidate for Public Office
(Notary Not Required)

I certify (or declare) under penalty of perjury under the laws of the State of Washington that the facts set forth in this attached complaint are true and correct.

Your signature: Joel Graves

Your printed name: Joel Graves

Street address: 16431 12th Ave SW

City, state and zip code: Burien, WA 98166

Telephone number: 206-551-3730

E-Mail Address: (Optional) joeldgraves@gmail.com

Date Signed: 4/19/12

Place Signed (City and County): Olympia Thurston
City County

*RCW 9A.72.040 provides that: "(1) A person is guilty of false swearing if he makes a false statement, which he knows to be false, under an oath required or authorized by law. (2) False swearing is a misdemeanor."

COMPLAINT ATTACHED

RECEIVED

MAY 24 2012

Public Disclosure Commission

Washington State Public Disclosure Commission
711 Capitol Way, Room 206
PO Box 40908
Olympia, WA 98504-0908

To whom it may concern,

Pursuant to chapter 42.17 RCW, I would like to lodge a formal complaint relating to Lieutenant Governor Brad Owen and his potential misuse of surplus campaign funds.

As detailed below, Owen reported in 2009 that he had transferred \$23,713 to an "office fund." Since that time he has not reported the balance nor any expenditures from this fund. His office recently said that such a fund does not exist and then clarified that this "office fund" was another name for his surplus funds account. I would like to know what became of these campaign contributions and how they have been spent.

In a January 5, 2009 Schedule A to C-4 form submitted by Bradley Owen to the PDC for December 2008, there shows a December 19, 2008 expenditure of \$23,713.02 to the "Lieutenant Governor's Office Fund". The corresponding description says "gifts and entertainment for visiting dignitaries or gifts while visiting other countries".

The Washington State Republican Party submitted an information request for, among other things, an itemization of expenditures from this "office fund." The preliminary response from Brent Pendleton of the Lt. Governor's office said that no such fund exists or had ever existed during the period requested.

The WSRP re-submitted this request, citing the expenditure in the January 5, 2009 Schedule A. In an email dated May 2, 2012, Mr. Pendleton said this "office fund" is another name for the surplus funds account of the Lt. Governor. As such, he could not provide an accounting of expenditures from this fund.

According to RCW 42.17A.430(7) candidates are authorized to transfer surplus campaign funds to a separate account for use in paying nonreimbursed public office-related expenses. The law requires candidates who establish such a separate account, either for nonreimbursed public office-related expenses or for the other surplus funds expenses permitted under RCW 42.17A.430, to report the disposition of the funds in accordance with RCW 42.17A.240.

RCW 42.17A.240 specifies the information required to appear on the regular C-4 summary report of contributions and expenditures filed by candidates and political committees.

After a thorough review of Mr. Owen's PDC filings, there has been no disclosure of expenditures from this "office fund," nor from any surplus funds account.

PDC Exhibit # 2
Page 1 of 7

As such, I would request that the PDC investigate Mr. Owen's surplus funds account to determine if expenditures from this fund are of proper use and within the confines of the law. Further, I would request that any and all expenditures from this fund be publicly disclosed.

As there is an ongoing investigation into Mr. Owen's PDC filings, this complaint may be added to that investigation.

I have attached all documents referenced in this letter for your information.

Thank you for your prompt attention to this matter.

Sincerely,



Joel Graves
May 23, 2012

RECEIVED

MAY 24 2012

Public Disclosure Commission

**Certification for a
Complaint to the Washington State Public Disclosure Commission Relating to an
Elected Official or Candidate for Public Office
(Notary Not Required)**

I certify (or declare) under penalty of perjury under the laws of the State of Washington that the facts set forth in this attached complaint are true and correct.

Your signature: Joel Graves

Your printed name: Joel Graves

Street address: 16431 12th Ave SW

City, state and zip code: Burien, WA 98

Telephone number: 206-551-3730

E-Mail Address: (Optional) joelgraves@gmail.com

Date Signed: 5/23/12

Place Signed (City and County): Belleve King
City County

*RCW 9A.72.040 provides that: "(1) A person is guilty of false swearing if he makes a false statement, which he knows to be false, under an oath required or authorized by law. (2) False swearing is a misdemeanor."

COMPLAINT ATTACHED

Joel Graves

To: Caleb Heimlich
Subject: RE: Public Records Request

From: Pendleton, Brent [<mailto:Brent.Pendleton@leg.wa.gov>]
Sent: Wednesday, May 02, 2012 3:28 PM
To: Caleb Heimlich
Cc: Kelly, Juliette; Dirks, Brian
Subject: Public Records Request

Dear Mr. Heimlich,

The "Lieutenant Governor's Office Fund" referenced in your request and in the C4 Schedule A form is another name for the surplus campaign funds of the lieutenant governor. Surplus funds are handled independently from the state office; therefore, no records are kept by the agency. This is not an official account within the Office of Lieutenant Governor, nor has it been in the past; thus, no records can be supplied.

All of the other information that you have requested has been assembled on a DVD and will go out in the mail tomorrow morning.

Sincerely,

Brent Pendleton
Public Records Officer
P.O. Box 40400
Olympia, WA 98504-0400



Brad Owen
Lieutenant Governor



April 2, 2012

Mr. Kirby A Wilbur
Chairman, Washington State Republican Party
11811 NE 1st Street, Suite A-306
Bellevue, WA 98005

Dear Mr. Wilbur:

This is to acknowledge your public records request received by our office on March 27, 2012 regarding employee records, including their salaries; and costs and reimbursements associated with travel and our state agency budget.

Additionally, you asked if the lieutenant governor maintains an "office" fund and, if so, an itemization of expenses within that fund. Since this agency does not maintain an office fund, nor has it during the period requested, no record can be supplied.

Please be assured that our office intends to fully comply with your request in a timely manner pursuant to Washington state law and administrative code. We estimate that we will need a period of up to three weeks to research these records and provide them to you. Should we need longer than three weeks we will provide you with a new estimate, along with an explanation.

Not all of our records are in electronic format. If we find that to be the case in the records you have requested, we will either notify you as to the hours that you may inspect these records on premises or alert you to associated copying and mailing charges for these records.

Should you have any questions concerning the status of your request, please do not hesitate to email me at pendleton.brent@leg.wa.gov or call at (360) 786-7719.

Sincerely,

Brent Pendleton
Administrative Assistant
Office of Lieutenant Governor

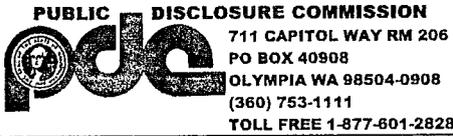
President of the Senate
Chairman, Rules Committee

220 Legislative Building
416 Sid Snyder Avenue SW
PO Box 40400
Olympia, WA 98504-0400

Phone: (360) 786-7700
FAX: (360) 786-7749
e-mail: brad.owen@leg.wa.gov

PDC Exhibit # 2
Page 5 of 7





SUMMARY, FULL REPORT RECEIPTS AND EXPENDITURES

C4 (3/97)	PDC OFFICE USE
	AMENDMENT

Candidate or Committee Name (Do not abbreviate. Include full name)

OWEN BRADLEY S

01/05/2009

Mailing Address

1364 ALONDA LANE NE

City

OLYMPIA

Zip + 4
98516

Office Sought (Candidates)
LIEUTENANT GOVERNOR 2008

***For PACs, Parties & Caucus Committees:** During this report period, did the committee make an **independent expenditure** (i.e., an expense not considered a contribution) supporting or opposing a state or local candidate?

Report Period Covered	From (last C-4)	To (end of period)	Final Report?
	12/01/2008	12/31/2008	Yes <input checked="" type="checkbox"/> No

RECEIPTS

*See next page Yes No

1. Previous total cash and in kind contributions (From line 8, last C-4) (if beginning a new campaign or calendar year, see instruction booklet)		\$ 201,132.58
2. Cash received (From line 2, Schedule A)	\$ 0.00	
3. In kind contributions received (From line 1, Schedule B)	0.00	
4. Total cash and in kind contributions received this period (Line 2 plus 3)		0.00
5. Loan principal repayments made (From line 2, Schedule L)	(0.00)	
6. Corrections (From line 1 or 3, Schedule C) Show + or (-)	+ 0.00	
7. Net adjustments this period (Combine line 5 & 6) Show + or (-)		+ 0.00
8. Total cash and in kind contributions during campaign (Combine lines 1, 4 & 7)		201,132.58
9. Total pledge payments due (From line 2, Schedule B)	0.00	

EXPENDITURES

10. Previous total cash and in kind expenditures (From line 17, last C-4) (if beginning a new campaign or calendar year, see instruction booklet)		177,419.56
11. Total cash expenditures (From line 4, Schedule A)	23,713.02	
12. In kind expenditures (goods & services) (From line 1, Schedule B)	0.00	
13. Total cash and in kind expenditures made this period (Line 11 plus line 12)		23,713.02
14. Loan principal repayments made (From line 2, Schedule L)	(0.00)	
15. Corrections (From line 2 or 3, Schedule C) Show + or (-)	+ 0.00	
16. Net adjustments this period (Combine lines 14 & 15) Show + or (-)		+ 0.00
17. Total cash and in kind expenditures during campaign (Combine lines 10, 13 and 16)		201,132.58

CANDIDATES ONLY

	Won	Lost	Unopposed	Name not on ballot
Primary election	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General election	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Treasurer's Daytime Telephone No.:

CASH SUMMARY

18. Cash on hand (Line 8 minus line 17) (Line 18 should equal your bank account balance(s) plus your petty cash balance.)	0.00
19. Liabilities: (Sum of loans and debts owed)	(0.00)
20. Balance (Surplus or deficit) (Line 18 minus line 19)	0.00

CERTIFICATION: I certify that the information herein and on accompanying schedules and attachments is true and correct to the best of my knowledge.

