



STATE OF WASHINGTON
PUBLIC DISCLOSURE COMMISSION

711 Capitol Way Rm. 206, PO Box 40908 • Olympia, Washington 98504-0908 • (360) 753-1111 • FAX (360) 753-1112
Toll Free 1-877-601-2828 • E-mail: pdcc@pdcc.wa.gov • Website: www.pdcca.wa.gov

BEFORE THE PUBLIC DISCLOSURE COMMISSION
OF THE STATE OF WASHINGTON

IN RE COMPLIANCE)
WITH RCW 42.17) PDC Case No: 11-009
)
Central Puget Sound Regional Transit) Report of Investigation
Authority (Sound Transit))
_____)

I.

Background & Findings

- 1.1 The Public Disclosure Commission (PDC) received 69 complaints from Preston Mui of Evergreen Freedom Foundation (EFF) on July 28, 2010, alleging that multiple public agencies may have engaged in reportable lobbying activities using public funds, but failed to file Reports of Lobbying by State and Local Government Agencies (PDC Form L-5) as required by RCW 42.17.190. One of the complaints alleged that the Central Puget Sound Regional Transit Authority (Sound Transit) may have violated RCW 42.17.190 by failing to file L-5 reports disclosing agency lobbying activities undertaken during 2003 through 2009. **See Exhibit #1.**
- 1.2 On August 17, 2010, PDC staff notified Sound Transit that a complaint had been filed by Preston Mui of EFF, and that PDC staff would be investigating the allegations of failure to file L-5 reports disclosing agency lobbying expenses. **See Exhibit #2.** The complaint letter informed Sound Transit that alleged violations of RCW 42.17.190 for agency lobbying activities undertaken during calendar years 2003, 2004 and the first half of 2005 were beyond the PDC's five year statute of limitations for violations of RCW 42.17, and those allegations would not be investigated. Staff requested Sound Transit file one L-5 report each for calendar year 2003 and 2004, and the first half of calendar year 2005.
- 1.3 Sound Transit filed the following L-5 reports: one L-5 report on June 24, 2010, for the first quarter of 2010; 21 L-5 reports on July 9, 2010, disclosing Sound Transit lobbying activities undertaken during calendar years 2003 through 2009, and the first quarter of 2010; and two L-5 reports on October 14, 2010, for the second and third quarters of 2010. **See Exhibit #3.** The L-5 reports filed by Sound Transit included an amended L-5 report for the first quarter of 2010, and four additional L-5 reports were filed on August 5, 2010 for calendar year 2008.

Central Puget Sound Regional Transit Authority (Sound Transit)

Report of Investigation

PDC Case No. 11-009

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- 1.4 Sound Transit filed a separate L-5 report for calendar year 2003, calendar year 2004, and the first two quarters of 2005. Those L-5 reports are included in Exhibit #3. However, Sound Transit's lobbying expenses during that timeframe are not in the Report of Investigation, since those activities are beyond the PDC statute of limitations.
- 1.5 Staff reviewed the late-filed L-5 reports submitted by Sound Transit disclosing reportable lobbying expenses totaling \$682,137 in funds expended for lobbying during calendar years 2006 through 2009, and the first quarter of 2010, as detailed in the chart below. The L-5 reports included \$149,731 in previously un-reported Sound Transit employee time spent lobbying during calendar years 2006 through 2009, and the first quarter of 2010. The L-5 disclosed \$516,000 in payments made to contract lobbyists Martin Flynn Public Affairs and Ron Main during that period, and also included \$16,405 for employee lobbying-related travel costs. The information disclosed on the L-5 reports was filed between 52 and 1,530 days late.

L-5 Reporting Period	Agency Salaries to Lobby	Contract Lobbyist Payments	Total Lobbying Costs, including agency travel.	Days Late
Calendar Year 2006	\$ 20,096	\$ 138,000	\$ 160,113	1,256-1,530
Calendar Year 2007	\$ 42,745	\$ 144,000	\$ 188,178	890-1,165
Calendar Year 2008	\$ 25,246	\$ 144,000	\$ 170,229	526-802
Calendar Year 2009	\$ 36,274	\$ 72,000	\$ 116,549	160-435
First Quarter 2010	\$25,370	\$ 18,000	\$ 47,068	52
Totals	\$ 149,731	\$ 516,000	\$ 682,137	

- 1.6 The \$516,000 in Sound Transit lobbying expenses for payments made to contract lobbyists were timely disclosed by Martin Flynn Public Affairs and Ron Main on Monthly Lobbyist Expense Reports (PDC Form L-2) for calendar years 2006 through 2009, and the first quarter of 2010. The public did have access to that lobbying information through the PDC website by reviewing the L-2 reports filed by Sound Transit's registered contract lobbyists or through searching the PDC lobbying database.
- 1.7 A letter was received from Sound Transit on July 15, 2010, two weeks prior to the complaint being filed, from Desmond L. Brown, General Counsel for Sound Transit. See Exhibit #4. The letter from Sound Transit acknowledged they failed to timely file the L-5 reports disclosing funds paid to Sound Transit employees to lobby. Mr. Brown stated the oversight was identified in late 2009 when Melanie Smith, Sound Transit State Government Relations Specialist, inquired internally of Sound Transit's legal counsel about the filing requirements for the L-5 report. Mr. Brown said Sound Transit accepts full responsibility for failing to timely file the L-5 reports, and apologized to the PDC for the error.

- 1.8 Sound Transit was created in 1996 by approval of voters in King, Pierce and Snohomish counties. Sound Transit is governed by an 18 member board comprised of 17 local elected officials, including county executives, county council members, mayors, city council members, and the Secretary of Washington State Department of Transportation. Sound Transit filed L-5 reports disclosing transit agency lobbying expenses for calendar years 1999 through 2002, but after 2002, no L-5 reports were filed by Sound Transit disclosing agency lobbying activities.
- 1.9 Mr. Brown said Sound Transit's failure to file L-5 reports was not due to "a lack of transparency," and that Sound Transit staff makes public presentations each fall at open public meetings to discuss Sound Transit's legislative priorities for the upcoming legislative session. He said Sound Transit adopts a legislative agenda at those meetings, and that information is made available to the public prior to the legislative session.
- 1.10 Mr. Brown stated that to ensure "*this error does not reoccur, a senior legal counsel in our office has been assigned to work with appropriate staff to ensure that Sound Transit makes the required PDC filings.*"
- 1.11 A letter was received from Robin K. Murphy, Senior Legal Counsel for Sound Transit on August 30, 2010. **See Exhibit #5.** The letter addressed the statements made by the complainants, questioning Sound Transit's general description of the lobbying activities undertaken by its staff and reported on the L-5 reports. Ms. Murphy stated that Sound Transit employees meet with legislators, and discuss Sound Transit's legislative agenda as adopted by Sound Transit's Board. Ms. Murphy included copies of Sound Transit meeting motions made during the agency's monthly meetings, approving the agency's annual State Legislative Program for calendar years 2005 through 2009. She stated the State Legislative Program provided guidance to Sound Transit staff and consultants regarding issues to pursue for the upcoming Legislative session.

Sound Transit Lobbying Activities (January 1, 2006 through March 31, 2010):

- 1.12 The L-5 reports filed by Sound Transit on July 9, 2010, disclosed the agency spent \$149,731 in public funds for agency employee's time spent lobbying, and \$516,000 in Sound Transit payments to contract lobbyists to lobby during calendar years 2006 through 2009, and the first quarter of 2010, as detailed below.

Calendar Year 2006:

- 1.13 Sound Transit filed four L-5 reports on July 9, 2010, for calendar year 2006 disclosing \$160,113 in transit funds spent for lobbying as detailed below. Of this amount, \$20,096 was for Sound Transit employee's time spent lobbying during the calendar year. The L-5 disclosed \$19,524 in Sound Transit employee's time spent lobbying during the first quarter of 2006, and \$572 in employee time spent lobbying during the fourth quarter of 2006. The L-5 also disclosed Sound Transit spent a total of \$2,016 for employee lobbying-related travel costs during the year.

L-5 Reporting Period	L-5 Report Due	Days Late	Agency Salaries to Lobby	Contract Lobbyist Payments	Total Lobbying Costs
1st Quarter 2006	4/30/2006	1,530	\$ 19,524	\$ 36,000	\$ 56,533
2nd Quarter 2006	7/31/2006	1,438	N/A	\$ 36,000	\$ 36,000
3rd Quarter 2006	10/31/2006	1,347	N/A	\$ 36,000	\$ 36,000
4th Quarter 2006	1/31/2007	1,256	\$ 572	\$ 30,000	\$ 31,580
2006 Totals			\$ 20,096	\$ 138,000	\$ 160,113

- First quarter of 2006: The L-5 report was filed 1,530 days late and disclosed Sound Transit lobbying expenses totaling \$56,533, which included \$19,524 for three Sound Transit employee's time spent lobbying. The L-5 report disclosed Melanie Smith spent 100 percent of her time lobbying; Steve Sheehy, Legal Counsel spent one percent of his time lobbying, Joni Earl: Chief Executive Officer spent .5 percent of her time lobbying. The L-5 report disclosed \$36,000 in payments made to contract lobbyists Martin Flynn Public Affairs and Ron Main, and \$1,008 in employee lobbying-related travel costs. The L-5 report was due to be filed by April 30, 2006.
- Second quarter of 2006: The L-5 report was filed 1,438 days late and disclosed Sound Transit lobbying expenses totaling \$36,000 for payments made to contract lobbyists Martin Flynn Public Affairs and Ron Main. The L-5 report disclosed no Sound Transit employee's spent time lobbying during the second quarter of 2006. The L-5 report was due to be filed by July 31, 2006.
- Third quarter of 2006: The L-5 report was filed 1,347 days late and disclosed Sound Transit lobbying expenses totaling \$36,000 for payments made to contract lobbyists Martin Flynn Public Affairs and Ron Main. The L-5 report disclosed no Sound Transit employee's spent time lobbying during the third quarter of 2006. The L-5 report was due to be filed by October 31, 2006.
- Fourth quarter of 2006: The L-5 report was filed 1,256 days late and disclosed Sound Transit lobbying expenses totaling \$31,580, which included \$572 for three percent of Ms. Smith's time spent lobbying. The L-5 report also disclosed \$30,000 for payments made to Martin Flynn Public Affairs and Ron Main to lobby, and \$1,008 for Sound Transit employee lobbying-related travel costs. The L-5 report was due to be filed by January 31, 2007.

Calendar Year 2007:

- 1.14 Sound Transit filed four L-5 reports on July 9, 2010, for calendar year 2007 disclosing that a total of \$188,178 in Sound Transit funds had been spent lobbying during the year, as detailed below.

1.15 Of this amount, \$42,745 represented Sound Transit employee's time spent lobbying during 2007, which included \$21,751 in agency employee time spent lobbying during the first quarter and \$20,994 in agency employee salaries for the second quarter. Sound Transit also spent \$1,434 for employee lobbying-related travel costs during 2007.

L-5 Report Period	L-5 Report Due	L-5 Report Filed	Days Late	Agency Salaries to Lobby	Contract Lobbyist Payments	Total Lobbying Costs
1st Quarter 2007	4/30/2007	7/12/2010	1,165	\$ 21,751	\$ 36,000	\$ 58,707
2nd Quarter 2007	7/31/2007	7/12/2010	1,074	\$ 20,994	\$ 36,000	\$ 57,471
3rd Quarter 2007	10/31/2007	7/12/2010	982	N/A	\$ 36,000	\$ 36,000
4th Quarter 2007	1/31/2008	7/12/2010	890	N/A	\$ 36,000	\$ 36,000
2007 Totals				\$ 42,745	\$ 144,000	\$ 188,178

- First quarter of 2007: The L-5 report was filed 1,165 days late and disclosed Sound Transit lobbying expenses totaling \$58,707, which included \$21,751 for three Sound Transit employee's time spent lobbying. The L-5 disclosed Ms. Smith spent 100 percent of her time lobbying; Mr. Sheehy spent two percent of his time lobbying; and Ms. Earl spent one percent of her time lobbying. The L-5 report disclosed Sound Transit made \$36,000 in payments to contract lobbyists Martin Flynn Public Affairs and Ron Main, and that \$956 was for employee lobbying-related travel costs. The L-5 report was due to be filed by April 30, 2007.
- Second quarter of 2007: The L-5 report was filed 1,074 days late and disclosed Sound Transit lobbying expenses totaling \$57,471 which included \$20,994 for two Sound Transit employee's time spent lobbying. The L-5 disclosed Ms. Smith spent 100 percent of her time lobbying; and Mr. Sheehy spent one percent of his time lobbying. The L-5 report disclosed Sound Transit made payments totaling \$36,000 to contract lobbyists Martin Flynn Public Affairs and Ron Main, and \$478 was for employee lobbying-related travel costs. The L-5 report was due to be filed by July 31, 2007.
- Third quarter of 2007: The L-5 report was filed 982 days late and disclosed Sound Transit lobbying expenses totaling \$36,000 for payments made Martin Flynn Public Affairs and Ron Main to lobby. The L-5 report disclosed no Sound Transit employee's spent time lobbying during the third quarter. The L-5 report was due to be filed by October 31, 2007.
- Fourth quarter of 2007: The L-5 report was filed 890 days late and disclosed Sound Transit lobbying expenses totaling \$36,000 for payments made to Martin Flynn Public Affairs and Ron Main to lobby.

The L-5 report disclosed no Sound Transit employee's spent time lobbying during the fourth quarter. The L-5 report was due to be filed by January 31, 2008.

Calendar Year 2008:

1.16 Sound Transit filed four L-5 reports on July 9, 2010, for calendar year 2008 disclosing \$170,229 in agency funds had been spent lobbying, as detailed below. Of this amount, \$25,246 represented Sound Transit employee's time spent lobbying during the first quarter when the legislature was in session. The L-5 reports also disclosed Sound Transit spent \$144,000 in payments made to contract lobbyists, and \$983 for employee lobbying-related travel costs.