Candidate's Signature	Date	Treasurer's Signature	Date
BRADLEY OWEN			

CASH RECEIPTS AND EXPENDITURE

SCHEDULE
to C4

A
(11/93)

Candidate or Committee Name (Do not abbreviate. Use full name.)
OWEN BRADLEY S

Report Date
01/05/2009

1. CASH RECEIPTS (Contributions) which have been reported on C3. List each deposit made since last C4 report was submitted.

Date of deposit	Amount	Date of deposit	Amount	Date of deposit	Amount	Total deposits
						0.00

2. TOTAL CASH RECEIPTS Enter also on line 2 of C4 \$ 0.00

CODES FOR CLASSIFYING EXPENDITURES: If one of the following codes is used to describe an expenditure, no other description is generally needed. The exceptions are:

- 1) If expenditures are in-kind or earmarked contributions to a candidate or committee or independent expenditures that benefit a candidate or committee, identify the candidate or committee in the Description block;
- 2) When reporting payments to vendors for travel expenses, identify the traveler and travel purpose in the Description block; and
- 3) If expenditures are made directly or indirectly to compensate a person or entity for soliciting signatures on a statewide initiative or referendum petition, use code "V" and provide the following information on an attached sheet: name and address of each person/entity compensated, amount paid each during the reporting period, and cumulative total paid all persons to date to gather signatures.

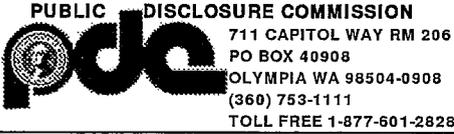
CODE DEFINITIONS ON NEXT PAGE	C - Contributions (monetary, in-kind & transfers) I - Independent Expenditures L - Literature, Brochures, Printing B - Broadcast Advertising (Radio, TV) N - Newspaper and Periodical Advertising O - Other Advertising (yard signs, buttons, etc.) V - Voter Signature Gathering	P - Postage, Mailing Permits S - Surveys and Polls F - Fundraising Event Expenses T - Travel, Accommodations, Meals M - Management/Consulting Services W - Wages, Salaries, Benefits G - General Operation and Overhead
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3. EXPENDITURES

- a) Expenditures of \$50 or less, including those from petty cash, need not be itemized. Add up these expenditures and show the total in the amount column on the first line below.
- b) Itemize each expenditure of more than \$50 by date paid, name and address of vendor, code/description, and amount.
- c) For each payment to a candidate, campaign worker, PR firm, advertising agency or credit card company, attach a list of detailed expenses or copies of receipts/invoices supporting the payment.

Date Paid	Vendor or Recipient (Name and Address)	Code	Purpose of Expense and/or Description	Amount
12/01/2008	EXPENSES OF \$50 OR LESS	A		\$ 0.00
12/19/2008	LIEUTENANT GOVERNORS OFFICE FUND STATE CAPITAL OLYMPIA WA 98501		GIFTS AND ENTERTAINMENT FOR VISITING DIGNATARIES OR GIFT S WHILE VISITING OTHER COUNT	23,713.02

4. TOTAL CASH EXPENDITURES Total from attached pages \$ 0.00
Enter also on line 11 of C4 \$ 23,713.02



SUMMARY, FULL REPORT RECEIPTS AND EXPENDITURES

C4 (3/97)	PDC OFFICE USE
	100460978
	05-01-2012

Candidate or Committee Name (Do not abbreviate. Include full name)
BRAD S OWEN (CITIZENS TO RE-ELECT BRAD OWEN LIEUTENANT GOVERNOR)

Mailing Address: **PO BOX 1426** City: **SHELTON, WA**

Zip + 4 98584	Office Sought (Candidates) LIEUTENANT GOVERNOR	Election Date 2012
Report Period Covered 11/01/11	To (end of period) 11/30/11	Final Report? Yes No X

***For PACs, Parties & Caucus Committees:** During this report period, did the committee make an **independent expenditure** (i.e., an expense not considered a contribution) supporting or opposing a state or local candidate?

RECEIPTS

	*See next page	Yes	No
1. Previous total cash and in kind contributions (From line 8, last C-4) (if beginning a new campaign or calendar year, see instruction booklet)	\$		22,050.00
2. Cash received (From line 2, Schedule A)	\$	46,596.00	
3. In kind contributions received (From line 1, Schedule B)		300.00	
4. Total cash and in kind contributions received this period (Line 2 plus 3)			46,896.00
5. Loan principal repayments made (From line 2, Schedule L)		0.00	
6. Corrections (From line 1 or 3, Schedule C)	Show + or (-)	0.00	
7. Net adjustments this period (Combine line 5 & 6)	Show + or (-)		0.00
8. Total cash and in kind contributions during campaign (Combine lines 1, 4 & 7)			68,946.00
9. Total pledge payments due (From line 2, Schedule B)	0.00		

EXPENDITURES

10. Previous total cash and in kind expenditures (From line 17, last C-4) (if beginning a new campaign or calendar year, see instruction booklet)	8,623.61
11. Total cash expenditures (From line 4, Schedule A)	16,625.38
12. In kind expenditures (goods & services) (From line 1, Schedule B)	300.00
13. Total cash and in kind expenditures made this period (Line 11 plus line 12)	16,925.38
14. Loan principal repayments made (From line 2, Schedule L)	0.00
15. Corrections (From line 2 or 3, Schedule C)	Show + or (-) 0.00
16. Net adjustments this period (Combine lines 14 & 15)	Show + or (-) 0.00
17. Total cash and in kind expenditures during campaign (Combine lines 10, 13 and 16)	25,548.99

CANDIDATES ONLY

	Won	Lost	Unopposed	Name not on ballot
Primary election	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General election	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Treasurer's Daytime Telephone No.:
(360) 459-6633

CASH SUMMARY

18. Cash on hand (Line 8 minus line 17)	43,397.01
<small>[Line 18 should equal your bank account balance(s) plus your petty cash balance.]</small>	
19. Liabilities: (Sum of loans and debts owed)	0.00
20. Balance (Surplus or deficit) (Line 18 minus line 19)	43,397.01

CERTIFICATION: I certify that the information herein and on accompanying schedules and attachments is true and correct to the best of my knowledge.

Candidate's Signature BRAD OWEN	Date 12/12/11	Treasurer's Signature LAUREL A WILLIS	Date
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CASH RECEIPTS AND EXPENDITURE

SCHEDULE **A**
to C4
(11/93)

2

Candidate or Committee Name (Do not abbreviate. Use full name.) Report Date
BRAD S OWEN (CITIZENS TO RE-ELECT BRAD OWEN LIEUTENANT GOVERNOR) 11/01/11 11/30/11

1. CASH RECEIPTS (Contributions) which have been reported on C3. List each deposit made since last C4 report was submitted.

Date of deposit	Amount	Date of deposit	Amount	Date of deposit	Amount	Total deposits
11/08/2011	100.00	11/21/2011	291.00	11/25/2011	2,400.00	
11/08/2011	27,195.00	11/21/2011	6,845.00			
11/08/2011	1,540.00	11/22/2011	8,225.00			

2. TOTAL CASH RECEIPTS Enter also on line 2 of C4 \$ 46,596.00

CODES FOR CLASSIFYING EXPENDITURES: If one of the following codes is used to describe an expenditure, no other description is generally needed. The exceptions are:

- 1) If expenditures are in-kind or earmarked contributions to a candidate or committee or independent expenditures that benefit a candidate or committee, identify the candidate or committee in the Description block;
- 2) When reporting payments to vendors for travel expenses, identify the traveler and travel purpose in the Description block; and
- 3) If expenditures are made directly or indirectly to compensate a person or entity for soliciting signatures on a statewide initiative or referendum petition, use code "V" and provide the following information on an attached sheet: name and address of each person/entity compensated, amount paid each during the reporting period, and cumulative total paid all persons to date to gather signatures.