L-5 Reporting Period	L-5 Report Due	L-5 Report Filed	Days Late	Agency Salaries to Lobby	Contract Lobbyist Payments	Total Lobbying Costs
1st Quarter 2008	4/30/2008	7/9/2010	802	\$ 25,246	\$ 36,000	\$ 62,229
2nd Quarter 2008	7/31/2008	7/9/2010	710	N/A	\$ 36,000	\$ 36,000
3rd Quarter 2008	10/31/2008	7/9/2010	618	N/A	\$ 36,000	\$ 36,000
4th Quarter 2008	1/31/2009	7/9/2010	526	N/A	\$ 36,000	\$ 36,000
2008 Totals				\$ 25,246	\$ 144,000	\$ 170,229

- First quarter of 2008: The L-5 report was filed 802 days late and disclosed Sound Transit lobbying expenses totaling \$62,229, which included \$25,246 for four Sound Transit employees time spent lobbying. The L-5 report disclosed Ms. Smith spent 100 percent of her time lobbying; Mr. Sheehy spent two percent of his time lobbying; Desmond Brown, General Counsel spent 2.5 percent of his time lobbying; and Ms. Earl spent one percent of her time lobbying. The L-5 disclosed Sound Transit \$36,000 in payments made to Martin Flynn Public Affairs and Ron Main to lobby, and \$983 for employee lobbying-related travel costs. The L-5 report was due to be filed by April 30, 2008.
- Second quarter of 2008: The L-5 report was filed 710 days late and disclosed Sound Transit lobbying expenses totaling \$36,000 for payments made to Martin Flynn Public Affairs and Ron Main to lobby. The L-5 report disclosed no Sound Transit employee's spent time lobbying during the second quarter. The L-5 report was due to be filed by July 31, 2008.
- Third quarter of 2008: The L-5 report was filed 618 days late and disclosed Sound Transit lobbying expenses totaling \$36,000 for payments made to Martin Flynn Public Affairs and Ron Main to lobby. The L-5 report disclosed no Sound Transit employee's spent time lobbying during the third quarter. The L-5 report was due to be filed by October 31, 2008.

- Fourth quarter of 2008: The L-5 report was filed 526 days late and disclosed Sound Transit lobbying expenses totaling \$36,000 for payments made to Martin Flynn Public Affairs and Ron Main to lobby. The L-5 report disclosed no Sound Transit employee's spent time lobbying during the fourth quarter. The L-5 report was due to be filed by January 31, 2009.

Calendar Year 2009:

1.17 Sound Transit filed four L-5 reports on July 9, 2010, for calendar year 2009 disclosing that a total of \$116,549 in Sound Transit funds was spent lobbying, as detailed below. Of this amount, \$36,274 represented Sound Transit employee's time spent lobbying for 2009. The L-5 reports disclosed \$25,951 in Sound Transit employee's time spent lobbying during the first quarter, \$9,094 in Sound Transit employee's time for the second quarter, and \$1,229 in Sound Transit employee's time for the fourth quarter of 2009. The L-5 reports disclosed Sound Transit spent \$72,000 on payments made to contract lobbyists, and \$8,274 for employee lobbying-related travel costs.

L-5 Reporting Period	L-5 Report Due	L-5 Report Filed	Days Late	Agency Salaries to Lobby	Contract Lobbyist Payments	Total Lobbying Costs
1st Quarter 2009	4/30/2009	7/9/2010	435	\$ 25,951	\$ 18,000	\$ 48,775
2nd Quarter 2009	7/31/2009	7/9/2010	343	\$ 9,094	\$ 18,000	\$ 29,927
3rd Quarter 2009	10/31/2009	7/9/2010	252	N/A	\$ 18,000	\$ 18,000
4th Quarter 2009	1/31/2010	7/9/2010	160	\$ 1,229	\$ 18,000	\$ 19,846
2009 Totals				\$ 36,274	\$ 72,000	\$ 116,549

- First quarter of 2009: The L-5 report was filed 435 days late and disclosed Sound Transit lobbying expenses totaling \$48,775, which included \$25,951 for four Sound Transit employee's time spent lobbying. The L-5 report disclosed Ms. Smith spent 100 percent of her time lobbying during the legislative session; Mr. Sheehy spent one percent of his time lobbying; Ms. Earl spent two percent of her time lobbying; and Jennifer Belk, Legal Counsel spent .5 percent of her time lobbying. The L-5 report disclosed Sound Transit made payments totaling \$18,000 to Martin Flynn Public Affairs to lobby, and \$4,824 in expenditures for employee's lobbying-related travel costs. The L-5 report was due to be filed by April 30, 2009.
- Second quarter of 2009: The L-5 report was filed 343 days late and disclosed Sound Transit lobbying expenses totaling \$29,927, which included \$9,094 for one Sound Transit employee's time spent lobbying. The L-5 report disclosed Ms. Smith spent 37% of her time lobbying Sound Transit's legislative agenda. The L-5 disclosed Sound Transit made \$18,000 in payments to Martin Flynn Public Affairs to lobby, and \$2,833 for employee lobbying-related travel costs,. The L-5 report was due to be filed by July 31, 2009.

- Third quarter of 2009: The L-5 report was filed 252 days late and disclosed Sound Transit lobbying expenses totaling \$18,000 for payments made to Martin Flynn Public Affairs to lobby. The L-5 report disclosed no Sound Transit employee's spent time lobbying during the third quarter of 2009. The L-5 report was due to be filed by October 31, 2010.
- Fourth quarter of 2009: The L-5 report was filed 160 days late and disclosed Sound Transit lobbying expenses totaling \$19,846, which included \$1,229 for one Sound Transit employee's time spent lobbying. The L-5 report disclosed Ms. Smith spent five percent of her time lobbying Sound Transit legislative agenda. The L-5 report disclosed Sound Transit made \$18,000 in payments to Martin Flynn Public Affairs to lobby, and \$617 in expenditures for employee lobbying-related travel costs. The L-5 report was due to be filed by January 31, 2010.

First Quarter Calendar Year 2010:

- 1.18 Sound Transit filed an L-5 report for the first quarter of 2010 on June 21, 2010, 52 days late, disclosing that a total of \$47,068 was spent lobbying. (Note – the L-5 report was amended by Sound Transit on July 9, 2010, disclosing an additional \$792 in employee time spent lobbying during the first quarter.)
- 1.19 Of this amount, \$25,370 represented Sound Transit employee's time spent lobbying Sound Transit's legislative agenda for the first quarter of 2010. The L-5 report disclosed Ms. Smith spent 100 percent of her time lobbying; Mr. Sheehy spent one percent of his time lobbying; Mr. Brown spent .5 percent of his time lobbying; and Ms. Earl spent .5 percent of her time lobbying. The L-5 disclosed Sound Transit made \$18,000 in payments to Martin Flynn Public Affairs to lobby, and \$3,698 in expenditures for employee lobbying-related travel costs. The L-5 report was due to be filed by April 30, 2010.

Lobbyist Registration (PDC Form L-1): Calendar Years 2005 through 2010:

- 1.20 Sound Transit entered into contractual agreements with one contract lobbying firm, Martin Flynn Public Affairs, and one contract lobbyist, Ronald Main, for calendar years 2005 through 2010. The L-1 reports disclosed contract lobbying agreements and listed Sound Transit as a Lobbyist Employer and are detailed below.

Martin Flynn Public Affairs:

- 1.21 Martin Flynn Public Affairs filed the following lobbying reports disclosing information about being a contract lobbying firm for Sound Transit:
- On December 15, 2005, Martin Flynn Public Affairs, a contract lobbying firm, filed a Lobbyist Registration (PDC Form L-1) disclosing Sound Transit had retained the firm as a contract lobbyist to lobby during 2005 and all of calendar year 2006. The L-1 disclosed that Martin Flynn Public Affairs would be paid \$6,000 per month by to lobby.

- The L-1 disclosed that two members of Martin Flynn Public Affairs (Martin Flynn and Erin Walker) would be lobbying on behalf of Sound Transit. The L-1 was signed by Melanie Newman, State Government Relations Specialist for Sound Transit.
- Martin Flynn Public Affairs timely filed Monthly Lobbyist Expense reports (PDC Form L-2) disclosing payments the firm received from Sound Transit as a contract lobbying firm during 2005 and 2006.
- On January 4, 2007, Martin Flynn Public Affairs filed an L-1 disclosing Sound Transit had retained the firm as a contract lobbyist to lobby during calendar years 2007 and 2008, and that the firm would be paid \$6,000 per month to lobby. The L-1 disclosed that three members of Martin Flynn Public Affairs (Martin Flynn, Erin Walker and Kristen York) would be lobbying on behalf of Sound Transit. The L-1 was signed by Melanie Smith, State Government Relations Specialist for Sound Transit.
- Martin Flynn Public Affairs timely filed monthly L-2 reports disclosing payments the firm received from Sound Transit to lobby during 2007 and 2008.
- On January 6, 2009, Martin Flynn Public Affairs filed an L-1 disclosing Sound Transit had retained the firm as a contract lobbyist to lobby during calendar years 2009 and 2010, and that the firm would be paid \$6,000 per month to lobby. The L-1 disclosed three members of Martin Flynn Public Affairs (Martin Flynn, Erin Simmons and Megan Lynch) would be lobbying on behalf of Sound Transit. The L-1 report was signed by Melanie Smith, State Government Relations Specialist for Sound Transit.
- Martin Flynn Public Affairs timely filed monthly L-2 reports disclosing payments the firm received from Sound Transit to lobby during 2009 and 2010.

Ron Main:

1.22 Ron Main filed the following lobbying reports disclosing information about being a contract lobbyist for Sound Transit:

- On January 4, 2005, Ron Main, a contract lobbyist, filed an L-1 report disclosing Sound Transit had retained his services to lobby during calendar years 2005 and 2006, and that he would be paid \$5,000 per month to lobby. The L-1 was signed by Ann McNeil, Government Relations Manager for Sound Transit.
- Ron Main filed Monthly Lobbyist Expense reports (PDC Form L-2) disclosing payments he received from Sound Transit to lobby during 2005 and 2006.
- On January 5, 2007, Ron Main filed an L-1 disclosing Sound Transit had retained his services to lobby during calendar years 2007 and 2008, and that he would be paid \$6,000 per month to lobby.

- The L-1 was signed by Melanie Smith, State Government Relations Specialist for Sound Transit.
 - Ron Main filed monthly L-2 reports disclosing payments he received from Sound Transit to lobby during 2007 and 2008.
- 1.23 Sound Transit filed two L-5 reports on July 12, 2010, for the last two quarters of calendar year 2005, disclosing \$61,557 in public funds had been spent lobbying. Of that amount, \$829 represented Sound Transit employee's time spent lobbying during the fourth last quarter, and the L-5 reports disclosed \$344 in expenses for employee lobbying-related travel costs.
- Third quarter of 2005: The L-5 report disclosed total lobbying expenses of \$30,192 made by Sound Transit for payments made to Martin Flynn Public Affairs and Ron Main to lobby. The L-5 report disclosed no Sound Transit employee's spent time lobbying during the third quarter. The L-5 report was due to be filed by October 31, 2005.
 - Fourth quarter of 2005: The L-5 report disclosed total lobbying expenses of \$31,365 made by Sound Transit which included \$829 in employee salaries for Melanie Smith who spent five percent of her time lobbying Sound Transit's legislative agenda. The L-5 report disclosed \$30,192 in payments made to Martin Flynn Public Affairs and Ron Main to lobby, and \$344 for employee lobbying-related travel-costs. The L-5 report was due to be filed by January 31, 2006.

II. Scope

- 2.1 PDC staff reviewed the complaint filed on July 28, 2010, by Preston Mui of Evergreen Freedom Foundation (EFF), alleging that Sound Transit may have engaged in reportable lobbying activities but failed to file Reports of Lobbying by State and Local Government Agencies (PDC Form L-5) as required by RCW 42.17.190.
- 2.2 PDC staff reviewed the PDC website and Application Extender for L-5 reports filed by the Sound Transit.
- 2.3 PDC staff reviewed the following:
- Response letter submitted by Desmond Brown, General Counsel for Sound Transit on July 15, 2010;
 - The Lobbyist Registrations (PDC Forms L-1) filed for 2005, 2007 and 2009 by Martin Flynn Public Affairs and Ronald Main listing Sound Transit as a Lobbyist Employer;

- The Monthly Lobbyist Expense Reports (PDC Form L-2) submitted by Martin Flynn Public Affairs and Ronald Main for the compensation paid by Sound Transit to lobby during the last two quarters of 2005, calendar years 2006 through 2009, and the first quarter of 2010.
- Additional response submitted by Robin K. Murphy, Senior Legal Counsel for Sound Transit, on August 30, 2010.

III. Relevant Statutes

3.1 **RCW 42.17.190** states in part the following:

(2) Unless authorized by subsection (3) of this section or otherwise expressly authorized by law, no public funds may be used directly or indirectly for lobbying: PROVIDED, This does not prevent officers or employees of an agency from communicating with a member of the legislature on the request of that member; or communicating to the legislature, through the proper official channels, requests for legislative action or appropriations which are deemed necessary for the efficient conduct of the public business or actually made in the proper performance of their official duties: PROVIDED FURTHER, That this subsection does not apply to the legislative branch.

(3) Any agency, not otherwise expressly authorized by law, may expend public funds for lobbying, but such lobbying activity shall be limited to (a) providing information or communicating on matters pertaining to official agency business to any elected official or officer or employee of any agency or (b) advocating the official position or interests of the agency to any elected official or officer or employee of any agency: PROVIDED, That public funds may not be expended as a direct or indirect gift or campaign contribution to any elected official or officer or employee of any agency.