<p>CODE DEFINITIONS ON NEXT PAGE</p>	<p>C - Contributions (monetary, in-kind & transfers) I - Independent Expenditures L - Literature, Brochures, Printing B - Broadcast Advertising (Radio, TV) N - Newspaper and Periodical Advertising O - Other Advertising (yard signs, buttons, etc.) V - Voter Signature Gathering</p>	<p>P - Postage, Mailing Permits S - Surveys and Polls F - Fundraising Event Expenses T - Travel, Accommodations, Meals M - Management/Consulting Services W - Wages, Salaries, Benefits G - General Operation and Overhead</p>
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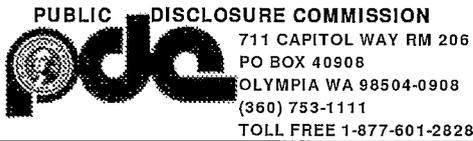
3. EXPENDITURES

- a) Expenditures of \$50 or less, including those from petty cash, need not be itemized. Add up these expenditures and show the total in the amount column on the first line below.
- b) Itemize each expenditure of more than \$50 by date paid, name and address of vendor, code/description, and amount.
- c) For each payment to a candidate, campaign worker, PR firm, advertising agency or credit card company, attach a list of detailed expenses or copies of receipts/invoices supporting the payment.

Date Paid	Vendor or Recipient (Name and Address)	Code	Purpose of Expense and/or Description	Amount
N/A	Expenses of \$50 or less	N/A	N/A	170.26
11/16/11	CAPITAL CITY PRESS 2975 27TH AVE SW TUMWATER, WA 98512		FLYERS FOR FUND RAISER	262.90
11/16/11	CAPITAL CITY PRESS 2975 27TH AVE SW TUMWATER, WA 98512		ENVELOPES FOR MAILING	175.21
11/16/11	CAPITAL CITY PRESS 2975 27TH AVE SW TUMWATER, WA 98512		ADVERTISING PRINTED FOR MAILING	2,258.24
11/18/11	FDR CONSULTING 65TH AVE & MAIN ST BAINBRIDGE ISLAND, WA 98105		POLITICAL CONSULTATION	7,500.00
11/03/11	LINDA OWEN PO BOX 1426 SHELTON, WA 98584		DECORATIONS/PARTY SUPPLIES/FOOD	488.64
11/03/11	LINDA OWEN PO BOX 1426 SHELTON, WA 98584		CEDARS AT DUNGENESS, SEQUIM \$6.50 PORTWAY TAVERN ASTORIA \$55.25	61.75

Total from attached pages \$ 5,708.38
 Enter also on line 11 of C4 \$ 16,625.38

4. TOTAL CASH EXPENDITURES



SUMMARY, FULL REPORT RECEIPTS AND EXPENDITURES

C4 (3/97)	PDC OFFICE USE
	100460979
	05-01-2012

Candidate or Committee Name (Do not abbreviate. Include full name)
BRAD S OWEN (CITIZENS TO RE-ELECT BRAD OWEN LIEUTENANT GOVERNOR)

Mailing Address
PO BOX 1426

City
SHELTON, WA

Zip + 4 98584	Office Sought (Candidates) LIEUTENANT GOVERNOR	Election Date 2012
Report Period Covered From (last C-4) 12/01/11	To (end of period) 12/31/11	Final Report? Yes No X

***For PACs, Parties & Caucus Committees:** During this report period, did the committee make an **independent expenditure** (i.e., an expense not considered a contribution) supporting or opposing a state or local candidate?

RECEIPTS

*See next page Yes No

1. Previous total cash and in kind contributions (From line 8, last C-4) (if beginning a new campaign or calendar year, see instruction booklet)	\$	68,946.00
2. Cash received (From line 2, Schedule A)	\$	0.00
3. In kind contributions received (From line 1, Schedule B)		0.00
4. Total cash and in kind contributions received this period (Line 2 plus 3)		0.00
5. Loan principal repayments made (From line 2, Schedule L)		0.00
6. Corrections (From line 1 or 3, Schedule C)	Show + or (-)	0.00
7. Net adjustments this period (Combine line 5 & 6)	Show + or (-)	0.00
8. Total cash and in kind contributions during campaign (Combine lines 1, 4 & 7)		68,946.00
9. Total pledge payments due (From line 2, Schedule B)	0.00	

EXPENDITURES

10. Previous total cash and in kind expenditures (From line 17, last C-4) (if beginning a new campaign or calendar year, see instruction booklet)	25,548.99
11. Total cash expenditures (From line 4, Schedule A)	236.10
12. In kind expenditures (goods & services) (From line 1, Schedule B)	0.00
13. Total cash and in kind expenditures made this period (Line 11 plus line 12)	236.10
14. Loan principal repayments made (From line 2, Schedule L)	0.00
15. Corrections (From line 2 or 3, Schedule C)	Show + or (-) 0.00
16. Net adjustments this period (Combine lines 14 & 15)	Show + or (-) 0.00
17. Total cash and in kind expenditures during campaign (Combine lines 10, 13 and 16)	25,785.09

CANDIDATES ONLY

	Won	Lost	Unopposed	Name not on ballot
Primary election	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General election	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

CASH SUMMARY

18. Cash on hand (Line 8 minus line 17)	43,160.91
<small>[Line 18 should equal your bank account balance(s) plus your petty cash balance.]</small>	
19. Liabilities: (Sum of loans and debts owed)	0.00
20. Balance (Surplus or deficit) (Line 18 minus line 19)	43,160.91

Treasurer's Daytime Telephone No.:
 (360) 459-6633

CERTIFICATION: I certify that the information herein and on accompanying schedules and attachments is true and correct to the best of my knowledge.

Candidate's Signature BRAD OWEN	Date 01/10/12	Treasurer's Signature LAUREL A WILLIS	Date
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CASH RECEIPTS AND EXPENDITURE

SCHEDULE **A**
to C4
(11/93)

2

Candidate or Committee Name (Do not abbreviate. Use full name.)

Report Date

BRAD S OWEN (CITIZENS TO RE-ELECT BRAD OWEN LIEUTENANT GOVERNOR) 12/01/11 12/31/11

1. CASH RECEIPTS (Contributions) which have been reported on C3. List each deposit made since last C4 report was submitted.

Date of deposit	Amount	Date of deposit	Amount	Date of deposit	Amount	Total deposits
2. TOTAL CASH RECEIPTS						Enter also on line 2 of C4 \$ 0.00

CODES FOR CLASSIFYING EXPENDITURES: If one of the following codes is used to describe an expenditure, no other description is generally needed. The exceptions are:

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- 2) When reporting payments to vendors for travel expenses, identify the traveler and travel purpose in the Description block; and
- 3) If expenditures are made directly or indirectly to compensate a person or entity for soliciting signatures on a statewide initiative or referendum petition, use code "V" and provide the following information on an attached sheet: name and address of each person/entity compensated, amount paid each during the reporting period, and cumulative total paid all persons to date to gather signatures.

CODE DEFINITIONS ON NEXT PAGE	C - Contributions (monetary, in-kind & transfers)	P - Postage, Mailing Permits
	I - Independent Expenditures	S - Surveys and Polls
	L - Literature, Brochures, Printing	F - Fundraising Event Expenses
	B - Broadcast Advertising (Radio, TV)	T - Travel, Accommodations, Meals
	N - Newspaper and Periodical Advertising	M - Management/Consulting Services
	O - Other Advertising (yard signs, buttons, etc.)	W - Wages, Salaries, Benefits
	V - Voter Signature Gathering	G - General Operation and Overhead

3. EXPENDITURES

- a) Expenditures of \$50 or less, including those from petty cash, need not be itemized. Add up these expenditures and show the total in the amount column on the first line below.
- b) Itemize each expenditure of more than \$50 by date paid, name and address of vendor, code/description, and amount.
- c) For each payment to a candidate, campaign worker, PR firm, advertising agency or credit card company, attach a list of detailed expenses or copies of receipts/invoices supporting the payment.

Date Paid	Vendor or Recipient (Name and Address)	Code	Purpose of Expense and/or Description	Amount
N/A	Expenses of \$50 or less	N/A	N/A	
12/01/11	MERCHANT FEES OLYMPIA, WA 98501		PAY PAL	236.10

Total from attached pages \$ 0.00
Enter also on line 11 of C4 \$ 236.10

4. TOTAL CASH EXPENDITURES

PDC Exhibit # 4
Page 2 of 2

**CASH RECEIPTS
 MONETARY
 CONTRIBUTIONS**

C3
 (1/02)

THIS SPACE FOR OFFICE USE
 100460990
 05-01-2012

Candidate or Committee Name (Do not abbreviate. Use full name.)
 BRAD S OWEN (CITIZENS TO RE-ELECT BRAD OWEN LIEUTENANT GOVERNOR)

Mailing Address
 PO BOX 1426

City Zip + 4 Office Sought (candidates) Election Date
 SHELTON, WA 98584 LIEUTENANT GOVERNOR 2012

1. MONETARY CONTRIBUTIONS DEPOSITED IN ACCOUNT

Date Received		Amount	Total
	a. Anonymous		
	b. Candidate's personal funds deposited in the bank (include candidate loans in 1c).....		
	c. Loans, notes, security agreements. Attach Schedule L		
	d. Miscellaneous receipts (interest, refunds, auctions, other). Attach explanation		
09/21/11	e. Small contributions \$25.00 or less not itemized and number of persons giving <u>1</u> (persons)	25.00	

2. CONTRIBUTIONS OVER \$25.00

Date Received	Contributor's Name, Address, City, State, Zip	Contributions of more than \$100:*	P R I	G E N	Amount	Aggregate* Total
09/21/11	HING WONG 4225 S FRONTENAC ST SEATTLE, WA 98118-3623		X		50.00	50.00
	Occupation					
09/21/11	PETER OVERTON P O BOX 2453 OLYMPIA, WA 98507	PETE OVERTON	X		500.00	500.00
	Occupation	OLYMPIA, WA				
	Occupation	LOBBYIST				
09/21/11	MARTIN LOVINGER 1802 MEDALLION CT. NW OLYMPIA, WA 98502-4000		X		100.00	100.00
	Occupation					
09/21/11	HERBERT BRIDGE PO BOX 1908 SEATTLE, WA 98111-1908		X		50.00	50.00
	Occupation					
09/21/11	JIM GRIMES 1405 HARRISON AVE. OLYMPIA, WA 98502	KORNMEISSER CLINIC	X		200.00	200.00
	Occupation	OLYMPIA, WA				
	Occupation	OPTROMETIST				
	<input checked="" type="checkbox"/> Check here if additional pages are attached	Sub-total			925.00	*See reverse for details.
		Amount from attached pages			50.00	

3. TOTAL FUNDS RECEIVED AND DEPOSITED OR CREDITED TO ACCOUNT
 Sum of parts 1 and 2 above. Enter this amount in line 1, Schedule A to C4.