(5) Each state agency, county, city, town, municipal corporation, quasi-municipal corporation, or special purpose district which expends public funds for lobbying shall file with the commission, except as exempted by (d) of this subsection, quarterly statements providing the following information for the quarter just completed:

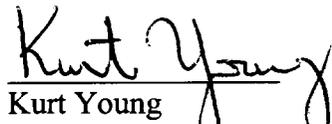
(a) The name of the agency filing the statement;
(b) The name, title, and job description and salary of each elected official, officer, or employee who lobbied, a general description of the nature of the lobbying, and the proportionate amount of time spent on the lobbying;

(c) A listing of expenditures incurred by the agency for lobbying including but not limited to travel, consultant or other special contractual services, and brochures and other publications, the principal purpose of which is to influence legislation;

(8) The purpose of this section is to require each state agency and certain local agencies to report the identities of those persons who lobby on behalf of the agency

compensation, together with certain separately identifiable and measurable expenditures of an agency's funds for that purpose. This section shall be reasonably construed to accomplish that purpose and not to require any agency to report any of its general overhead cost or any other costs which relate only indirectly or incidentally to lobbying or which are equally attributable to or inseparable from non-lobbying activities of the agency.

Respectfully submitted this 30th day of March, 2011


Kurt Young
PDC Compliance Officer

List of Exhibits

- Exhibit #1** Complaint filed on July 28, 2010, by Preston Mui of the Evergreen Freedom Foundation (EFF), alleging that the Sound Transit may have violated RCW 42.17.190.
- Exhibit #2** August 17, 2010, letter from PDC staff notifying Sound Transit that a complaint had been filed by Preston Mui of EFF, and that PDC staff would be investigating the allegations.
- Exhibit #3** Sound Transit filed one L-5 report on June 24, 2010, for the first quarter of 2010; 21 L-5 reports on July 9, 2010, disclosing Sound Transit lobbying activities undertaken during calendar years 2003 through 2009, and the first quarter of 2010; and two L-5 reports on October 14, 2010, for the second and third quarters of 2010. Sound Transit also filed five amended L-5 reports on August 5, 2010, one for the first quarter of 2010, and four amended L-5 reports were filed for calendar year 2008.
- Exhibit #4** July 15, 2010, response letter to the complaint from Desmond L. Brown, General Counsel for Sound Transit.
- Exhibit #5** August 30, 2010, letter from Robin K. Murphy, Senior Legal Counsel for Sound Transit, and copies of Sound Transit meeting motions approving the agency's annual State Legislative Program for calendar years 2005 through 2009.

JUL 28 2010

Office Use Only: No. _____

Public Disclosure Commission



WASHINGTON STATE PUBLIC DISCLOSURE COMMISSION
COMPLAINT FORM

(See instructions on the last page.)

Description of Complaint

1. RESPONDENT:

Identify who you are filing a complaint against and provide all contact information you have for them. Give names and titles, if any, for individuals, and the full name of any organization. Please note that the PDC does not enforce federal campaign finance laws or local ordinances.

Example #1: Joe Public, Mayor of My Town,

123 Main Street, Your Town, State, Phone: 555-123-4567, Email: unknown

Example #2: The Political Action Group (instead of P.A.G.), 123 Main Street, Your Town, State,

Phone: 555-123-4567, Email: pag@pag.org

Central Puget Sound Transit Authority / Sound Transit

401 South Jackson Street

Seattle, WA 98104

Phone: (206) 398-5000

Email: main@soundtransit.org

2. ALLEGED VIOLATIONS:

Explain how and when you believe the people/entities you are filing a complaint against violated RCW 42.17 or Title 390 WAC. Be as detailed as possible about dates, times, places and acts. If you can, cite which specific laws or rules you believe were violated. Attach additional pages if needed.

I believe Sound Transit failed to properly file L-5 lobbying forms as required for public agencies under RCW 42.17.190. These violations occurred in the years 2003 and 2009, inclusively.

Until I contacted Sound Transit about the missing forms, Sound Transit had failed to file all of the L-5 lobbying forms for these years. After I contacted Sound Transit, the agency filed the missing forms. Although Sound Transit has filed the missing forms, I believe that this specific case deserves penalty. Sound Transit did not file these forms until I contacted them, and the missing forms amount to over \$800,000 worth of lobbying expenditures over seven years. In addition, the late forms do not comply with the rules from the PDC's manual. The description of lobbyist activities do not specify their objectives and activities, and they failed to itemize their Consultant/Contractual Services as described on pages 12 and 13 of the Public Disclosure Commission's 2010 Public Agency Lobbying Manual.

JUL 28 2010

Public Disclosure Commission

Evidence and Witnesses

3. EVIDENCE:

List the documents or other evidence you have that support your complaint, if any, and attach copies to this form. If you do not have copies, provide any information you have about where you believe the documents or evidence can be found and how to obtain it. Attach additional pages if needed.

Example: Emails between Joe public and Candidate X, attached OR

Joe Public has emails from Candidate X which describe an illegal campaign donation, and Joe Public's phone number is 555-123-4567.

Attached you will find a sample of the delinquent L-5 forms filed by Sound Transit, copies of e-mails between myself and Melanie Smith, Sound Transit's State Government Relations Specialist, and a summary of the delinquent reports.

It is apparent that their description of lobbying activities, "meetings with legislators regarding Sound Transit's legislative agenda" does not comply with PDC manual's statement that "a statement to the effect that the person lobbied on all matters of interest to the agency is NOT sufficient." Furthermore, the agency did not itemize their consultants and contractual services expenditures.

4. WITNESSES:

List the names and contact information, if known, of any witnesses or other persons who have knowledge of facts that support your complaint. Attach additional pages if needed.

Example: Jane Public was present when Candidate X spoke to me about the illegal contribution. Jane Public's address is 123 Main Street, Your Town, USA 12345, and her phone number is 555-123-4567.

Multiple horizontal lines for providing witness information.

RECEIVED

JUL 28 2010

Certification *Public Disclosure Commission*

In signing this complaint:

- I have provided all information, documents and other evidence of which I am aware;
- If I become aware of additional information, documents or evidence related to my complaint, I will promptly provide it to the PDC; and,
- I am providing the PDC current information on how to contact me, and will promptly update that information if it changes.

Your name (print or type) Preston Mui, Evergreen Freedom Foundation

Street address 2403 Pacific Ave SE

City, state and zip code Olympia, WA 98501

Telephone number (including area code) (360) 956-3482

E-mail address (optional) pmui@effwa.org

Oath

Required for complaints against elected officials or candidates for elective office:

I certify (or declare) under penalty of perjury under the laws of the State of Washington that this complaint is complete, true and correct to the best of my knowledge and belief.*

Your signature 

Date signed July 19th, 2010

Place signed (city and county)

Olympia	Thurston
City	County

Attachments

Check here if you are attaching copies of documentary evidence or extra pages explaining your complaint.

*RCW 9A.72.040 says that "(1) A person is guilty of false swearing if he makes a false statement which he knows to be false, under an oath required or authorized by law. (2) False swearing is a misdemeanor."

RECEIVED

JUL 28 2010

Public Disclosure Commission

Preston Mui**From:** Smith, Melanie [smithm@soundtransit.org]**Sent:** Thursday, July 15, 2010 8:39 AM**To:** Preston Mui**Subject:** Re: Recent L-5 and lobbying

Preston-

Sound Transit did conduct lobbying activities in the State Legislature between 2002-2009 in order to support a legislative agenda adopted by the Sound Transit Board of Directors at a public meeting each year. While Sound Transit's contract lobbyists have always submitted the required financial disclosure reports reflecting their advocacy on regional transit issues, we recently filed reports with the PDC on Sound Transit's behalf after realizing that we had mistakenly failed to do so for several years. We have addressed this oversight by filing the forms due for past years.

Sound Transit currently contracts with Martin Flynn Public Affairs, Inc. The firm's lobbying reports are available with the Public Disclosure Commission and consistently reflect Sound Transit's expense for those services. Other lobbyists that Sound Transit have worked with during the 2002-2009 period include Ron Main, David Ducharme and Clifford Traisman. You may view their disclosure reports online at the PDC's website. Thanks.

Melanie Smith
State Government Relations Specialist
Sound Transit

From: Preston Mui <pmui@effwa.org>
To: Smith, Melanie
Sent: Tue Jul 06 15:06:52 2010
Subject: Recent L-5 and lobbying

Dear Melanie Smith,

I was looking at the recent L-5 form Sound Transit filed with PDC, and I had a few questions that I was hoping you could answer for me.

According to the L-5 form, Sound Transit spent \$18,000 on Consultants and/or Contractual Services, but the form does not mention who the consultants or contractors were. Is it possible for me to get this information?

Also, according to the PDC website, before the most recent L-5 form, no L-5 forms were filed since 2002. Is it true that there was no lobbying done by Sound Transit between 2002 and 2009?

Thank you,

Preston Mui
Intern (Koch Summer Fellow 2010), Economic Policy
Evergreen Freedom Foundation | PO Box 552 | Olympia | WA | 98507 | P: 360.956.3482 | F:
360.352.1874

www.effwa.org

PDC Exhibit # #1
Page 4 of 7

7/19/2010

JUL 28 2010

PUBLIC DISCLOSURE COMMISSION

 711 CAPITOL WAY RM 206
 PO BOX 40908
 OLYMPIA WA 98504-0908
 (360) 753-1111
 TOLL FREE 1-877-601-2828

PDC FORM L-5 (Rev 1/09)	Public Disclosure Commission LOBBYING BY STATE AND LOCAL GOVERNMENT AGENCIES
--------------------------------------	---

Instructions Are Printed On Reverse

DATE FILED PDC

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	JUL - 9 2010	Date prepared 2/1/10	Report for calendar quarter ending Q1 2008
		County <u>King</u>	Month Year <u>3</u> <u>2008</u>

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
Melanie Smith	State Government Relations Specialist	\$91,975	100

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
Steve Sheehy	Legal Counsel	\$119,699	2

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
Desmond Brown	General Counsel	189,447	25

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
Joni Earl	Chief Executive Officer	\$187,949	1

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

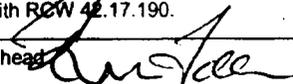
Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$ 42,731.11
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$ 983.44
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$
Consultants Or Other Contractual Services	\$ 36,000.00
Total This Quarter	\$ 79,714.55
Total To Date This Year	\$ 79,714.55

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190.

Signature of agency head 
 Ron Tober, Acting Chief Executive Officer

Name of employee completing report

Ruby Fowler

Work telephone Number 206-398-5154

Work E-mail ruby.fowler@soundtransit.org

Attach additional sheets if more room is required

JUL 28 2010

PUBLIC DISCLOSURE COMMISSION

 711 CAPITOL WAY RM 206
 PO BOX 40908
 OLYMPIA WA 98504-0908
 (360) 753-1111
 TOLL FREE 1-877-601-2828

PDC FORM L-5 (Rev 1/09)	Public Disclosure Commission LOBBYING BY STATE AND LOCAL GOVERNMENT AGENCIES
--------------------------------------	---

Instructions Are Printed On Reverse

DATE FILED PDC

Agency or Governmental Entity Name and Address <p style="text-align: center;">JUL - 9 2010</p> Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	Date prepared 2/1/10 County King	Report for calendar quarter ending Q1 2009 Month Year 3 2009
--	---	--

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
Melanie Smith	State Government Relations Specialist	\$98,312	100

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
Steve Sheehy	Legal Counsel	\$124,384	1

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
Jennifer Belk	Legal Counsel	\$98,938	.5

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
Joni Earl	Chief Executive Officer	\$195,467	2

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$ 25,951.14
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$ 4,824.32
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$
Consultants Or Other Contractual Services	\$ 18,000.00
Total This Quarter	\$ 48,775.46
Total To Date This Year	\$ 48,775.46

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190.

Name of employee completing report

Ruby Fowler

Signature of agency head

Ron Tober, Acting Chief Executive Officer



Work telephone Number 206-398-5154

Work E-mail ruby.fowler@soundtransit.org

Attach additional sheets if more room is required

RECEIVED

JUL 28 2010

Public Disclosure Commission

Summary of delinquent Sound Transit L-5 Reports

Quarter	Salaries	Travel	Contracting	Total
12/1/2009	\$1,228.90	\$617.00	\$18,000.00	\$19,845.90
9/1/2009			\$18,000.00	\$18,000.00
6/1/2009	\$9,093.86	\$2,833.33	\$18,000.00	\$29,927.19
3/1/2009	\$25,951.14	\$4,824.32	\$18,000.00	\$48,775.46
12/1/2008			\$36,000.00	\$36,000.00
9/1/2008			\$36,000.00	\$36,000.00
6/1/2008			\$36,000.00	\$36,000.00
3/1/2008	\$42,731.11	\$983.44	\$36,000.00	\$79,714.55
12/1/2007			\$36,000.00	\$36,000.00
9/1/2007			\$36,000.00	\$36,000.00
6/1/2007	\$20,993.64	\$477.75	\$36,000.00	\$57,471.39
3/1/2007	\$21,751.01	\$955.50	\$36,000.00	\$58,706.51
12/1/2006	\$574.56	\$1,008.16	\$30,000.00	\$31,582.72
9/1/2006			\$36,000.00	\$36,000.00
6/1/2006			\$36,000.00	\$36,000.00
3/1/2006	\$19,524.37	\$1,008.16	\$36,000.00	\$56,532.53
12/1/2005	\$828.75	\$344.26	\$30,192.20	\$31,365.21
9/1/2005			\$30,192.20	\$30,192.20
6/1/2005	\$2,817.50	\$2,039.10	\$30,077.50	\$34,934.10
3/1/2005	\$16,575.00	\$2,393.34	\$30,077.50	\$49,045.84
6/1/2004	\$1,302.66			\$1,302.66
3/1/2004	\$6,511.33			\$6,511.33
6/1/2003	\$1,292.31			\$1,292.31
3/1/2003	\$6,461.31			\$6,461.31
Total	\$177,637.45	\$17,484.36	\$618,539.40	\$813,661.21



STATE OF WASHINGTON
PUBLIC DISCLOSURE COMMISSION

711 Capitol Way Rm. 206, PO Box 40908 • Olympia, Washington 98504-0908 • (360) 753-1111 • FAX
(360) 753-1112 Toll Free 1-877-601-2828 • E-mail: pdc@pdc.wa.gov • Website: www.pdc.wa.gov

August 17, 2010

Desmond L. Brown, General Counsel
Central Puget Sound Regional Transit Authority
401 South Jackson Street
Seattle, WA 98104

Complaint Filed By Preston Mui, Evergreen Freedom Foundation, PDC Case No. 11-009

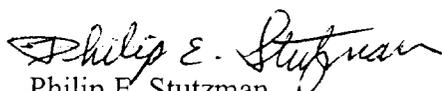
Dear Mr. Brown:

Enclosed is a copy of a complaint received on July 28, 2010, alleging that Central Puget Sound Regional Transit Authority (Sound Transit) may have violated RCW 42.17.190.