975.00

4. Date of Deposit

09/21/11

Treasurer's Daytime Telephone No.: (360) 459-6633

I certify that this report is true and complete to the best of my knowledge

Treasurer's Signature

Date

LAUREL A WILLIS

05-01-2012

PDC Exhibit # 5
 Page 1 of 2

RECEIPTS CONTINUATION SHEET (Attachment to C-3 Form)

Candidate or Committee Name (Do not abbreviate. Use full name.)
 BRAD S OWEN (CITIZENS TO RE-ELECT BRAD OWEN LIEUTENANT GOVERNOR)

Deposit Date
 09/21/11

2. CONTRIBUTIONS OVER \$25.00						
Date Received	Contributor's Name, Address, City, State, Zip	Contributions of more than \$100:* Employer's Name, City and State	P R I	G E N	Amount	Aggregate Total*
09/21/11	LARRY J BLUME 701 SLEATER KINNEY RD SE STE 21 LACEY, WA 98503		X		50.00	50.00
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				
		Occupation				

Page Total 50.00

**CASH RECEIPTS
 MONETARY
 CONTRIBUTIONS**

C3
 (1/02)

THIS SPACE FOR OFFICE USE
 100462058
 05-08-2012

Candidate or Committee Name (Do not abbreviate. Use full name.)
 BRAD S OWEN (CITIZENS TO RE-ELECT BRAD OWEN LIEUTENANT GOVERNOR)

Mailing Address
 PO BOX 1426

City Zip + 4 Office Sought (candidates) Election Date
 SHELTON, WA 98584 LIEUTENANT GOVERNOR 2012

1. MONETARY CONTRIBUTIONS DEPOSITED IN ACCOUNT

Date Received		Amount	Total
	a. Anonymous		
	b. Candidate's personal funds deposited in the bank (include candidate loans in 1c).....		
	c. Loans, notes, security agreements. Attach Schedule L		
	d. Miscellaneous receipts (interest, refunds, auctions, other). Attach explanation		
11/08/11	e. Small contributions \$25.00 or less not itemized and number of persons giving ¹ (persons)	25.00	

2. CONTRIBUTIONS OVER \$25.00

Date Received	Contributor's Name, Address, City, State, Zip	Contributions of more than \$100:*	P R I	G E N	Amount	Aggregate* Total
1/08/11	ALAN THOMPSON 6849 BOSTON HARBOR EXT NE OLYMPIA, WA 98506		X		100.00	100.00
	Occupation					
1/08/11	GRANT A NELSON 8317 SKIVIEW LN SW OLYMPIA, WA 98512		X		100.00	100.00
	Occupation					
1/08/11	MILLENNIA PUBLIC AFFAIRS 21127 47TH DR SE BOTHELL, WA 98021-7970		X		250.00	250.00
	Occupation					
1/08/11	CHIECHI & ASSOCIATES LLC. 820 HAYES ST SW TUMWATER, WA 98512		X		30.00	80.00
	Occupation					
1/08/11	JEFFEREY STEPHEN GOMBOSKY/DBA 2312 WEDGEWOOD DR SE OLYMPIA, WA 98501-3839		X		100.00	100.00
	Occupation					
	<input checked="" type="checkbox"/> Check here if additional pages are attached					
		Sub-total			605.00	
		Amount from attached pages			26,590.00	

3. TOTAL FUNDS RECEIVED AND DEPOSITED OR CREDITED TO ACCOUNT

Sum of parts 1 and 2 above. Enter this amount in line 1, Schedule A to C4. 27,195.00

*See reverse for details.

4. Date of Deposit: 11/08/11

Treasurer's Daytime Telephone No.: (360) 459-6633

I certify that this report is true and complete to the best of my knowledge

Treasurer's Signature: LAUREL A WILLIS

Date: 05-08-2012

PDC Exhibit # 6
 Page 1 of 3

RECEIPTS CONTINUATION SHEET (Attachment to C-3 Form)

Page 2

Candidate or Committee Name (Do not abbreviate. Use full name.) BRAD S OWEN (CITIZENS TO RE-ELECT BRAD OWEN LIEUTENANT GOVERNOR)		Deposit Date 11/08/11
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2. CONTRIBUTIONS OVER \$25.00						
Date Received	Contributor's Name, Address, City, State, Zip	Contributions of more than \$100:* Employer's Name, City and State	P R I	G E N	Amount	Aggregate Total*
11/08/11	THE CARPET AND RUG INSTITUTE, P.O. BOX 2048 DALTON, GA 30722-2048	Occupation	X		500.00	500.00
11/08/11	BIG I 11911 NE 1ST ST. SUITE B-103 BELLEVUE, WA 98005	Occupation	X		500.00	500.00
11/08/11	ASSOC. BUILDERS & CONTRACTORS 399 114TH AVE NE BELLEVUE, WA 98004	Occupation	X		250.00	250.00
11/08/11	TONY CHANG 11709 NE 166TH CT BOTHELL, WA 98011	RETIRE Occupation RETIRED	X		200.00	200.00
11/08/11	JOSEPH WILLIAMS 18311 COOK RD SE YELM, WA 98597	RETIRE Occupation RETIRED	X		1,000.00	1,000.00
11/08/11	LYNDA R ERICKSON 100 E OLD WINERY RD SHELTON, WA 98584	Occupation	X		100.00	100.00
11/08/11	JAY FULLER 4135 BISCAY ST NW OLYMPIA, WA 98502	Occupation	X		100.00	100.00
11/08/11	DRAGON SLAYER/DBA NEW PHOENIX 225 W 4TH ST. PO BOX 1990 LA CENTER, WA 98629	Occupation	X		200.00	200.00
11/08/11	A T & T JP MORGAN CHASE BANK, NA SYRACUSE, NY 13204	Occupation	X		1,600.00	1,600.00
11/08/11	M.T.&M. GAMING/DBA LAST 105 W 4TH ST LA CENTER, WA 98629	Occupation	X		200.00	200.00
11/08/11	CYNTHIA ZEHNDER 1703 SUSAN CT SE OLYMPIA, WA 98501	RETIRE Occupation RETIRED	X		250.00	250.00

Page Total . 4,900.00

RECEIPTS CONTINUATION SHEET (Attachment to C-3 Form)

Candidate or Committee Name (Do not abbreviate. Use full name.)
 BRAD S OWEN (CITIZENS TO RE-ELECT BRAD OWEN LIEUTENANT GOVERNOR)

Deposit Date
 11/08/11

2. CONTRIBUTIONS OVER \$25.00

Date Received	Contributor's Name, Address, City, State, Zip	Contributions of more than \$100:* Employer's Name, City and State	P R I	G E N	Amount	Aggregate Total*
11/08/11	CURTIS SMITCH 1617 EAST BAY DR OLYMPIA, WA 98506	Occupation	X		100.00	100.00
11/08/11	WASHINGTON RESTAURANT ASSOC. 510 PLUM ST SE SUITE 200 OLYMPIA, WA 98501	Occupation	X		1,600.00	1,600.00
11/08/11	MOTASANTO COMPANY 800 NORTH LINDBERGH SAINT LOUIS, MO 63167	Occupation	X		1,000.00	1,000.00
11/08/11	PFIZER INC. 235 EAST 42ND STREET NEW YORK, NY 10017-5755	Occupation	X		800.00	1,600.00
11/08/11	PFIZER INC. 235 EAST 42ND STREET NEW YORK, NY 10017-5755	Occupation	X		800.00	1,600.00
11/08/11	WASHINGTON FINACIAL LEAGUE PAC 1501 S CAPITAL WAY #203 OLYMPIA, WA 98501-2293	Occupation	X		500.00	500.00
11/08/11	CHIECHI & ASSOCIATES LLC. 820 HAYES ST SW TUMWATER, WA 98512	Occupation	X		50.00	80.00
11/08/11	MCBRIDE PUBLIC AFFAIRS LLC 3300 OLDSTEAD CT SE OLYMPIA, WA 983817	Occupation	X		100.00	100.00
11/08/11	PEMCO MUTUAL INSURANCE 325 EASTLAKE AVE EAST SEATTLE, WA 98109	Occupation	X		500.00	500.00
11/08/11	GERALD G DUNNAM, JR 9537 YORKSHIRE CT SE OLYMPIA, WA 98513	Occupation	X		100.00	100.00
11/08/11	NOVARTS PHARMACEUTICALS CORP ONE HEALTH PLAZA EAST HANOVER, NJ 07936	Occupation	X		500.00	500.00