PDC staff will investigate the allegations with respect to whether Sound Transit violated RCW 42.17.190 by failing to timely file reports of public agency lobbying activities for the last half of calendar years 2005-2009. The allegations that Sound Transit may have violated RCW 42.17.190 for 2003, 2004 and the first half of calendar year 2005 are beyond the statute of limitations and will not be investigated. To assist our investigation, please respond to the allegations in writing **by August 31, 2010**. All laws cited in this letter can be found on our website, www.pdc.wa.gov, under "Home" and "Laws and Rules." We will notify you of the results when the investigation is complete. We are in receipt of your letter dated July 12, 2010 concerning this matter and are aware that you have now filed all missing reports. Thank you. Please provide any supplemental response by August 31, 2010, or indicate that no additional response will be sent.

If you have questions, please Kurt Young at (360) 664-8854, or toll-free at 1-877-601-2828. You may also e-mail Mr. Young at kurt.young@pdc.wa.gov.

Sincerely,


Philip E. Stutzman
Director of Compliance

Enclosure



RECEIVED

JUN 24 2010

Public Disclosure Commission

DATE FILED PDC

JUN 21 2010

June 21, 2010

Clerk
Public Disclosure Commission
711 Capitol Way, Room 206
PO Box 40908
Olympia, WA 98504-0908

RE: L5 Filing for First Quarter 2010

Dear Clerk:

Enclosed please find our first quarter filing for 2010. There have been no lobbying activities for the second quarter, so there will not be a second quarter filing subsequent to this.

Please let me know if you have any questions or concerns.

Sincerely,

Ruby Fowler
Legal Assistant, Legal Department

Encl.

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Snohomish County Executive

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Lakewood Councilmember

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Washington State Secretary of Transportation

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Redmond Mayor

Joe Marine
Mukilteo Mayor

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Julia Patterson
King County Councilmember

Larry Phillips
King County Councilmember

Paul Roberts
Everett Council President

Peter von Reichbauer
King County Councilmember

CHIEF EXECUTIVE OFFICER

Joni Earl

PDC Exhibit # 3
Page 1 of 37



PUBLIC DISCLOSURE COMMISSION
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 OLYMPIA WA 98504-0908
 (360) 753-1111
 TOLL FREE 1-877-601-2828

PDC FORM L-5 (Rev 1/09)	LOBBYING BY STATE AND LOCAL GOVERNMENT AGENCIES DATE FILED PDC
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Instructions Are Printed On Reverse

JUN 21 2010

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	Date prepared 04/01/10	Report for calendar quarter ending Q1 2010
	County <u>King</u>	Month Year <u>4</u> <u>2010</u>

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
Melanie Smith	State Government Relations Specialist	\$98,312	100

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$	24,578.00
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$	3,697.65
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$	
Consultants Or Other Contractual Services	\$	18,000.00
Total This Quarter	\$	46,275.65
Total To Date This Year	\$	46,275.65

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190. Signature of agency head <i>[Signature]</i> Joni Earl, Chief Executive Officer	Name of employee completing report Melanie Smith
	Work telephone Number 206-398-5318 Work E-mail melanie.smith@soundtransit.org

Attach additional sheets if more room is required



RECEIVED

JUL 12 2010

Public Disclosure Commission

DATE FILED PDC

JUL -9 2010

July 9, 2010

Clerk
Public Disclosure Commission
711 Capitol Way, Room 206
PO Box 40908
Olympia, WA 98504-0908

RE: L5 Filing

Dear Clerk:

Enclosed please find the L5 reporting forms for the Central Puget Sound Regional Transit Authority. Please contact Desmond Brown at 206-398-5017 if you have any questions.

Sincerely,

Ruby Fowler
Legal Assistant, Legal Department

Encl.

cc: Tony Perkins, PDC

CHAIR

Aaron Reardon
Snohomish County Executive

VICE CHAIRS

Fred Butler
Issaquah Deputy Council President

Claudia Thomas
Lakewood Councilmember

BOARD MEMBERS

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Seattle Council President

Dow Constantine
King County Executive

Jan Drago
King County Councilmember

Dave Enslow
Sumner Mayor

Jake Fey
Tacoma Deputy Mayor

Paula J. Hammond, P.E.
Washington State Secretary of Transportation

John Marchione
Redmond Mayor

Joe Marine
Mukilteo Mayor

Pat McCarthy
Pierce County Executive

Mike McGinn
Seattle Mayor

Julia Patterson
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Larry Phillips
King County Councilmember

Paul Roberts
Everett Council President

Peter von Reichbauer
King County Councilmember

CHIEF EXECUTIVE OFFICER

Joni Earl

PDC Exhibit # 3
Page 3 of 37



PUBLIC DISCLOSURE COMMISSION
 711 CAPITOL WAY RM 206
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 OLYMPIA WA 98504-0908
 (360) 753-1111
 TOLL FREE 1-877-601-2828

PDC FORM L-5 (Rev 1/09)	LOBBYING BY STATE AND LOCAL GOVERNMENT AGENCIES
--------------------------------------	--

DATE FILED PDC

Instructions Are Printed On Reverse

JUL - 9 2010

Agency or Governmental Entity Name and Address	Date prepared	Report for calendar quarter ending
Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	7/8/2010	Q1 2003
	County <u>King</u>	<u>03</u> <u>2003</u> Month Year

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
Tim Kelly	State Gov't Relations Specialist	\$51,692.25	50

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
		\$	

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
		\$	

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$ 6,461.31
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$ per diem Olympia/Seattle
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$
Consultants Or Other Contractual Services	\$
Total This Quarter	\$ 6,461.31
Total To Date This Year	\$ 6,461.31

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190. Signature of agency head  Ron Tober, Acting Chief Executive Officer	Name of employee completing report Ruby Fowler Work telephone Number 206-398-5154 Work E-mail ruby.fowler@soundtransit.org
---	--

Attach additional sheets if more room is required



PUBLIC DISCLOSURE COMMISSION
 711 CAPITOL WAY RM 206
 PO BOX 40908
 OLYMPIA WA 98504-0908
 (360) 753-1111
 TOLL FREE 1-877-601-2828

PDC FORM L-5 (Rev 1/09)	LOBBYING BY STATE AND LOCAL GOVERNMENT AGENCIES DATE FILED PDC
--------------------------------------	---

JUL -9 2010

Instructions Are Printed On Reverse

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	Date prepared 7/8/2010 County <u>King</u>	Report for calendar quarter ending Q2 2003 <u>06</u> <u>2003</u> Month Year
--	--	--

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
Tim Kelly	State Gov't Relations Specialist	\$51,692.25	10

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
		\$	

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
		\$	

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$ 1,292.31
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$ per diem Olympia/Seattle
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$
Consultants Or Other Contractual Services	\$
Total This Quarter	\$ 1,292.31
Total To Date This Year	\$ 7,753.62

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190.

Name of employee completing report

Ruby Fowler

Work telephone Number 206-398-5154

Work E-mail ruby.fowler@soundtransit.org

Signature of agency head

Ron Tober, Acting Chief Executive Officer

Attach additional sheets if more room is required

Instructions Are Printed On Reverse

JUL - 9 2010

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	Date prepared 7/8/2010	Report for calendar quarter ending Q1 2004
	County King	03 2004 Month Year

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
Tim Kelly	State Gov't Relations Specialist	\$52,090.65	50

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
		\$	

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
		\$	

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$ 6,511.33
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$ per diem Olympia/Seattle
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$
Consultants Or Other Contractual Services	\$
Total This Quarter	\$ 6,511.33
Total To Date This Year	\$ 6,511.33

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190.

Name of employee completing report

Ruby Fowler

Work telephone Number 206-398-5154

Work E-mail ruby.fowler@soundtransit.org

Signature of agency head

Ron Tober, Acting Chief Executive Officer

Attach additional sheets if more room is required

JUL - 9 2010

Instructions Are Printed On Reverse

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	Date prepared 7/8/2010	Report for calendar quarter ending Q2 2004
	County King	06 2004 Month Year

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
Tim Kelly	State Gov't Relations Specialist	\$52,090.65	10

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
		\$	

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
		\$	

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$	1,302.66
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$	per diem Olympia/Seattle
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$	
Consultants Or Other Contractual Services	\$	
Total This Quarter	\$	1,302.66
Total To Date This Year	\$	7,813.99

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190.

Signature of agency head

Ron Tober, Acting Chief Executive Officer

Name of employee completing report

Ruby Fowler

Work telephone Number 206-398-5154

Work E-mail ruby.fowler@soundtransit.org

Attach additional sheets if more room is required



PUBLIC DISCLOSURE COMMISSION
711 CAPITOL WAY RM 206
PO BOX 40908
OLYMPIA WA 98504-0908
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PDC FORM L-5 (Rev 1/09)	LOBBYING BY STATE AND LOCAL GOVERNMENT AGENCIES
DATE FILED PDC	

Instructions Are Printed On Reverse

JUL - 9 2010

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	Date prepared 2/1/10	Report for calendar quarter ending Q1 2006
	County King	Month Year 03 2006

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
Melanie Smith	State Government Relations Specialist	\$76,154	100%

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
Steve Sheehy	Legal Counsel	\$100,374	1

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
Joni Earl	Chief Executive Officer	\$187,949	.5

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$ 19,524.37
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$ 1008.16
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$
Consultants Or Other Contractual Services	\$ 36,000.00
Total This Quarter	\$ 56,532.53
Total To Date This Year	\$ 56,532.53

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190. Signature of agency head Ron Tober, Acting Chief Executive Officer	Name of employee completing report Ruby Fowler Work telephone Number 206-398-5154 Work E-mail ruby.fowler@soundtransit.org
---	---

Attach additional sheets if more room is required



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PDC FORM L-5 <small>(Rev 1/09)</small>	LOBBYING BY STATE AND LOCAL GOVERNMENT AGENCIES
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DATE FILED PDC

Instructions Are Printed On Reverse

JUL - 9 2010

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	Date prepared 2/1/10	Report for calendar quarter ending Q2 2006 Month Year <u>06</u> <u>2006</u>
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PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
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General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
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General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
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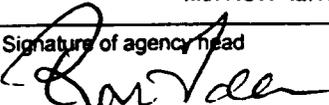
General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$	
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$	
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$	
Consultants Or Other Contractual Services	\$	36,000.00
Total This Quarter	\$	36,000.00
Total To Date This Year	\$	92,532.53

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190. Signature of agency head  Ron Tober, Acting Chief Executive Officer	Name of employee completing report Ruby Fowler Work telephone Number 206-398-5154 Work E-mail <u>ruby.fowler@soundtransit.org</u>
---	--

Attach additional sheets if more room is required



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DATE FILED PDC

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JUL - 9 2010

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	Date prepared 2/1/10	Report for calendar quarter ending Q3 2006 Month Year <u>09</u> <u>2006</u>
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PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
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General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
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General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
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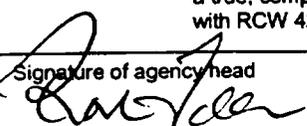
General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$	
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$	
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$	
Consultants Or Other Contractual Services	\$	36,000.00
Total This Quarter	\$	36,000.00
Total To Date This Year	\$	128,532.53

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190.  Signature of agency head Ron Tober, Acting Chief Executive Officer	Name of employee completing report Ruby Fowler Work telephone Number 206-398-5154 Work E-mail ruby.fowler@soundtransit.org
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Attach additional sheets if more room is required

Instructions Are Printed On Reverse

JUL - 9 2010

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	Date prepared 2/1/10	Report for calendar quarter ending Q4 2006
	County King	Month Year 12 2006

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
Melanie Smith	State Government Relations Specialist	\$76,154	3%

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
		\$	

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
		\$	

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

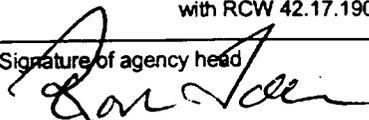
Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$	571.56
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$	1,008.16
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$	
Consultants Or Other Contractual Services	\$	30,000.00
Total This Quarter	\$	31,579.72
Total To Date This Year	\$	160,112.25

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190.

Signature of agency head

 Ron Tober, Acting Chief Executive Officer

Name of employee completing report

Ruby Fowler

Work telephone Number 206-398-5154

Work E-mail ruby.fowler@soundtransit.org

Attach additional sheets if more room is required



PUBLIC DISCLOSURE COMMISSION
711 CAPITOL WAY RM 206
PO BOX 40908
OLYMPIA WA 98504-0908
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PDC FORM L-5 (Rev 1/09)	LOBBYING BY STATE AND LOCAL GOVERNMENT AGENCIES
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DATE FILED PDC

Instructions Are Printed On Reverse

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	Date prepared JUL - Q 2010 2/1/10	Report for calendar quarter ending Q1 2007 Month Year <u>3</u> <u>2007</u>
	County <u>King</u>	

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
Melanie Smith	State Government Relations Specialist	\$82,824.54	100

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
Steve Sheehy	Legal Counsel	\$115,000	2

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
Joni Earl	Chief Executive Officer	\$187,949	1

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$ 21,751.01
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$ 955.50
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$
Consultants Or Other Contractual Services	\$ 36,000.00
Total This Quarter	\$ 58,706.51
Total To Date This Year	\$ 58,706.51

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190.

Signature of agency head

 Ron Tober, Acting Chief Executive Officer

Name of employee completing report
 Ruby Fowler
 Work telephone Number 206-398-5154
 Work E-mail ruby.fowler@soundtransit.org

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JUL - 9 2010

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	Date prepared 2/1/10	Report for calendar quarter ending Q2 2007
	County <u>King</u>	Month Year <u>6</u> <u>2007</u>

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
Melanie Smith	State Government Relations Specialist	\$82,824.54	100

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
Steve Sheehy	Legal Counsel	\$115,000	1

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$ 20,993.64
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$ 477.75
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$
Consultants Or Other Contractual Services	\$ 36,000.00
Total This Quarter	\$ 57,471.39
Total To Date This Year	\$ 116,177.90

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190.	Name of employee completing report Ruby Fowler
Signature of agency head 	Work telephone Number 206-398-5154
Ron Tober, Acting Chief Executive Officer	Work E-mail ruby.fowler@soundtransit.org

Attach additional sheets if more room is required

JUL - 9 2010

Instructions Are Printed On Reverse

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	Date prepared 2/1/10	Report for calendar quarter ending Q3 2007
	County King	Month Year 9 2007

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
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General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
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General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
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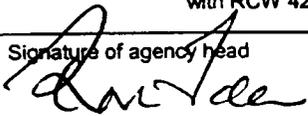
General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$
Consultants Or Other Contractual Services	\$ 36,000.00
Total This Quarter	\$ 36,000.00
Total To Date This Year	\$ 152,177.90

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190.  Signature of agency head Ron Tober, Acting Chief Executive Officer	Name of employee completing report Ruby Fowler
	Work telephone Number 206-398-5318 Work E-mail ruby.fowler@soundtransit.org

Attach additional sheets if more room is required



PUBLIC DISCLOSURE COMMISSION
 711 CAPITOL WAY RM 206
 PO BOX 40908
 OLYMPIA WA 98504-0908
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PDC FORM L-5 (Rev 1/09)	LOBBYING BY STATE AND LOCAL GOVERNMENT AGENCIES
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DATE FILED PDC

Instructions Are Printed On Reverse

JUL - 9 2010

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	Date prepared 2/1/10 County King	Report for calendar quarter ending Q4 2007 Month Year 12 2007
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PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
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General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
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General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
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General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$
Consultants Or Other Contractual Services	\$ 36,000.00
Total This Quarter	\$ 36,000.00
Total To Date This Year	\$ 188,177.90

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190.

Signature of agency head

 Ron Tober, Acting Chief Executive Officer

Name of employee completing report
 Ruby Fowler
 Work telephone Number 206-398-5154
 Work E-mail ruby.fowler@soundtransit.org

Attach additional sheets if more room is required



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PDC FORM L-5 (Rev 1/09)	LOBBYING BY STATE AND LOCAL GOVERNMENT AGENCIES
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Instructions Are Printed On Reverse

DATE FILED PDC

JUL - 9 2010

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	Date prepared 2/1/10	Report for calendar quarter ending Q1 2008
	County King	Month Year 3 2008

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
Melanie Smith	State Government Relations Specialist	\$91,975	100

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)
 Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.
 Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
Steve Sheehy	Legal Counsel	\$119,699	2

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)
 Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.
 Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
Desmond Brown	General Counsel	189,447	25

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)
 Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.
 Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
Joni Earl	Chief Executive Officer	\$187,949	1

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)
 Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.
 Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$ 42,731.11
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$ 983.44
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$
Consultants Or Other Contractual Services	\$ 36,000.00
Total This Quarter	\$ 79,714.55
Total To Date This Year	\$ 79,714.55

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190. Signature of agency head Ron Tober, Acting Chief Executive Officer	Name of employee completing report Ruby Fowler
	Work telephone Number 206-398-5154 Work E-mail ruby.fowler@soundtransit.org



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JUL -9 2010

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	Date prepared 2/1/10	Report for calendar quarter ending Q2 2008
	County King	Month Year 6 2008

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
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General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
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General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
		\$	

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$
Consultants Or Other Contractual Services	\$ 36,000.00
Total This Quarter	\$ 36,000.00
Total To Date This Year	\$ 115,714.55

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190.

Name of employee completing report

Ruby Fowler

Signature of agency head

Work telephone Number 206-398-5154

Ron Tober, Acting Chief Executive Officer

Work E-mail ruby.fowler@soundtransit.org

Attach additional sheets if more room is required



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JUL - 9 2010

Instructions Are Printed On Reverse

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	Date prepared 2/1/10	Report for calendar quarter ending Q3 2008
	County <u>King</u>	Month Year <u>9</u> <u>2008</u>

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)			

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)			

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

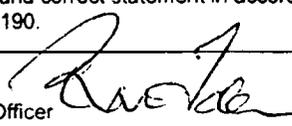
Name	Job title	Annual salary	% of time spent lobbying during quarter
		\$	
General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)			

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$	
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$	
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$	
Consultants Or Other Contractual Services	\$	36,000.00
Total This Quarter	\$	36,000.00
Total To Date This Year	\$	151,714.55

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190. Signature of agency head Ron Tober, Acting Chief Executive Officer 	Name of employee completing report Ruby Fowler
	Work telephone Number 206-398-5154 Work E-mail ruby.fowler@soundtransit.org

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PDC FORM L-5 (Rev 1/09)	LOBBYING BY STATE AND LOCAL GOVERNMENT AGENCIES DATE FILED PDC
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JUL -9 2010

Instructions Are Printed On Reverse

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	Date prepared 2/1/10	Report for calendar quarter ending Q4 2008
	County King	Month Year 12 2008

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
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General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
------	-----------	---------------	---

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
		\$	

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$	
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$	
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$	
Consultants Or Other Contractual Services	\$	36,000.00
Total This Quarter	\$	36,000.00
Total To Date This Year	\$	187,714.55

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190. Signature of agency head Ron Tober, Acting Chief Executive Officer 	Name of employee completing report Ruby Fowler
	Work telephone Number 206-398-5154 Work E-mail ruby.fowler@soundtransit.org

Attach additional sheets if more room is required



PUBLIC DISCLOSURE COMMISSION
 711 CAPITOL WAY RM 206
 PO BOX 40908
 OLYMPIA WA 98504-0908
 (360) 753-1111
 TOLL FREE 1-877-601-2828

PDC FORM L-5 (Rev 1/09)	LOBBYING BY STATE AND LOCAL GOVERNMENT AGENCIES
--------------------------------------	--

Instructions Are Printed On Reverse

DATE FILED PDC

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	Date prepared 2/1/10 County King	Report for calendar quarter ending Q1 2009 Month Year 3 2009
--	---	---

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
Melanie Smith	State Government Relations Specialist	\$98,312	100

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
Steve Sheehy	Legal Counsel	\$124,384	1

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
Jennifer Belk	Legal Counsel	\$98,938	.5

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
Joni Earl	Chief Executive Officer	\$195,467	2

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$ 25,951.14
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$ 4,824.32
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$
Consultants Or Other Contractual Services	\$ 18,000.00
Total This Quarter	\$ 48,775.46
Total To Date This Year	\$ 48,775.46

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190. Signature of agency head Ron Tober, Acting Chief Executive Officer	Name of employee completing report Ruby Fowler Work telephone Number 206-398-5154 Work E-mail ruby.fowler@soundtransit.org
---	---

Instructions Are Printed On Reverse

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	JUL - 9 2010	Date prepared 2/1/10	Report for calendar quarter ending Q2 2009
		County King	Month Year 6 2009

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
Melanie Smith	State Government Relations Specialist	\$98,312	37

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter

General description of lobbying activities or objectives. (Include bill or WAC numbers; if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$	9,093.86
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$	2,833.33
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$	
Consultants Or Other Contractual Services	\$	18,000.00
Total This Quarter	\$	29,927.18
Total To Date This Year	\$	78,702.64

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190. Signature of agency head Ron Tober, Acting Chief Executive Officer	Name of employee completing report Ruby Fowler
	Work telephone Number 206-398-5154 Work E-mail ruby.fowler@soundtransit.org

Attach additional sheets if more room is required.



PUBLIC DISCLOSURE COMMISSION
 711 CAPITOL WAY RM 206
 PO BOX 40908
 OLYMPIA WA 98504-0908
 (360) 753-1111
 TOLL FREE 1-877-601-2889

PDC FORM
L-5
 (Rev 1/09)

LOBBYING BY STATE AND LOCAL GOVERNMENT AGENCIES

DATE FILED PDC

Instructions Are Printed On Reverse

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	JUL - 9 2010	Date prepared 2/1/10	Report for calendar quarter ending Q3 2009
		County <u>King</u>	Month Year <u>9</u> <u>2009</u>

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
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General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
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General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
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General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
------	-----------	---------------	---

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$	
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$	
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$	
Consultants Or Other Contractual Services	\$	18,000.00
Total This Quarter	\$	18,000.00
Total To Date This Year	\$	96,702.64

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190. Signature of agency head Ron Tober, Acting Chief Executive Officer	Name of employee completing report Ruby Fowler
	Work telephone Number 206-398-5154 Work E-mail ruby.fowler@soundtransit.org

Attach additional sheets if more room is required



PUBLIC DISCLOSURE COMMISSION
711 CAPITOL WAY RM 206
PO BOX 40908
OLYMPIA WA 98504-0908
(360) 753-1111
TOLL FREE 1-877-601-2828

PDC FORM L-5 (Rev 1/09)	LOBBYING BY STATE AND LOCAL GOVERNMENT AGENCIES
--------------------------------------	---

Instructions Are Printed On Reverse

DATE FILED PDC

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	JUL - 9 2010	Date prepared 2/1/10 County King	Report for calendar quarter ending Q4 2009 Month Year <u>12</u> <u>2009</u>
--	--------------	---	---

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
Melanie Smith	State Government Relations Specialist	\$98,312	5

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$ 1,228.90
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$ 617.00
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$
Consultants Or Other Contractual Services	\$ 18,000.00
Total This Quarter	\$ 19,845.90
Total To Date This Year	\$ 116,548.54

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190.

Signature of agency head
 Ron Tober, Acting Chief Executive Officer

Name of employee completing report

Ruby Fowler

Work telephone Number 206-398-5154

Work E-mail ruby.fowler@soundtransit.org

Attach additional sheets if more room is required

DATE FILED PDC

Instructions Are Printed On Reverse

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	JUL - 9 2010	Date prepared 04/01/10	Report for calendar quarter ending Q1 2010
		County King	Month Year 3 2010
AMENDED			

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
Melanie Smith	State Government Relations Specialist	\$98,312	100

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
Desmond Brown	General Counsel	\$189,447	.5 (236.80)

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
Joni Earl	Chief Executive Officer	\$195,467	.5 (\$244.33)

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
Stephen Sheehy	Legal Counsel	\$124,384	1 (\$310.96)

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$ 25,370.09
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$ 3,697.65
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$
Consultants Or Other Contractual Services	\$ 18,000.00
Total This Quarter	\$ 47,067.74
Total To Date This Year	\$ 47,067.74

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190. Signature of agency head Ron Tober, Acting Chief Executive Officer	Name of employee completing report Ruby Fowler
	Work telephone Number 206-398-5154 Work E-mail ruby.fowler@soundtransit.org

Attach additional sheets if more room is required



RECEIVED

JUL 13 2010

Public Disclosure Commission

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Aaron Reardon
Snohomish County Executive

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Issaquah Deputy Council President

Claudia Thomas
Lakewood Councilmember

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Tacoma Deputy Mayor

Paula J. Hammond, P.E.
Washington State Secretary of Transportation

John Marchione
Redmond Mayor

Joe Marine
Mukilteo Mayor

Pat McCarthy
Pierce County Executive

Mike McGinn
Seattle Mayor

Julia Patterson
King County Councilmember

Larry Phillips
King County Councilmember

Paul Roberts
Everett Council President

Peter von Reichbauer
King County Councilmember

CHIEF EXECUTIVE OFFICER

Joni Earl

July 12, 2010

DATE FILED PDC

JUL 12 2010

Clerk
Public Disclosure Commission
711 Capitol Way, Room 206
PO Box 40908
Olympia, WA 98504-0908

RE: L5 Filing

Dear Clerk:

Enclosed please find the L5 reporting forms for the Central Puget Sound Regional Transit Authority. Please contact Desmond Brown at 206-398-5017 if you have any questions.