Page Total 6,050.00

RECEIPTS CONTINUATION SHEET (Attachment to C-3 Form)

Page 4

Candidate or Committee Name (Do not abbreviate. Use full name.) BRAD S OWEN (CITIZENS TO RE-ELECT BRAD OWEN LIEUTENANT GOVERNOR)		Deposit Date 11/08/11
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2. CONTRIBUTIONS OVER \$25.00						
Date Received	Contributor's Name, Address, City, State, Zip	Contributions of more than \$100:* Employer's Name, City and State	P R I	G E N	Amount	Aggregate Total*
11/08/11	ROBERT MITCHELL PO BOX 2743 OLYMPIA, WA 98507	Occupation	X		50.00	50.00
11/08/11	WASHINGTON REFUSE & RECYCLING PO BOX A ILWACO, WA 98624	Occupation	X		400.00	400.00
11/08/11	CREDIT UNION LEGISLATIVE ACTION 33301 9TH AVE S #200 FEDERAL WAY, WA 98003	Occupation	X		1,600.00	1,600.00
11/08/11	THOMAS F HUJAR 8440 NE GORDON DRIVE BAINBRIDGE ISLAND, WA 98110	Occupation	X		100.00	100.00
11/08/11	GREEN DIAMON RESOURCE CO. PO BOX 9001 SHELTON, WA 98584	Occupation	X		1,000.00	1,000.00
11/08/11	HOLLAND AMERICAN LINE 300 ELLIOT AVE WEST SEATTLE, WA 98119	Occupation	X		800.00	800.00
11/08/11	SHERWOOD B KORSSJOEN 13710 461ST PL SE NORTH BEND , WA 98045	Occupation	X		50.00	50.00
11/08/11	BEVERLY WARNKE 29457 51ST AVE S AUBURN, WA 98001	RETIRE ' Occupation RETIRED	X		200.00	200.00
11/08/11	GLADYS ROMERO 3016 24TH AVE W SEATTLE, WA 98199-2806	RETIRE ' Occupation RETIRED	X		250.00	250.00
11/08/11	SIMPSON 917 EAST 11TH ST TACOMA, WA 98421	Occupation	X		600.00	600.00
11/08/11	CONOCO PHILLIPS CO CONOCO PHILLIPS DR HOUSTON, TX 36415	Occupation	X		800.00	800.00

Page Total 5,850.00

RECEIPTS CONTINUATION SHEET (Attachment to C-3 Form)

Page 5

Candidate or Committee Name (Do not abbreviate. Use full name.)
 BRAD S OWEN (CITIZENS TO RE-ELECT BRAD OWEN LIEUTENANT GOVERNOR)

Deposit Date
 11/08/11

2. CONTRIBUTIONS OVER \$25.00						
Date Received	Contributor's Name, Address, City, State, Zip	Contributions of more than \$100:* Employer's Name, City and State	P R I	G E N	Amount	Aggregate Total*
11/08/11	JACK L FONG 577 COLUMBUS AVE SAN FRANCISCO, CA 94133-2894	Occupation	X		100.00	100.00
11/08/11	SAFEWAY 5918 STONERIDGE MALL ROAD PLEASANTON, CA 94588	Occupation	X		1,000.00	1,000.00
11/08/11	CASINO CARIBBEAN 1901 E BOGGESS ST PO BOX 10843 YAKIMA, WA 98909	Occupation	X		250.00	250.00
11/08/11	PUBLIC AFFAIRS OF 1500 WATER ST #5 OLYMPIA, WA 98501	Occupation	X		100.00	100.00
11/08/11	GENE SHARRATT 7601 LAMBERT RD CLE ELUM, WA 98922	RETIREDD , Occupation RETIRED	X		500.00	500.00
11/08/11	ANN M CLIFTON 724 89TH AVE SW OLYMPIA , WA 98512	Occupation	X		50.00	50.00
11/08/11	MARTIN LOVINGER 1802 MEDALLION CT. NW OLYMPIA, WA 98502-4000	SELF OLYMPIA, WA Occupation ATTORNEY	X		200.00	300.00
11/08/11	ROBERT MAKIN 1940 5TH AVE W SEATTLE, WA 98119	SELF SEATTLE, WA Occupation LOBBYIST	X		200.00	200.00
11/08/11	KIMBERLY A MARTIN 3140 MARINGO ROAD SE OLYMPIA, WA 98501	Occupation	X		100.00	100.00
11/08/11	TRYNA NORBERG PO BOX 7506 OLYMPIA, WA 98507	Occupation	X		100.00	100.00
11/08/11	STAN M NAUGHTON 4425 W LAKE GOODWIN RD STANWOOD, WA 98292	RETIREDD , Occupation RETIRED	X		200.00	200.00

Page Total 2,800.00

RECEIPTS CONTINUATION SHEET (Attachment to C-3 Form)

Page 6

Candidate or Committee Name (Do not abbreviate. Use full name.) BRAD S OWEN (CITIZENS TO RE-ELECT BRAD OWEN LIEUTENANT GOVERNOR)		Deposit Date 11/08/11
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2. CONTRIBUTIONS OVER \$25.00

Date Received	Contributor's Name, Address, City, State, Zip	Contributions of more than \$100:* Employer's Name, City and State	P R I	G E N	Amount	Aggregate Total*
11/08/11	J. A BRICKER 2002 N SCHUSTER PARKWAY UNIT TACOMA, WA 98402	RETIRED / Occupation RETIRED	X		200.00	200.00
11/08/11	SHARON KINDER 502 EDISON ST SE OLYMPIA, WA 98501	Occupation	X		50.00	50.00
11/08/11	CONNIE MICHENER 3549 37TH CT NW OLYMPIA, WA 98502	RETIRED / Occupation RETIRED	X		500.00	500.00
11/08/11	JOHN SCHLATTER 3019 19TH STREET PL SW PUYALLUP, WA 98373	Occupation	X		50.00	50.00
11/08/11	JEFFERSON DAVIS 1100 WILSON RD NW OLYMPIA, WA 98502	Occupation	X		50.00	50.00
11/08/11	BRIAN MINNICH 1009 SURREY TRCE SE TUMWATER, WA 98501-8624	Occupation	X		50.00	50.00
11/08/11	JANET BUZARD 10128 RAINIER AVE S SEATTLE, WA 98178	Occupation	X		50.00	50.00
11/08/11	TIMOTHY ISLEY 10116 RAINIER AVE S SEATTLE, WA 98178	Occupation	X		100.00	100.00
11/08/11	KAREN FRASER 7806 COOPER POINT RD NW OLYMPIA, WA 98502	Occupation	X		100.00	100.00
11/08/11	BILL STAUFFACHER 7009 53RD ST W UNIVERSITY PLACE, WA 98467	RETIRED / Occupation RETIRED	X		250.00	250.00
11/08/11	LINDA W MASON 607 DOWEL DR NW OLYMPIA, WA 98502	SELF OLYMPIA, WA Occupation LOBBYIST	X		200.00	200.00

Page Total 1,600.00

PDC Exhibit # 6
Page 6 of 8

RECEIPTS CONTINUATION SHEET (Attachment to C-3 Form)

Page 7

Candidate or Committee Name (Do not abbreviate. Use full name.) BRAD S OWEN (CITIZENS TO RE-ELECT BRAD OWEN LIEUTENANT GOVERNOR)		Deposit Date 11/08/11
---	--	--------------------------

2. CONTRIBUTIONS OVER \$25.00

Date Received	Contributor's Name, Address, City, State, Zip	Contributions of more than \$100:* Employer's Name, City and State	P R I	G E N	Amount	Aggregate Total*
11/08/11	HARMON CONSULTING INC. 1732 CAMDEN PL SW OLYMPIA, WA 98512	Occupation	X		500.00	500.00
11/08/11	JO A ENGLAND 5545 EVERGREEN BLVD #6605 VANCOUVER, WA 98661	Occupation	X		50.00	50.00
11/08/11	GEORGE TEENY, JR/REVOCABLE 11301 NE 7TH STREET APT PP9 VANCOUVER, WA 98684	Occupation	X		50.00	300.00
11/08/11	SCOTT MILLS PO BOX 468 MC KENNA, WA 98558	SCOTT MILLS KELLAR WILLIAMS MC KENNA, WA Occupation REAL ESTATE BROKER	X		120.00	170.00
11/08/11	BENJAMIN K LEE 3455 W BLAINE ST SEATTLE, WA 98199	Occupation	X		100.00	100.00
11/08/11	WARREN CHIN 3516 S MERCER ST SEATTLE, WA 98118	EMERALD CASINO TACOMA, WA Occupation MANAGER	X		160.00	160.00
11/08/11	RALPH IBURRU 826 ALGONA GL NO ALGONA, WA 98001	Occupation	X		50.00	50.00
11/08/11	JERALD E FARLEY S 96TH STREET SEATTLE, WA 98005	CONTINENTAL WINES SEATTLE, WA Occupation PRESIDENT	X		310.00	310.00
11/08/11	GENE C SHARRATT 7601 LAMBERT RD CLE ELUM, WA 98922	RETIRED , Occupation RETIRED	X		150.00	150.00
11/08/11	MARG R ONEIL SOUTH 14TH STREET TACOMA, WA 98410	SELF TACOMA, WA Occupation RETIRED STORE OWNER	X		1,000.00	1,500.00
11/08/11	SCOTT MILLS PO BOX 468 MC KENNA, WA 98558	SCOTT MILLS KELLAR WILLIAMS MC KENNA, WA Occupation REAL ESTATE BROKER	X		50.00	170.00