Sincerely,

Ruby Fowler
Legal Assistant, Legal Department

Encl.

cc: Tony Perkins, PDC

PDC Exhibit # 3
Page 25 of 37



PUBLIC DISCLOSURE COMMISSION
711 CAPITOL WAY RM 206
PO BOX 40908
OLYMPIA WA 98504-0908
(360) 753-1111
TOLL FREE 1-877-601-2828

PDC FORM L-5 (Rev 1/09)	LOBBYING BY STATE AND LOCAL GOVERNMENT AGENCIES DATE FILED PDC
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JUL 12 2010

Instructions Are Printed On Reverse

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	Date prepared 7/8/2010 County <u>King</u>	Report for calendar quarter ending Q1 2005 <u>03</u> <u>2005</u> Month Year
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PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
Melanie Smith	State Gov't Relations Specialist	\$66,3000	100

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
		\$	

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
		\$	

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$ 16,575.00
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$ 2,393.34
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$
Consultants Or Other Contractual Services	\$ 30,077.50
Total This Quarter	\$ 49,045.84
Total To Date This Year	\$ 49,045.84

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190.

Name of employee completing report

Ruby Fowler

Work telephone Number 206-398-5154

Work E-mail ruby.fowler@soundtransit.org

Signature of agency head

Ron Tober, Acting Chief Executive Officer

Attach additional sheets if more room is required



PUBLIC DISCLOSURE COMMISSION
 711 CAPITOL WAY RM 206
 PO BOX 40908
 OLYMPIA WA 98504-0908
 (360) 753-1111
 TOLL FREE 1-877-601-2828

PDC FORM L-5 (Rev 1/09)	LOBBYING BY STATE AND LOCAL GOVERNMENT AGENCIES
DATE FILED PDC	

Instructions Are Printed On Reverse

JUL 12 2010

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	Date prepared 7/8/2010	Report for calendar quarter ending Q2 2005
	County King	06 2005 Month Year

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
Melanie Smith	State Gov't Relations Specialist	66,3000	17

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
		\$	

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
		\$	

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$	2,817.50
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$	2,039.10
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$	
Consultants Or Other Contractual Services	\$	30,077.50
Total This Quarter	\$	34,934.10
Total To Date This Year	\$	83,979.94

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190.  Signature of agency head Ron Tober, Acting Chief Executive Officer	Name of employee completing report Ruby Fowler
	Work telephone Number 206-398-5154 Work E-mail ruby.fowler@soundtransit.org

Attach additional sheets if more room is required



PUBLIC DISCLOSURE COMMISSION
 711 CAPITOL WAY RM 206
 PO BOX 40908
 OLYMPIA WA 98504-0908
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PDC FORM L-5 (Rev 1/09)	LOBBYING BY STATE AND LOCAL GOVERNMENT AGENCIES DATE FILED PDC
--------------------------------------	--

JUL 12 2010

Instructions Are Printed On Reverse

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	Date prepared 7/8/2010	Report for calendar quarter ending Q3 2005
	County <u>King</u>	<u>09</u> <u>2005</u> Month Year

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
------	-----------	---------------	---

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
		\$	

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
		\$	

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$
Consultants Or Other Contractual Services	\$ 30,192.20
Total This Quarter	\$ 30,192.20
Total To Date This Year	\$ 114,172.14

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190.

Signature of agency head

Ron Tober, Acting Chief Executive Officer

Name of employee completing report

Ruby Fowler

Work telephone Number 206-398-5154

Work E-mail ruby.fowler@soundtransit.org

Attach additional sheets if more room is required



PUBLIC DISCLOSURE COMMISSION
 711 CAPITOL WAY RM 206
 PO BOX 40908
 OLYMPIA WA 98504-0908
 (360) 753-1111
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PDC FORM L-5 (Rev 1/09)	LOBBYING BY STATE AND LOCAL GOVERNMENT AGENCIES
	DATE FILED PDC

JUL 12 2010

Instructions Are Printed On Reverse

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	Date prepared 7/8/2010	Report for calendar quarter ending Q4 2005
	County King	12 2005 Month Year

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
Melanie Smith	State Gov't Relations Specialist	\$66,3000	5

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
		\$	

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
		\$	

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$	828.75
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$	344.26
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$	
Consultants Or Other Contractual Services	\$	30,192.20
Total This Quarter	\$	31,365.21
Total To Date This Year	\$	145,537.35

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190. Signature of agency head Ron Tober, Acting Chief Executive Officer	Name of employee completing report Ruby Fowler
	Work telephone Number 206-398-5154 Work E-mail ruby.fowler@soundtransit.org

Attach additional sheets if more room is required



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AUG -9 2010

Public Disclosure Commission

CHAIR

Aaron Reardon
Snohomish County Executive

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Claudia Thomas
Lakewood Councilmember

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Seattle Council President

Dow Constantine
King County Executive

Jan Drago
King County Councilmember

Dave Enslow
Sumner Mayor

Jake Fey
Tacoma Deputy Mayor

Paula J. Hammond, P.E.
Washington State Secretary of Transportation

John Marchione
Redmond Mayor

Joe Marine
Mukilteo Mayor

Pat McCarthy
Pierce County Executive

Mike McGinn
Seattle Mayor

Julia Patterson
King County Councilmember

Larry Phillips
King County Councilmember

Paul Roberts
Everett Council President

Peter von Reichbauer
King County Councilmember

CHIEF EXECUTIVE OFFICER

Joni Earl

August 4, 2010

Clerk
Public Disclosure Commission
711 Capitol Way, Room 206
PO Box 40908
Olympia, WA 98504-0908

RE: Amended L-5 Filing for 2008

Dear Clerk:

Enclosed are amended L-5 reporting forms for the Central Puget Sound Regional Transit Authority for 2008. We discovered an error in the previously-filed 1st quarter form in the field denoting Desmond Brown's lobbying participation. The original L-5 listed his participation at 25 percent because we omitted a decimal point. The correct amount is 2.5 percent. Due to this miscalculation, the "total to date" field in the 2nd, 3rd, and 4th quarter for 2008 is inaccurate. We are resubmitting adjusted forms for the entire year to correct this error.

Please let us know if you have any questions or concerns.

Sincerely,

Ruby Fowler
Legal Assistant, Legal Department

Encl.

cc: Tony Perkins, PDC

PDC Exhibit # 3
Page 30 of 37

Instructions Are Printed On Reverse

DATE FILED PDC

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	AUG - 5 2010	Date prepared 8/4/10	Report for calendar quarter ending Q1 2008
		County King	Month Year 3 2008

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
Melanie Smith	State Government Relations Specialist	\$91,975	100

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
Steve Sheehy	Legal Counsel	\$119,699	2

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
Desmond Brown	General Counsel	189,447	2.5

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
Joni Earl	Chief Executive Officer	\$187,949	1

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Meetings with legislators regarding Sound Transit's legislative agenda as adopted by the Sound Transit board.

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$	25,246.16
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$	983.44
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$	
Consultants Or Other Contractual Services	\$	36,000.00
Total This Quarter	\$	62,229.60
Total To Date This Year	\$	62,229.60

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190.

Name of employee completing report

Ruby Fowler

Signature of agency head

Ron Tober, Acting Chief Executive Officer

Work telephone Number 206-398-5154

Work E-mail ruby.fowler@soundtransit.org

Attach additional sheets if more room is required

DATE FILED PDC

Instructions Are Printed On Reverse

AUG - 5 2010

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	Date prepared 8/4/10	Report for calendar quarter ending Q2 2008
	County <u>King</u>	Month Year <u>6</u> <u>2008</u>

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
------	-----------	---------------	---

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
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General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
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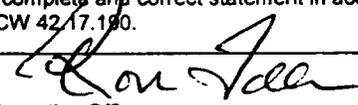
General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$
Consultants Or Other Contractual Services	\$ 36,000.00
Total This Quarter	\$ 36,000.00
Total To Date This Year	\$ 98,229.60

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.100. Signature of agency head  Ron Tober, Acting Chief Executive Officer	Name of employee completing report Ruby Fowler
	Work telephone Number 206-398-5154 Work E-mail ruby.fowler@soundtransit.org

Attach additional sheets if more room is required



PUBLIC DISCLOSURE COMMISSION
 711 CAPITOL WAY RM 206
 PO BOX 40908
 OLYMPIA WA 98504-0908
 (360) 753-1111
 TOLL FREE 1-877-601-2828

PDC FORM L-5 (Rev 1/09)	LOBBYING BY STATE AND LOCAL GOVERNMENT AGENCIES
--------------------------------------	--

DATE FILED PDC

Instructions Are Printed On Reverse

AUG 5 2010

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	Date prepared 8/4/10	Report for calendar quarter ending Q3 2008
	County <u>King</u>	Month Year <u>9 2008</u>

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
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General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
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General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
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General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$
Consultants Or Other Contractual Services	\$ 36,000.00
Total This Quarter	\$ 36,000.00
Total To Date This Year	\$ 134,229.60

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190. Signature of agency head Ron Tober, Acting Chief Executive Officer	Name of employee completing report Ruby Fowler
	Work telephone Number 206-398-5154
	Work E-mail ruby.fowler@soundtransit.org

Attach additional sheets if more room is required



PUBLIC DISCLOSURE COMMISSION
 711 CAPITOL WAY RM 206
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 OLYMPIA WA 98504-0908
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PDC FORM L-5 (Rev 1/09)	LOBBYING BY STATE AND LOCAL GOVERNMENT AGENCIES
--------------------------------------	--

DATE FILED PDC

Instructions Are Printed On Reverse

AUG - 5 2010

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	Date prepared 8/4/10	Report for calendar quarter ending Q4 2008
	County <u>King</u>	Month Year <u>12</u> <u>2008</u>

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
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General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
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General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
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General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$	
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$	
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$	
Consultants Or Other Contractual Services	\$	36,000.00
Total This Quarter	\$	36,000.00
Total To Date This Year	\$	170,229.60

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190.

Signature of agency head
 Ron Tober, Acting Chief Executive Officer

Name of employee completing report

Ruby Fowler

Work telephone Number 206-398-5154

Work E-mail ruby.fowler@soundtransit.org

Attach additional sheets if more room is required



RECEIVED

OCT 15 2010

Public Disclosure Commission

DATE FILED PDC

OCT 14 2010

October 14, 2010

Clerk
Public Disclosure Commission
711 Capitol Way, Room 206
PO Box 40908
Olympia, WA 98504-0908

RE: L-5 Filing

Dear Clerk:

Enclosed are the L-5 reporting forms of the Central Puget Sound Regional Transit Authority for the second and third quarter of this year. Please contact me if you have any questions. My direct line is 206-398-5154.

Sincerely,

Ruby Fowler
Legal Secretary

Encl.

CHAIR

Aaron Reardon
Snohomish County Executive

VICE CHAIRS

Fred Butler
Issaquah Deputy Council President

Claudia Thomas
Lakewood Councilmember

BOARD MEMBERS

Claudia Balducci
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Richard Conlin
Seattle Council President

Dow Constantine
King County Executive

Jan Drago
King County Councilmember

Dave Enslow
Sumner Mayor

Jake Fey
Tacoma Deputy Mayor

Paula J. Hammond, P.E.
Washington State Secretary of Transportation

John Marchione
Redmond Mayor

Joe Marine
Mukilteo Mayor

Pat McCarthy
Pierce County Executive

Mike McGinn
Seattle Mayor

Julia Patterson
King County Councilmember

Larry Phillips
King County Councilmember

Paul Roberts
Everett Council President

Peter von Reichbauer
King County Councilmember

CHIEF EXECUTIVE OFFICER

Joni Earl

PDC Exhibit # 3
Page 35 of 37

Instructions Are Printed On Reverse

OCT 14 2010

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	Date prepared 10/11/10	Report for calendar quarter ending Q2 2010
	County King	Month Year 6 2010

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
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General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
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General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
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General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
------	-----------	---------------	---

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

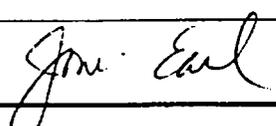
Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$	
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$	
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$	
Consultants Or Other Contractual Services (Martin Flynn Public Affairs)	\$	18,000.00
Total This Quarter	\$	18,000.00
Total To Date This Year	\$	65,067.74

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190.

Name of employee completing report

Ruby Fowler

Signature of agency head
 Joni Earl, Chief Executive Officer



Work telephone Number 206-398-5154
 Work E-mail ruby.fowler@soundtransit.org

Attach additional sheets if more room is required



PUBLIC DISCLOSURE COMMISSION
 711 CAPITOL WAY RM 206
 PO BOX 40908
 OLYMPIA WA 98504-0908
 (360) 753-1111
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PDC FORM L-5 <small>(Rev 1/09)</small>	LOBBYING BY STATE AND LOCAL GOVERNMENT AGENCIES
---	--

OCT 14 2010

Instructions Are Printed On Reverse

Agency or Governmental Entity Name and Address Central Puget Sound Regional Transit Authority – Sound Transit 401 S. Jackson Street Seattle, WA 98104	Date prepared 10/11/10 County <u>King</u>	Report for calendar quarter ending Q3 2010 Month Year <u>9</u> <u>2010</u>
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PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
------	-----------	---------------	---

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
------	-----------	---------------	---

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
------	-----------	---------------	---

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

Name	Job title	Annual salary	% of time spent lobbying during quarter
------	-----------	---------------	---

General description of lobbying activities or objectives. (Include bill or WAC numbers, if any)

Check if person spent more than \$15 of non-public funds in lobbying. See instructions on reverse.

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$	
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$	
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$	
Consultants Or Other Contractual Services	\$	18,000.00
	(Martin Flynn Public Affairs)	
Total This Quarter	\$	18,000.00
Total To Date This Year	\$	83,067.74

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190.	Name of employee completing report Ruby Fowler
Signature of agency head Joni Earl, Chief Executive Officer	Work telephone Number 206-398-5154 Work E-mail ruby.fowler@soundtransit.org

Attach additional sheets if more room is required



RECEIVED

JUL 15 2010

Public Disclosure Commission

July 12, 2010

Phillip E. Stutzman
Director of Compliance
Public Disclosure Commission
711 Capitol Way, Room 206
P.O. Box 40908
Olympia, WA 98504 - 0908

Re: PDC L-5 Form: Central Puget Sound Regional Transit Authority

Dear Mr. Stutzman:

The Central Puget Sound Regional Transit Authority (Sound Transit) is the regional transit authority formed in 1993 to serve the urban areas of Pierce, King, and Snohomish Counties. The agency first filed PDC Form L-5 in 1999 to disclose the activities of our state government relations employee, Patrice Hardy. Ms Hardy filed L-5 forms from 1999-2002. Ms. Hardy acted as a liason between Sound Transit and state legislators and their staffs to educate them about Sound Transit and to provide testimony on legislation of interest to the agency.