Page Total 2,540.00

PDC Exhibit # 6
Page 7 of 8

RECEIPTS CONTINUATION SHEET (Attachment to C-3 Form)

Candidate or Committee Name (Do not abbreviate. Use full name.)
 BRAD S OWEN (CITIZENS TO RE-ELECT BRAD OWEN LIEUTENANT GOVERNOR)

Deposit Date
 11/08/11

2. CONTRIBUTIONS OVER \$25.00						
Date Received	Contributor's Name, Address, City, State, Zip	Contributions of more than \$100:* Employer's Name, City and State	P R I	G E N	Amount	Aggregate Total*
11/08/11	WILLIAM STRUDEKE 400 MAIN ST MAPLE VALLEY, WA 98440	Occupation	X		100.00	100.00
11/08/11	MICHAEL MORIN 186TH PLACE SE BOTHELL, WA 98050	Occupation	X		200.00	200.00
11/08/11	MARG R ONEIL SOUTH 14TH STREET TACOMA, WA 98410	SELF TACOMA, WA Occupation RETIRED STORE OWNER	X		500.00	1,500.00
11/08/11	KENTON BRINE WATER STREET SW TUMWATER, WA 98506	Occupation	X		100.00	100.00
11/08/11	DOLORES CHIECHI 820 HAYES ST SW TUMWATER, WA 98512	Occupation	X		50.00	50.00
11/08/11	CLIFTON FINCH SOUTH STREET SE TUMWATER, WA 98505	RETIRED , Occupation RETIRED	X		50.00	200.00
11/08/11	NATHAN GORDON 3RD AVENUE CT E TACOMA, WA 98402	Occupation	X		50.00	50.00
11/08/11	DONALD WILSON 27TH AVENUE NE SEATTLE, WA 98007	RETIRED , Occupation RETIRED	X		150.00	150.00
11/08/11	JENNY KUNG /A & T TELEVISION 14TH AVENUE SOUTH SEATTLE, WA 98005	Occupation	X		1,500.00	1,500.00
11/08/11	CLIFTON FINCH SOUTH STREET SE TUMWATER, WA 98505	RETIRED , Occupation RETIRED	X		150.00	200.00
		Occupation				

Page Total 2,850.00

RECEIVED

JUN -5 2012

Public Disclosure Commission

Citizens to Re-elect Brad Owen Lt. Governor

P.O. Box 1426 Shelton, WA 98584

June 4, 2012

Washington Public Disclosure Commission
Attention: Phillip Stutzman, Director of Compliance
711 Capitol Way, Room 206
P.O. Box 40908
Olympia, WA 98504-0908

Dear Mr. Stutzman,

The Committee is in receipt of your letter(s) regarding complaint #12-167 and offers the following response:

A. Citizens to Re-Elect Brad Owen Lt. Governor

1. November/December 2011 receipts not disclosed

Contributors and their contributions are disclosed to the PDC via the filing of C3 reports. The Committee timely filed all seven C3 reports for November 2011. There were no contributions received in December of 2011, therefore no C3 disclosures were filed.

2. Missing reports

The Committee contacted the PDC about the problems with filing the C3 for the 9/21/11-\$975 deposit as well as the November and December C4's. The Committee's software reflected sending the file at the time, but no confirmation was received. The Committee did, however, re-submit these reports to ensure the PDC received complete disclosure information.

3. January 2012 C4 discrepant carry forward balances

The C4 for January reflects the correct carry forward balances from the prior month's C4. There is no discrepancy in the receipts or expenditures.

JUN -5 2012

Public Disclosure Commission

4. October 2011 C4 multiple amendments and unknown increase in expenditure carry forward total

The two C4 reports dated 11/21/11 were submitted in error and should be deleted from the system since no information was added, deleted, or changed. The only amendment filed was the C4 submitted on 12/10/11 to include two expenditures that had not been included on the original C4. The Committee also filed an amended September 2011 C4 to correct the expenditure carry forward total.

5. C4 reports filed late

Several of these reports were filed timely, but the Treasurer received no confirmation. Upon contacting the PDC and explaining the problem, the Treasurer received the missing confirmations. Please note that the Committee and I take our reporting obligations very seriously and have taken steps to remedy this issue, including, but not limited to, hiring a professional compliance firm.

B. Lt. Governor Brad Owen Surplus Account

In 2003 the surplus bank account was closed and information was received from the PDC that disclosure reports were no longer required. In December of 2008, the remaining surplus funds from the 2008 campaign account of Citizens For Lieutenant Governor Brad Owen were transferred from the campaign and used to open a new surplus bank account. Based on the information received in 2003, no disclosure reports were filed for the activity. However, after discussion with the PDC, the Committee has been asked to provide disclosure reports for the activity. The information was filed to the PDC in conjunction with this response.

I hope that this information is sufficient for your review. However, should you desire any additional information please contact me.

Sincerely,



Brad Owen
Lieutenant Governor

Kurt Young

From: Lora Haggard [lora@hppolitics.com]
Sent: Wednesday, July 18, 2012 8:03 AM
To: Phil Stutzman; Kurt Young
Cc: brad owen
Subject: Lt. Governor Owen Supplemental Response
Attachments: Response Supplemental - 071712.pdf

Dear Mr. Phil Stutzman and Mr. Kurt Young,

Per your instructions, I have attached the supplemental information you have requested.

Kurt, we touched on the change in compliance procedures for the campaign - but I was unsure if it was to be included in the response since we had addressed it in the original submission. However, to cover all bases - and hopefully not be redundant, here is a small outline of our process.

Compliance communicates daily/weekly with the campaign to ensure receipt of all transaction information, receipts (monetary and in-kind), expenditures, obligations, etc.

The information is checked to ensure compliance with PDC rules - limits, permissibility, etc.

The data is loaded into Quick Books and reconciled to the bank on a daily/weekly basis depending on volume.

The data is loaded into ORCA and reconciled back to Quick Books, verifying correct receipt, expenditure, and Cash on Hand totals.

The reports are reviewed and any missing information - address, employer, occupation, etc is obtained and added.

Draft reports are distributed to compliance, candidate and staff for review prior to filing.

If you have any questions, please let me know.

Take care,

Lora

Lora Haggard, Principal
H+P Political Compliance, LLC

Citizens to Re-elect Brad Owen Lt. Governor

P.O. Box 1426 Shelton, WA 98584

July 15, 2012

Washington Public Disclosure Commission
Attention: Philip Stutzman, Director of Compliance
711 Capitol Way, Room 206
P.O. Box 40908
Olympia, WA 98504-0908

Dear Mr. Stutzman,

In response to your request for additional information, the Committee offers the following response:

Late filed reports: C3 - 11/08/11, C4 - Nov 2011, C4- Dec 2011

The Treasurer, Laurel Willis, stated that she filed the reports timely using the ORCA software. She did add that the files did not reflect the normal color code that usually appends to the filed reports. However, the PDC was having software problems at the time, so the incorrect color code that appeared upon filing did not alarm her since she received confirmation numbers.

When the reports failed to appear on the PDC website, the Treasurer was contacted by the Jennifer at the PDC. The Treasurer gave the above explanation, but was told that neither the numbers nor reports appeared in the PDC's system and was asked to re-submit the reports. There was no indication from the PDC at the time that there would be any fines or penalties associated with the re-submission, but rather the re-filing of reports was to ensure the PDC had the complete reports on the website.

Surplus Account:

The surplus office account was closed shortly after the 2004 election and remained that way until the account was opened in 2008. There are no records available for this account prior to 2008.

I hope that this information is sufficient for your review. However, should you desire any additional information please contact me.

Sincerely,



Brad Owen
Lieutenant Governor

(Latom4035@netscape.com) - Sat, 01/21/06 14:08:50 -0500

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From: "Lori Anderson" <landerson@pdc.wa.gov>
To: <Latom4035@netscape.com>
Subject: RE: Question again!
Date: Fri 01/20/06 02:15 PM

Laurel -

Are we talking about the legislative international account? I don't know what, if any, accountability there is for that account. Why don't you check with the State Auditor?

You poor thing ... only 68 degrees! I assume that you're hearing about the 20+ days of nothing but rain in Washington.

Lori

-----Original Message-----

From: Latom4035@netscape.com [Latom4035@netscape.com]
Sent: Friday, January 20, 2006 9:54 AM
To: PDC
Subject: Re: Question again!

Lori, I gave Brad your message that there was no further accounting for the funds donated from his campaign to the Lt. Gov. Office Fund, as far as PDC was concerned. Did I misunderstand what you said, that there may be other places he must account for those funds? Brad checked and could find no other place to report to but the PDC, so, if PDC doesn't need to keep an accounting then whatever he does with the funds are up to his office to just keep an inter office report. Does this sound right? Sorry we are such worry warts....guess Brad gets that from me. Thanks for your help. Laurel (A little cooler today, only 67 but the sun is shining.)

Netscape. Just the Net You Need.

(Latom4035@netscape.com) - Sat, 01/21/06 14:08:50 -0500

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From: "Lori Anderson" <landerson@pdc.wa.gov>
 To: <Latom4035@netscape.com>
 Cc: "Sally Parker" <sparker@pdc.wa.gov>
 Subject: RE: Brad Owen account
 Date: Mon 01/09/06 12:58 PM

Attachments

Name	Type	Save View
Message	text/plain	Save

Happy new year to you, Laurel.

The surplus money that Brad transfers from his campaign account to the state gets reported as a monetary expenditure and then you're done with the PDC.

Lori Anderson (in gray, rai Washington)

-----Original Message-----

From: Latom4035@netscape.com [Latom4035@netscape.com]
 Sent: Sunday, January 08, 2006 4:40 PM
 To: Lori Anderson
 Subject: Re: Brad Owen account

Lori, Happy New Year! I have a couple of questions from Brad's account. His campaign, Lt. Governor, donated remaining funds to the Lt. Governor office fund which pays for gifts to dignitaries that come to his office and when they visit overseas. (I have always been confused as to why there isn't funds allowed by the State for that.) When I reported that all the funds were distributed and asked you if there was anything further to report and if the Lt. Governors office had to report what they spent and you stated that there was nothing more required. Recently Brad was reading over the, I believe it is called "surplus funds" in the rules and regulations and he felt his office should be reporting the expenditures. I told him I would go back to you and make sure what I had received from you stated there was no further accounting. I'm afraid I may not have been clear enough because I meant the funds after they are in the Lt. Governors office. I couldn't understand how the state would not require an accounting of any donated funds.

I looked for my emails from you but so far I haven't been able to find that one. We bought a new computer and some of the emails may have gone with it. I tried to keep copies of each one.

As soon as I hear from you I will contact Brad with the info. He said he has all the bills, which are few so far, of the expenditures, so if need be we are okay there.

Thanks, Lori. Laurel Willis (in 78 degree weather, sun shining, Arizona....we'll be home in April.)

Netscape. Just the Net You Need.

PDC Exhibit # 9
 Page 2 of 2

Candidates' Surplus Funds – Frequently Asked Questions

What are surplus funds? Any monetary contribution that was received for an election, not spent on the election, still in your possession and control *after* the election is held, and not needed to pay campaign debt from the election.

What can I do with my surplus funds? You have seven options:

1. Refund the contribution(s). Don't return more money to a contributor than you received from that source!;
2. Reimburse yourself for earnings lost as a result of your campaign activities. Lost earnings must be verifiable as unpaid salary, or if you are not salaried, the amount reimbursed should not exceed income received by you for services rendered during an appropriate, corresponding time period;
3. Transfer the surplus without limit to a political party or to a caucus political committee;
4. Make a charitable donation;
5. Give it to the state treasurer for the state's general fund, the oral history, state library, and archives account, or the legislative international trade account;
6. Spend it on nonreimbursed public office-related expenses if you are elected. You must register a surplus funds account with the Public Disclosure Commission and file surplus funds account expenditure reports if you choose this option; and/or
7. You may leave the surplus funds in the campaign account for possible use in a future election campaign for the same office that you were campaigning for when the contributions were received. In order to use the contribution for a campaign for a different office, you'll first have to receive the contributor's written approval.

Can I give my surplus funds to another candidate? No.

Do I have to open a separate bank account for surplus funds? Only if you are spending your surplus funds on nonreimbursed public-office related expenses. A surplus funds bank account is a perpetual account – each time you run for office and are left with surplus funds, they may be deposited into the surplus funds bank account regardless of which office you sought. (e.g., Surplus funds from a city council campaign can be deposited into an account that contains surplus funds from a state legislative campaign.) Only surplus funds can be deposited into the surplus funds bank account!

Do I have to report how I spend my surplus funds? Yes.

If you do not open a surplus funds bank account because you are not going to make nonreimbursed office-office related expenditures, continue to file monthly campaign reports after the election showing your

expenditures. At the end of each month, if you have not spent \$200 since the last C-4 report was filed, you may wait until you do and then file a report for multiple months.

If you plan to make nonreimbursed office-related expenditures, open a surplus funds bank account and file a Candidate Registration (PDC Form C-1) for the account. File monthly surplus funds account C-4 reports showing your expenditures. At the end of each month, if you have not spent \$200 since the last surplus funds account C-4 report was filed, you may wait until you do and then file a report for multiple months.

Reporting Schedule for Surplus Funds Accounts		
Rpting period start date	Rpting period end date	Report due
Jan 1	Jan 31	Feb 10
Feb 1	Feb 28 (or Feb 29)	Mar 10
Mar 1	Mar 31	Apr 10
Apr 1	Apr 30	May 10
May 1	May 31	Jun 10
Jun 1	Jun 30	Jul 10
Jul 1	Jul 31	Aug 10
Aug 1	Aug 30	Sep 10
Sep 1	Sep 30	Oct 10
Oct 1	Oct 31	Nov 10
Nov 1	Nov 30	Dec 10
Dec 1	Dec 31	Jan 10

If I open a surplus funds bank account, when can I close the account? The account can be closed when the balance is \$0.

What do I do if I want to keep the surplus funds to use in my next campaign? File a final C-4 report for the current campaign and show the balance of your surplus funds on Line 20. When you become a candidate in the future and file your initial C-4 report, report the balance of the surplus funds again on Line 1. Any bank charges paid or interest earned during the period between the campaigns will be reported on the initial reports of the future campaign.

Can I invest my surplus funds? Yes. Campaign funds or surplus funds may be invested in bonds, certificates, tax-exempt securities, savings accounts or other similar instruments in financial institutions or in mutual funds. Financial institutions other than banks, savings and loan associations, and credit unions may NOT be used as the campaign depository into which contributions are deposited and out of which campaign expenditures are made. However, brokerage houses and other financial institutions may be used for investing campaign funds so long as the investment by the brokerage house or other institution is in the form of bonds, certificates, tax-exempt securities, and mutual funds.

If you invest campaign funds, take the following steps:

- Notify PDC by letter of the date, amount and the name of the financial institution where the surplus is invested. Do not report the investment as an expenditure on Schedule A or on the C-4 report.
- Deposit all interest, dividends or income earned by the investment into the investment account. Report this income as miscellaneous receipts on line 1d of the C-3 report.
- When the investment is terminated, re-deposit the principal plus the accrued earnings into the original campaign account. Notify PDC by letter that this re-deposit has been made. Do not report this transfer as a contribution on the C-3.
- Check with the IRS or your personal tax consultant about any tax liability that the investment may create.



STATE OF WASHINGTON

PUBLIC DISCLOSURE COMMISSION

711 Capitol Way Rm 403, PO Box 40908 • Olympia, Washington 98504-0908 • (360) 753-1111 • FAX (360) 753-1112

April 5, 1999

The Honorable Brad Owen
Lieutenant Governor
State of Washington
P.O. Box 40482
Olympia, WA 98504-0482

Dear Lieutenant Governor Owen:

You have asked for clarification of what constitutes a "public office fund" as referenced in RCW 42.17.710.

RCW 42.17.710, known as the session freeze period, prohibits a state official from soliciting or accepting contributions to a public office fund, to a candidate, or to retire a campaign debt during a regular legislative session, thirty days before and after session, and during any special session.

The Public Disclosure Commission had the same questions you have raised, and in 1996 sought an Attorney General opinion for clarification. I am enclosing a copy of the opinion, but I can briefly summarize the conclusions of the Attorney General's office as follows:

- RCW 42.17.095, as amended in 1995, authorizes a public official to establish an account with surplus campaign funds from which nonreimbursed public office related expenses may be paid, but did not eliminate the ability of public officials to establish public office funds or solicit donations for them.
- There are no reporting requirements for the receipt of donations to a public office fund.

The only limitation under RCW Chapter 42.17 on soliciting donations to a public office fund appears to be the freeze period of section .710. (PDC staff has advised state officials that they may not, during the freeze period, solicit funds to pay for office related expenses, regardless of whether a public office fund has been formally established.) You may wish to contact the Executive Ethics Board

"The public's right to know of the financing of political campaigns and lobbying and the financial affairs of elected officials and candidates far outweighs any right that these matters remain secret and private."