In addition, Sound Transit also employed third-party consultants to assist with state governmental relations. Beginning in 2001, Sound Transit contracted with the following consultants to assist with our work with the state legislature: Ron Main (2001-2008), Martin Flynn Public Affairs (2001-2010), and David Ducharme (2001-2002). These consultants filed disclosure forms with the PDC listing the monthly income earned from Sound Transit.

In 2003, Ms. Hardy's duties changed, and Tim Kelly was hired to be the agency's state government liaison. Mr. Kelly held the position until September 2004. We have learned that Mr. Kelly did not continue Ms. Hardy's practice of ensuring that the agency's L-5 forms were prepared and filed with the PDC during the two years he worked for the agency.

Three months after Mr. Kelly left the agency, Sound Transit hired Melanie Smith to fill the position in December 2004. Although Ms. Smith did sign the documents used by our third-party consultants to file financial disclosure forms with the PDC, Sound Transit did not file the L-5 forms to report the funds paid to Sound Transit

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JUL 15 2010

Public Disclosure Commission

employees who engaged in lobbying activities governed by RCW 42.17.190(5).

This oversight was identified in late 2009 when Ms. Smith inquired of legal counsel about Sound Transit's obligation to file a separate disclosure form. We advised Ms. Smith that the agency is obligated by state law to file disclosure forms with the PDC for any period during which our activities met or exceeded the thresholds established in RCW 47.17.190. After Ms. Smith's inquiry, we immediately began reviewing agency records and have prepared and filed L-5 forms for each quarter in which a report was required between 2003-present. Our records are sufficiently detailed to provide the information with one exception. We have not yet located the accounting records to indentify the precise amount of the travel per diem expenses paid to Mr. Kelly for his travel between Olympia and Seattle in 2003 and 2004.

Sound Transit accepts full responsibility for this administrative oversight and apologizes to the PDC for the error. And we assure you that the failure to file the L-5 forms was caused by an administrative error, not by a purposeful decision not to file the forms. Our commitment to fulfill our filing requirements is evidenced by Sound Transit's history of filing L-5 filings from 1999-2003, by the agency's third-party consultants' disclosure filings from 2001-present, and by the fact that each Sound Transit employee who worked with legislators or legislative staff, or who testified on the agency's behalf, publicly stated they were employed by Sound Transit.

Our commitment to fully disclose our activities is also discussed each year by the Sound Transit Board in a public meeting. Each fall, after a public presentation by Sound Transit's staff, the Sound Transit Board discusses and adopts a motion detailing the agency's planned activities in dealing with the legislature and the lobbying activities governed by RCW 47.17. 190. The motion authorizes Sound Transit employees to implement the Board adopted legislative program. The motion (Motion 2009-91) and meeting minutes approving the 2010 legislative program are attached.

Motion 2009-91, "authorizes Sound Transit staff and consultants in Olympia to advocate and/or pursue the following positions during the upcoming state legislative session." The meeting minutes state that, "...Melanie Smith, State Government Relations Specialist, presented the program. Sound Transit is represented by Ms. Smith and Sound Transit's contract lobbyist, Martin Flynn." The staff report for Motion 2009-91 included a budget section which noted that, "advocacy of the State Legislative Program is fully funded through the Communications and External

Phillip E. Stutzman
July 12, 2010
Page 3

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JUL 15 2010

Public Disclosure Commission

Affairs Department Budget.” The agency budget and the salary of each Sound Transit employee have always been publicly available.

The public presentation by Ms. Smith, Sound Transit’s Board’s adoption of the legislative program in 2009 and in each preceding year, and our consultants’ financial disclosure filings with the PDC demonstrate that the agency is transparent regarding its funding of the activities governed by RCW 42.17.190. The agency’s failure to file L-5 forms from 2003-2009 was caused by an error in our administrative process, not by a lack of transparency.

To ensure that this error does not reoccur, a senior legal counsel in our office has been assigned to work with appropriate staff to ensure that Sound Transit makes the required PDC filings.

Please contact me if you have questions or would like to discuss the matter further. Thank you for your time and attention.

Sincerely,



Desmond L. Brown
General Counsel

Enc. Sound Transit Motion No. M2009-91
Board Meeting Minutes – October 22, 2009
Staff Report Motion No. M2009-91- 2010 State Legislative Program



RECEIVED

AUG 30 2010

August 26, 2010

Public Disclosure Commission

Philip E. Stutzman
Director of Compliance
711 Capitol Way, Room 206
P.O. Box 40908
Olympia, WA 98504-0908

Re: Complaint Filed by Preston Mui, Evergreen Freedom Foundation,
PDC Case No. 11-009

Dear Mr. Stutzman:

Thank you for your August 17th letter concerning the PDC's investigation into the complaint filed against Sound Transit. As you mentioned, Sound Transit's letter dated July 12, 2010 sets forth the circumstances surrounding Sound Transit's filing of L-5s.

However, to supplement this response, we wanted to address Mr. Mui's concerns about the amount of detail in Sound Transit's L-5s. Sound Transit's general description of the lobbying activities of its staff states that the staff meets with legislators to support Sound Transit's legislative agenda as adopted by the Sound Transit Board. This Legislative Agenda is adopted annually by the Sound Transit Board by motion during a public meeting where public comments are received. After adoption, it is available to the public on Sound Transit's website. The Agenda provides guidance to staff and consultants in Olympia regarding issues to pursue in the coming session. The Agenda is specific as to Sound Transit's objectives during the session. I have attached the Legislative Agendas for each year from 2005 to 2010. If it would be helpful to the PDC, we could attach all future Agendas to our L-5s.

Please let me know if you have any further questions. I can be reached at (206) 398-5201 or robin.murphy@soundtransit.org.

Sincerely,

Robin K. Murphy
Senior Legal Counsel

Enclosures

PDC Exhibit # 5
Page 1 of 13

CHAIR

Aaron Reardon
Snohomish County Executive

VICE CHAIRS

Fred Butler
Issaquah Deputy Council President

Claudia Thomas
Lakewood Councilmember

BOARD MEMBERS

Claudia Balducci
Bellevue Councilmember

Richard Conlin
Seattle Council President

Dow Constantine
King County Executive

Jan Drago
King County Councilmember

Dave Enslow
Sumner Mayor

Jake Fey
Tacoma Deputy Mayor

Paula J. Hammond, P.E.
Washington State Secretary of Transportation

John Marchione
Redmond Mayor

Joe Marine
Mukilteo Mayor

Pat McCarthy
Pierce County Executive

Mike McGinn
Seattle Mayor

Julia Patterson
King County Councilmember

Larry Phillips
King County Councilmember

Paul Roberts
Everett Council President

Peter von Reichbauer
King County Councilmember

CHIEF EXECUTIVE OFFICER

Joni Earl

RECEIVED

AUG 30 2010

Public Disclosure Commission

SOUND TRANSIT

MOTION NO. M2004-97

A motion of the Board of the Central Puget Sound Regional Transit Authority adopting the 2005 Sound Transit State Legislative Program and directing staff to (1) further evaluate issues for impact to Sound Transit; and (2) regularly report status of and seek policy guidance on legislative issues.

Background:

State law established the basis for the creation of Sound Transit, and in 1996, voters in this region approved a package of regional transportation investments for Sound Transit to build and implement. Significant progress has been made in building and implementing the regional transportation system with more than 30 million customers served and many capital projects already built and more under construction.

The Sound Transit Board annually adopts a state legislative program that provides guidance to Sound Transit staff and consultants in Olympia in preparation for the upcoming session. Following is the 2005 State Legislative Program.

- Seek legislative language to clarify that Sound Transit should not be subject to sales and use tax on the maintenance portion of Sound Transit's operations and maintenance agreements with other public entities.
- Seek legislative language that would exempt Sound Transit, as the fiscal agent for the regional Smart Card, from returning the value of public transportation fares purchased but not used to the state as required under Washington State Unclaimed Property rules.
- Clarify legislation that allows Sound Transit to utilize lease-leaseback financial transactions.
- Support a balanced statewide transportation revenue package that addresses critical transportation infrastructure needs.
- Support legislative efforts of Sound Transit's partner agencies that would facilitate the cost effective delivery of transit projects and services.
- Oppose legislation which amends Sound Transit enabling statutes without the consent and advice of the Sound Transit Board of Directors, and oppose legislation that would increase the costs of transit improvements and services or otherwise impair the agency's ability to deliver its voter-approved regional transportation program.
- Monitor coordination legislation that might affect Sound Transit projects.

Motion:

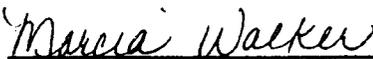
It is hereby moved by the Board of the Central Puget Sound Regional Transit Authority adopting a 2005 State Legislative Program and directing staff to (1) further evaluate issues for impact to Sound Transit; and (2) regularly report status of and seek policy guidance on legislative issues.

APPROVED by the Board of the Central Puget Sound Regional Transit Authority at a regular meeting thereof held on October 14, 2004.



John W. Ladenburg
Board Chair

ATTEST:



Marcia Walker
Board Administrator

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AUG 30 2010

SOUND TRANSIT

MOTION NO. M2005-121

Public Disclosure Commission

A motion of the Board of the Central Puget Sound Regional Transit Authority adopting the Sound Transit 2006 State Legislative Program and directing staff to continue to evaluate issues for impact to the agency as it continues to successfully implement the regional transportation system.

Background:

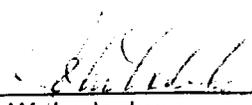
Annually, the Sound Transit Board adopts a State Legislative Program. The program has typically included issues and policy guidance to staff and contract lobbyists to assist them in representing the agency's interests during the legislative session. This action would authorize Sound Transit staff and consultants in Olympia to advocate and/or pursue the following positions during the 2006 state legislative session:

- Support the State's new role in public transportation.
- Clarify legislation that allows Sound Transit to benefit from state special fuel tax incentives.
- Support a balanced statewide and regional transportation investment strategy package that addresses critical transportation infrastructure needs.
- Support legislative efforts of Sound Transit's partner agencies that would facilitate the cost effective delivery of transit projects and services.
- Oppose legislation which amends Sound Transit enabling statutes without the consent and advice of the Sound Transit Board of Directors, and oppose legislation that would increase the costs of transit improvements and services or otherwise impair the agency's ability to deliver its voter-approved regional transportation program.
- Monitor coordination legislation that might affect Sound Transit projects.

Motion:

It is hereby moved by the Board of the Central Puget Sound Regional Transit Authority adopting the 2006 State Legislative Program and directing staff to continue to evaluate issues for impact to the agency as it continues to successfully implement the regional transportation system.

ADOPTED by the Board of the Central Puget Sound Regional Transit Authority at a regular meeting thereof held on December 8, 2005.



John W. Ladenburg
Board Chair

ATTEST:



Marcia Walker
Board Administrator

RECEIVED

AUG 30 2010

Public Disclosure Commission

SOUND TRANSIT
MOTION NO. M2006-79

A motion of the Board of the Central Puget Sound Regional Transit Authority adopting the Sound Transit 2007 State Legislative Program and directing staff to continue to evaluate issues for impact to the agency as it continues to successfully implement the regional transportation system.

Background:

Annually, the Sound Transit Board adopts a State Legislative Program. The program has typically included issues and policy guidance to staff and contract lobbyists to assist them in representing the agency's interests during the legislative session. This action would authorize Sound Transit staff and consultants in Olympia to advocate and/or pursue the following positions during the upcoming state legislative session:

- Seek or support legislation needed to enable a successful joint ballot measure at the 2007 general election.
- Monitor governance legislation that might alter the oversight, financing, construction and operation of Sound Transit projects.
- Seek legislation to continue Sound Transit's authority to utilize the Owner Controlled Insurance Program.
- Clarify legislation that allows Sound Transit to benefit from state special fuel tax incentives.
- Seek legislative changes necessary to ensure safe, secure and efficient operation of light rail facilities and equipment.
- Seek legislative amendments to Sound Transit's statute to simplify annexation procedures and provide for automatic inclusion of areas subsequently annexed by local jurisdictions already within the RTA boundaries.
- Support a balanced statewide and regional transportation investment strategy package that addresses critical transportation infrastructure needs.
- Support legislative efforts of Sound Transit's partner agencies that would facilitate the cost effective delivery of transit projects and services.
- Continue to support the State's role in public transportation.
- Clarify state law to clarify that local jurisdiction impact fees do not apply to Sound Transit projects.
- Oppose legislation which amends Sound Transit enabling statutes without the consent and advice of the Sound Transit Board of Directors, and oppose legislation that would increase the costs of transit improvements and services or otherwise impair the agency's ability to deliver its voter-approved regional transportation program.

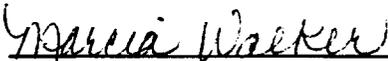
Motion:

It is hereby moved by the Board of the Central Puget Sound Regional Transit Authority to adopt the Sound Transit 2007 State Legislative Program and directing staff to continue to evaluate issues for impact to the agency as it continues to successfully implement the regional transportation system.

APPROVED by the Board of the Central Puget Sound Regional Transit Authority at a regular meeting thereof held on November 9, 2006.