RCW 42.17.010 (10)



PDC Exhibit # 11
Page 1 of 3

for guidance on any restrictions under the ethics law against receiving or soliciting donations to a public office fund.

As noted above, RCW 42.17.095 does provide for the establishment of a surplus funds account, into which surplus campaign funds may be deposited. The moneys in a surplus funds account may be used for several, specified purposes, including nonreimbursed public office related expenses. I am enclosing a copy of section .095, and instructions from the State Executive and Legislative Candidates manual which explain in more detail how to establish a surplus funds account and the purposes for which it may be used. Please note that only surplus campaign funds may be deposited into this account, and that officials may not use active campaign moneys for office related expenses nor accept donations to the surplus funds account.

I hope the foregoing answers your concerns. Please do not hesitate to contact me if I may be of further assistance.

Sincerely,



Melissa Warheit
Executive Director



Brad Owen
Lieutenant Governor



RECEIVED

MAR 30 1999

PUBLIC DISCLOSURE COMMISSION

March 29, 1999

Susan Harris
Public Disclosure Commission
PO Box 40908
Olympia, WA 98504-0908

Dear Ms. Harris:

I am writing to get a clarification of "a public office fund" as identified in RCW 42.17.710. It has been my understanding that in the past we were able to solicit donations to this fund for the purpose of defraying expenses not otherwise covered by our budgets or authorized through statute. Recently per a verbal inquiry to our office attorney general we were told there was no such fund.

Please respond to the following questions:

1. What is the aforementioned "public office fund?"
2. Can we solicit funds for it and to what purpose?
3. If we can solicit funds for it, what are the limitations?
4. If this fund does not exist except in language and not in reality then is there a method to raise funds for expenses of the office not payable with public funds?

Sincerely,

BRAD OWEN
Lieutenant Governor
State of Washington

cc: Jeff Even, Attorney General's Office

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President of the Senate
Chairman, Rules Committee

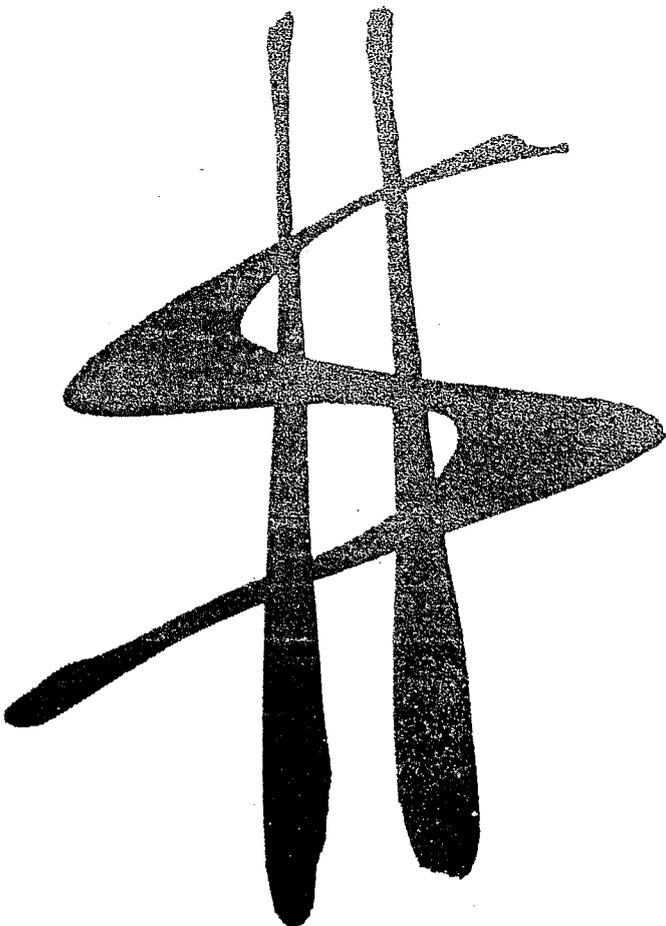
304 Legislative Building
PO Box 40482
Olympia, WA 98504-0482

Phone: (360) 786-7700
FAX: (360) 786-7520
e-mail: owen_br@leg.wa.gov



Washington State
Public Disclosure
Commission

State Executive And Legislative Candidates



2000 Campaign
Disclosure Instructions

"The public's right to know of the financing of political campaigns and lobbying and the financial affairs of elected officials and candidates far outweighs any right that these matters remain secret and private."

RCW 42.17.010 (10)

exempt securities, and mutual funds.

If you invest campaign funds, take the following steps:

- Notify PDC by letter of the date, amount and the name of the financial institution where the surplus is invested. Do not report the investment as an expenditure on Schedule A or on the C-4 report.
- Deposit all interest, dividends or income earned by the investment into the investment account. Report this income as miscellaneous receipts on line 1d of the C-3 report.
- When the investment is terminated, re-deposit the principal plus the accrued earnings into the original campaign account. Notify PDC by letter that this re-deposit has been made. Do not report this transfer as a contribution on the C-3.
- Check with the IRS or your personal tax consultant about any tax liability that the investment may create.

Surplus Funds Accounts

Who Needs to Set Up a Surplus Funds Account.

Any elected official who wants to spend surplus campaign funds for non-reimbursed public office related expenses must set up a Surplus Funds Account in order to make these types of expenditures.

The other six legally permissible options for disposing of surplus campaign funds found in RCW 42.17.095 and listed below in "Using the Account" may be engaged in either with a Surplus Funds Account or directly out of the old campaign account. However, the dangers of not setting up a separate Surplus Funds Account and simply disposing of surplus funds out of the now inactive campaign account are:

- 1) the funds can't be used for non-reimbursed public office related expenses; and
- 2) once new campaign monies are co-mingled with any existing surplus balance, or the surplus is carried forward to a new campaign, or expenditures triggering candidacy for a new campaign are made out of the account, none of the funds in the account is considered surplus and the money now may only be used for expenditures that are directly related to the new campaign.

Opening the Account. Take all or a portion of truly surplus funds and open a separate Surplus Funds Account (or transfer latest surplus into an already existing Surplus Funds Account).

"Surplus funds" are the balance of contributions given for a specific election that remain after the election and are not needed to pay off that election's campaign debts. Funds remaining after an election are NO LONGER SURPLUS FUNDS if they have been carried forward to a new campaign, mingled with new contributions for a future election, or used to make expenditures for a future election campaign.

Using the Account. According to RCW 42.17.095(7), you may only use monies in a Surplus Funds Account for one or more of the following purposes.

- Non-reimbursed public office related expenses.*
- Refunds to contributors. Refunds of contributions made by the candidate to his or her own campaign are subject to restriction. See page 8.
- Payments to the candidate for verifiable earnings lost because of campaigning.
- Unlimited contributions to a bona fide political party or caucus political committee.
- Donations to a charity registered with the Secretary of State in accordance with RCW 19.09.
- Deposits into the state's general fund.
- Transfers to your campaign account for use in a future election (if a different office is sought than the one for which the surplus funds were initially raised, written permission will have to be obtained from the contributors of the remaining surplus before the surplus may be used to seek the different office).

*A "non-reimbursed public office-related expense" is an expenditure incurred by an elected or appointed official, or a member of his or her immediate family, solely because of being an official. [WAC 390-24-032]

Reporting a Surplus Funds Account. When the account is established by opening a separate bank account:

- file a C-1 giving the Candidate's Name plus the words "Surplus Funds Account;"

- transfer all or a portion of the eligible surplus funds into the new or existing Surplus Funds Account (which should be a checking account -- rather than a savings account-- if there will be more than infrequent expenditures out of it); and
- file C-4 reports along with Schedule A's showing any transfers into the account at the top of the Schedule A and also itemizing all expenditures out of the account on the form. File a C-4 for the Surplus Funds Account monthly by the 10th of the month, covering the preceding calendar month, for any month in which you transferred in or spent over \$200. If over \$200 in activity does not occur in a month, the next report is triggered when over \$200 has been transferred into the account or spent out of it since the last report was filed. Then, file the C-4 by the 10th of the following month.

- File future C-3 and C-4 reports for the new campaign according to the reporting schedule for that campaign.

Note: ONLY SURPLUS FUNDS FROM A CAMPAIGN MAY BE DEPOSITED INTO A SURPLUS FUNDS ACCOUNT. RCW 42.17.095(7).

Start-up of New Campaign

A candidate's committee does not "net out" annually, but aggregates contributions and expenditures throughout the existence of the candidacy or campaign. For example, a candidate might begin seeking contributions and making expenditures two or three years before an election. The aggregate of all contributions and expenditures is shown on C-4 reports until the election is over.

Here are the steps to follow to start a new campaign:

- File a final C-4 report with the appropriate schedules for the earlier campaign. Include all transactions since the last C-4 was filed. Indicate in the space provided that this is the final report.
- File a C-1 registration statement for the new campaign.
- File a C-4 report for the new campaign. The surplus carried forward from the old campaign is reported on line 1 of the C-4 as shown in the example on page 55. If you are carrying forward any debts from your previous campaign, identify them in Part 3 of Schedule B and include the amount on line 19 of the C-4. Appropriate schedules are attached showing new contributions and expenditures.