John W. Ladenburg
Board Chair

ATTEST:


Marcia Walker
Board Administrator

RECEIVED

AUG 30 2010

Public Disclosure Commission

SOUND TRANSIT

MOTION NO. M2007-128

A motion of the Board of the Central Puget Sound Regional Transit Authority adopting the Sound Transit 2008 State Legislative Program and directing staff to continue to evaluate issues for impact to the agency as it continues to successfully implement the regional transportation system.

Background:

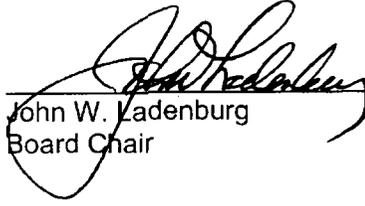
Annually, the Sound Transit Board adopts a State Legislative Program. The program has typically included issues and policy guidance to staff and contract lobbyists to assist them in representing the agency's interests during the legislative session. This action would authorize Sound Transit staff and consultants in Olympia to advocate and/or pursue the following positions during the upcoming state legislative session:

- Support a balanced statewide and regional transportation investment strategy that addresses critical transportation infrastructure needs and continues the State's role in public transportation.
- Support and collaborate with Sound Transit's partner agencies on efforts that would facilitate the cost-effective delivery of transit projects and services.
- Monitor governance legislation that might alter the oversight, financing, construction and operation of Sound Transit projects.
- Oppose legislation that would interfere with Sound Transit's authority to submit future ballot measures to the voters.
- Amend state law to clarify that local jurisdiction impact fees do not apply to Sound Transit projects.
- Clarify legislation that allows Sound Transit to benefit from state special fuel tax incentives.
- Oppose legislation which amends Sound Transit's enabling statutes without the consent and advice of the Sound Transit Board of Directors, and oppose legislation that would increase the costs of transit improvements and services or otherwise impair the agency's ability to deliver its voter-approved regional transportation program.

Motion:

It is hereby moved by the Board of the Central Puget Sound Regional Transit Authority to adopt the Sound Transit 2008 State Legislative Program and directing staff to continue to evaluate issues for impact to the agency as it continues to successfully implement the regional transportation system.

APPROVED by the Board of the Central Puget Sound Regional Transit Authority at a regular meeting thereof held on December 13, 2007.



John W. Ladenburg
Board Chair

ATTEST:



Marcia Walker
Board Administrator

SOUND TRANSIT

MOTION NO. M2008-116

A motion of the Board of the Central Puget Sound Regional Transit Authority adopting the Sound Transit 2009 State Legislative Program and directing staff to continue to evaluate issues for impact to the agency as it continues to successfully implement the regional transportation system.

Background:

This action would authorize Sound Transit staff and consultants in Olympia to advocate and/or pursue the following positions during the upcoming state legislative session:

- **Support a balanced statewide and regional transportation investment strategy that addresses critical transportation infrastructure needs and the State's role in public transportation.**

The Sound Transit Board continues to express support for a balanced approach to transportation funding, project planning and implementation. Sound Transit supports the allocation and timing of state appropriations for projects in a manner that ensures coordinated and timely delivery of projects and services.

Sound Transit supports the State's efforts to promote public transit and improve the connectivity and efficiency of the transportation system through the Office of Transit Mobility (OTM). The Office's grant program aims to aid local governments in funding projects such as inter-county connectivity service, park and ride lots, rush hour transit service, and capital projects. Sound Transit and project partners received more than \$14 million in grant funding for Sound Move projects from OTM for the 2007-2009 budget cycle and will be submitting proposals for consideration for the next biennium. Sound Transit supports increased state funding for public transit. Public transit agencies are experiencing budget shortfalls at a time when public demand for transit is increasing. To assist transit agencies meet the current economic challenges, Sound Transit supports a one-time distribution of the 2009-2011 Regional Grant Mobility Program in a formula distribution that includes all modes of service provided by Sound Transit.

- **Support and partner with Sound Transit's state, local and transit partner agencies to facilitate the cost-effective delivery of transit projects and services.**

Sound Transit has historically supported the legislative efforts of our partners that facilitate the cost-effective delivery of transit projects and services.

- **Monitor governance and other proposals that would have a negative impact on the agency's ability to continue to deliver its regional projects and services.**

Sound Transit encourages greater coordination between service providers and transportation entities, including between transit agencies and the Washington State Department of Transportation. We will oppose proposed legislation that might negatively alter the oversight, financing, construction, delivery and operation of Sound Transit projects.

- **Clarify legislation that allows Sound Transit and other transit agencies to benefit from state special fuel tax incentives.**

Sound Transit is committed to the protection of the environment for present and future generations as we provide high capacity transit to the Puget Sound region. In an effort to avoid environmental degradation, Sound Transit's fleet includes hybrid buses and natural gas buses. State law currently encourages use of alternative fuels by exempting all urban transportation systems from payment of special fuel taxes except for trips exceeding twenty-five road miles beyond the corporate limits of the county in which said trip originated. A Washington Department of Revenue audit of one of Sound Transit's partner agencies determined fuel used for ST Express routes is potentially subject to state special fuel taxes, with a

projected cost to the agency of more than \$90,000 per year. Legislation is needed to extend the exemption to Sound Transit's regional bus routes.

- **Support revisions to update the bus conduct code.**

The Washington State Transit Association's Security Council has identified improvements to the Bus Conduct Code that will update the language and provide further clarification for transit and law enforcement agencies. Sound Transit supports their efforts to ensure the safety of transit riders.

- **Support efforts to provide timely matching funds by the State for the completion of the I-90 Two Way Transit and HOV project.**

Recognizing the importance of mobility across Lake Washington, Sound Transit continues its commitment to work with the State of Washington and regional stakeholders to ensure timely completion of the I-90 Two Way Transit and HOV project (R8A). Completion of R8A is necessary to increase throughput in the corridor and sets the stage for adding light rail to the center road way as approved by voters in 2008.

- **Support legislative proposals promoting development that will result in reduced vehicle miles traveled, reduced greenhouse gas emissions, higher transit ridership, enhanced mobility and sustainability in the communities Sound Transit serves.**

The State's Climate Action Team was charged with developing recommendations for actions that can be taken by the Governor and Legislature to address climate change. Mass transit, and in particular high capacity transit, can help the State achieve its goal of reducing greenhouse gas emissions, energy consumption and reliance on foreign oil. Policies adopted by the State should recognize the vital role of transit and the opportunities it provides for creating jobs and making our communities more affordable and livable. Sound Transit supports proposals that facilitate future growth and planning that encourage appropriate land uses and densities to reinforce efficient land use and transit connectivity.

- **Oppose legislation which amends Sound Transit's enabling statutes without the consent and advice of the Sound Transit Board of Directors, and oppose legislation that would increase the costs of transit improvements and services or otherwise impair the agency's ability to deliver its voter-approved regional transportation program.**

Having served more than 85 million riders in nine years of service, and with many capital projects built or under construction, the Sound Transit Board will continue to oppose legislation that would change the agency's enabling statutes, increase the cost or delay the delivery of Sound Transit's regional projects and services.

Motion:

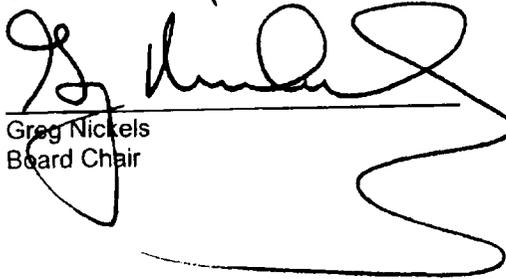
It is hereby moved by the Board of the Central Puget Sound Regional Transit Authority that the Sound Transit 2009 State Legislative Program is adopted and staff is directed to continue to evaluate issues for impact to the agency as it continues to successfully implement the regional transportation system.

RECEIVED

AUG 30 2010

Public Disclosure Commission

APPROVED by the Board of the Central Puget Sound Regional Transit Authority at a regular meeting thereof held on December 11, 2008.



Greg Nickels
Board Chair

ATTEST:

Marcia Walker
Marcia Walker
Board Administrator

RECEIVED

AUG 30 2010

Public Disclosure Commission

SOUND TRANSIT

MOTION NO. M2009-91

A motion of the Board of the Central Puget Sound Regional Transit Authority adopting the Sound Transit 2010 State Legislative Program and directing staff to continue to evaluate issues for impact to the agency as it continues to successfully implement the regional transportation system.

Background:

This action would authorize Sound Transit staff and consultants in Olympia to advocate and/or pursue the following positions during the upcoming state legislative session:

- **Seek legislative amendments to Sound Transit's statute to provide for automatic inclusion of areas subsequently annexed by local jurisdictions already within the RTA boundaries.**
Under current state law, when an area is annexed into a local jurisdiction that is within Sound Transit's district, it does not automatically join the RTA as it does for other entities such as Public Transportation Benefit Areas. The Department of Revenue (DOR) has requested that Sound Transit seek amendments to the agency's enabling statute that would provide automatic annexation of areas subsequently added to jurisdictions within the RTA in the future. This change would be consistent with the authority of other public entities and would provide benefit to DOR and businesses that are experiencing complications due to the increasing number of billing codes needed for tax collections.
- **Seek legislation to clarify Sound Transit projects as essential public facilities under the Growth Management Act**
Sound Transit projects are considered essential public facilities under the state's Growth Management Act and a 1999 ruling by the Growth Management Hearings Board. Sound Transit would like to seek further clarity of that status in order to streamline the permitting process and make the agency less susceptible to land-use challenges.
- **Seek legislation to include a Sound Transit representative on the State's Capital Projects Advisory Review Board.**
The Capital Projects Advisory Review Board (CPARB) was created by the Legislature in 2005 to review and make recommendations to policymakers on ways to enhance the quality, efficiency, and accountability of alternative public works contracting procedures. As a public entity engaged in major capital projects in the region, Sound Transit would provide a valuable perspective in shaping future policies.
- **Support efforts to provide timely matching funds by the State for the completion of the I-90 Two Way Transit and HOV project.**
Recognizing the importance of mobility across Lake Washington, Sound Transit continues its commitment to work with the State of Washington and regional stakeholders to ensure timely completion of the I-90 Two Way Transit and HOV project (R8A). Completion of R8A is necessary to increase throughput in the corridor and sets the stage for adding light rail to the center road way as approved by voters in 2008.
- **Support a balanced statewide and regional transportation investment strategy that includes new funding sources for public transportation and high capacity transit systems.**
The Sound Transit Board continues to express support for a balanced approach to transportation funding, project planning and implementation and hopes to work with the State as it examines future investments and funding options. Sound Transit encourages the State to continue its efforts to promote public transit and improve connectivity through the Regional Mobility Grant Program and to adopt policies that reflect the critical role of transit in addressing mobility, climate change, and economic development. The Sound Transit 2 Plan approved by voters in 2008, included a commitment by the Board to seek legislative authority to replace or substantially reduce the agency's reliance on the sales

and use tax as the primary funding source for regional transit improvements. Sound Transit will continue to explore opportunities to fund high capacity transit investments through other transportation related funding tools such as tolling revenue, user-based fees, vehicles fees, or other potential sources.

- **Support tolling policies that minimize potential negative impacts on transit and provide revenue to sustain and increase transit service.**

Sound Transit supports efforts to maximize the performance of the transportation system and believes transit should be recognized for its role in improving mobility, reducing congestion, and carrying more people. Proposed state project plans for key corridors to be tolled rely on transit to provide attractive alternatives for commuters and will likely increase ridership demand. State policies should acknowledge that transit agencies are experiencing reduced revenues and service cutbacks by exempting transit from future tolls and provide revenue to help fund needed services.

- **Support and partner with Sound Transit's partner agencies on efforts that would facilitate the cost-effective delivery of transit projects and services.**

Sound Transit has historically supported the legislative efforts of our partners that facilitate the cost-effective delivery of transit projects and services.

- **Support legislative proposals promoting development that will result in reduced vehicle miles traveled, reduced greenhouse gas emissions, higher transit ridership, enhanced mobility, and sustainability in the communities Sound Transit serves.**

Mass transit, and in particular high capacity transit, can help the State achieve its goal of reducing greenhouse gas emissions, energy consumption and reliance on foreign oil. Policies adopted by the State should recognize the vital role of transit and the opportunities it provides for creating jobs and making our communities more affordable and livable. Sound Transit supports proposals that facilitate future growth and planning that encourage appropriate land uses and densities to reinforce efficient land use and transit connectivity.

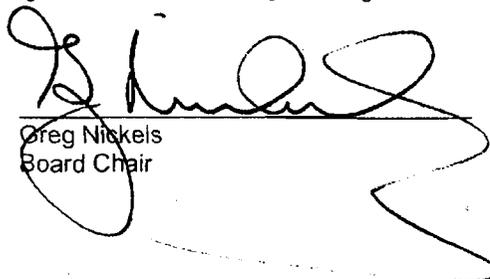
- **Oppose legislation which amends Sound Transit's enabling statutes without the consent and advice of the Sound Transit Board of Directors, increases the costs of transit improvements and services or otherwise impairs the agency's ability to deliver its voter-approved regional high capacity transit program.**

Having served more than 100 million riders in 10 years of service, and with many capital projects built or under construction, the Sound Transit Board will continue to oppose legislation that would change the agency's enabling statutes or negatively alter the oversight, financing, construction, delivery and operation of Sound Transit projects and services.

Motion:

It is hereby moved by the Board of the Central Puget Sound Regional Transit Authority that the Sound Transit 2010 State Legislative Program is adopted and staff is directed to continue to evaluate issues for impact to the agency as it continues to successfully implement the regional transportation system.

APPROVED by the Board of the Central Puget Sound Regional Transit Authority at a regular meeting thereof held on October 22, 2009.



Greg Nickels
Board Chair

ATTEST:



Marcia Walker
Board Administrator